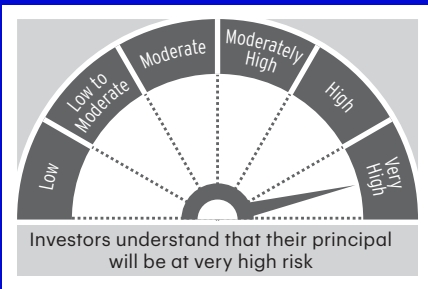


## Invesco India Nifty Exchange Traded Fund

(An open ended scheme replicating Nifty 50 Index)

### RISKOMETER



#### Suitable for investors who are seeking\*

- Capital appreciation over long-term
- Generate returns that closely correspond to the returns generated by securities represented by the Nifty 50, subject to tracking error, if any

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them

Continuous Offer for Units at NAV based prices

#### Disclaimer of National Stock Exchange of India Ltd. (NSE)

"It is to be distinctly understood that the permission given by NSE should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE nor does it certify the correctness or completeness of any of the contents of the Draft Scheme Information

Document. The investors are advised to refer to the Scheme Information Document for the full text of "Disclaimer Clause of NSE."

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.invescomutualfund.com](http://www.invescomutualfund.com).

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

#### Sponsor

Invesco Hong Kong Limited,  
41/F, Champion Tower,  
3 Garden Road, Central, Hong Kong.

#### Investment Manager

Invesco Asset Management (India) Private Limited  
2101 - A, 21st Floor, A Wing, Marathon Futurex, N. M. Joshi Marg, Lower Parel, Mumbai - 400013.

#### Trustee

Invesco Trustee Private Limited  
2101 - A, 21st Floor, A Wing, Marathon Futurex, N. M. Joshi Marg, Lower Parel, Mumbai - 400013.

#### Mutual Fund

Invesco Mutual Fund  
2101 - A, 21st Floor, A Wing, Marathon Futurex, N. M. Joshi Marg, Lower Parel, Mumbai - 400013.

This KIM is dated April 30, 2021



# Invesco India Nifty Exchange Traded Fund

(An open ended scheme replicating Nifty 50 Index)

## Investment Objective

To generate returns which closely correspond to the returns generated by securities as represented by Nifty 50, subject to tracking error, if any. However, there is no assurance or guarantee that the investment objective of the Scheme will be achieved. The Scheme does not assure or guarantee any returns.

## Asset Allocation Pattern of the Scheme

Type of Instruments	Indicative Allocation (% of net assets)	
	Minimum	Maximum
Securities covered by Nifty 50 <sup>1</sup>	95	100
Debt and Money Market Instruments	0	5

<sup>1</sup>Exposure to equity derivatives of the index itself or its constituent stocks may be undertaken when equity shares are unavailable, insufficient or for rebalancing in case of corporate actions for a temporary period. The maximum derivative position will be restricted to 10% of the net assets of the Scheme. The cumulative gross exposure through equity, debt and derivative positions shall not exceed 100% of the net assets of the Scheme.

## Investment Strategy of the Scheme

The Fund will follow a passive investment strategy and will invest in companies which are constituents of Nifty 50 in the same weights as in the Index with an endeavor to track the benchmark index with as low tracking error as possible. The Fund may, for a temporary period, take exposure to derivatives of the index or its constituent stocks when equity shares are unavailable, insufficient or for rebalancing in case of corporate actions and when it makes economic benefit for the Fund. The Fund in order to meet liquidity and expense requirements may also invest in debt and money market instruments.

- Equity and Equity Related Instruments: Equity share is a security that represents ownership interest in a company. It is issued to those who have contributed capital in setting up an enterprise. Equity Related Instruments are securities which give the holder of the security right to receive Equity Shares on pre agreed terms. It includes convertible bonds, convertible debentures, equity warrants, convertible preference shares, etc.
- Exchange Traded Fund: A scheme whose units are listed on the Exchange and can be bought/sold at a price, which may be close to the NAV of the scheme.
- Security selection criteria: In terms of investment objective and asset allocation pattern of the Scheme, the fund manager will invest 90% and more of net assets of the Scheme in the securities which are constituents of Nifty 50 in the same proportion as the securities have in the underlying Index.

## Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

- Equity and equity related instruments by nature are volatile and prone to price fluctuations on a daily basis due to macro and micro economic factors. The value of equity and equity related Instruments may fluctuate due to factors affecting the securities markets such as volume and volatility in the capital markets, interest rates, currency exchange rates, changes in law/policies of the Government, taxation laws, political, economic or other developments, which may have an adverse impact on individual securities, a specific sector or all sectors. Consequently, the NAV of the Units issued under the Scheme may be adversely affected. Equity and equity related instruments listed on the stock exchange carry lower liquidity risk but they run a settlement risk and also the risk of inability to sell securities held in the Scheme's portfolio.
- The Scheme would invest in the securities which are constituents of underlying Index in the same proportion as the securities have in the underlying Index. Hence, the risk associated with the corresponding underlying Index would be applicable to the Scheme.
- The Scheme is passively managed scheme. The Scheme's performance may be affected by a general decline in the Indian markets relating to its underlying Index. The Scheme invests in securities which are constituents of its underlying Index regardless of its investment merit, research, without taking a view of the market and without adopting any defensive measures. The AMC does not attempt to individually select stocks or take defensive positions in declining markets.
- "Tracking Error" is defined as the standard deviation of the difference between daily returns of underlying Index and NAV of Units of the Scheme. The fund manager may not be able to invest corpus of the Scheme in securities exactly in the same proportion as in the underlying Index due to certain factors such as rounding off, fees and expenses of the Scheme, corporate actions, cash balance, changes to the underlying Index and regulatory policies which may affect AMC's ability to achieve close correlation with the Underlying Index. The Scheme's returns may therefore deviate from those of its Underlying Index.
- Risks associated with investing in fixed income and money market instruments involve interest - rate risk, credit risk, liquidity or marketability risk, re-investment risk etc.
- Risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments. Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Other risks include risk of mispricing or improper valuation and the inability of the derivative to correlate perfectly with underlying assets, rates and indices, illiquidity risk whereby the Scheme may not be able to sell or purchase derivative quickly enough at a fair price.
- Risks associated with trading of Units on Stock Exchange(s) involve absence of prior active market, trading in Units on Stock Exchange(s) may be halted because of market conditions or for other reasons, the Units of the Scheme may trade above or below their NAV etc.

## Risk Mitigation Strategies

Type of Risk	Risk Mitigation Measures
Volatility Risk	The Scheme is a passively managed scheme and hence, volatility risk will be lesser as compared to actively managed schemes.
Concentration Risk	The Scheme will invest in securities which are constituents of underlying Index in the same proportion as the securities have in the underlying Index. Hence, concentration risk will be the same as that of underlying index, subject to tracking error.
Liquidity Risk	The Scheme will invest in securities which are constituents of Nifty 50 in the same weightage as that of Index. As per data from NSE, constituents of Index have good liquidity in the market. Therefore, the Scheme does not envisage liquidity risk.

## Plans and Options

None

## Applicable NAV

For Subscription / purchase / switch-ins of any amount:

1. In respect of valid application received upto 3.00 p.m. on a Business Day at the Official Point(s) of Acceptance and funds for the entire amount of subscription / purchase as per the application / switch-in request are available for utilization by the respective Scheme(s) before the cut off time i.e. funds are credited to the bank account of the respective Scheme(s) before the cut off time, the closing NAV of the same Business Day shall be applicable
2. In respect of valid application received after 3.00 p.m. on a Business Day at the Official Point(s) of Acceptance and funds for the entire amount of subscription / purchase as per the application / switch-in request are available for utilization by the respective Scheme(s) after the cut off time on the same day i.e. the funds are credited to the bank account of the respective Scheme(s) after cut off time on the same day or before the cut-off time of next Business Day, the closing NAV of next Business Day shall be applicable.

3. For determining the applicable NAV for allotment of units in respect of purchase / switch-in to the Schemes, the following shall be ensured:
  - i. Application / switch-in request is received before the applicable cut-off time.
  - ii. Funds for the entire amount of subscription / purchase as per the application / switch-in request are credited to the bank account of the respective Scheme(s) before the cut-off time.
  - iii. The funds are available for utilization before the cut-off time without availing any credit facility whether intra-day or otherwise, by the respective Scheme(s).
  - iv. In case of switch transactions from one scheme to another scheme, the allocation shall be in line with the redemption payout.

**For redemption / repurchases / switch-outs:**

1. In respect of valid application received at the Official Points of Acceptance upto 3.00 p.m. on a Business Day by the Fund, the closing NAV of the day on which application is received shall be applicable.
2. In respect of valid application received at the Official Points of Acceptance after 3.00 p.m. on a Business Day by the Fund, the closing NAV of the next Business day shall be applicable.

**For Switches:**

Valid applications for 'switch-out' shall be treated as applications for Redemption and the provisions of Cut-off Time and Applicable NAV mentioned in the SID as applicable to Redemption shall be applied to the 'switch-out' applications. In case of 'switch' transactions from one scheme to another the allocation shall be in line with redemption payouts.

**Minimum Application and Redemption Amount / Number of Units**

**Subscription / Redemption of Units directly with Mutual Fund**

Authorized Participants and Large Investors can subscribe or redeem the Units of the Scheme directly with Mutual Fund only in Creation Unit size. The number of units of the Scheme that investors can subscribe/redeem directly with Mutual Fund in exchange of Portfolio Deposit and Cash Component is 5,000 Units and in multiples thereof at NAV based prices.

Units of the Scheme in less than Creation Unit size cannot be subscribed/ redeemed directly with the Fund. However, the AMC may, at its discretion may allow redemption of Units of the Scheme in other than Creation Unit size if there is insufficient liquidity in the secondary market on the Stock Exchanges.

Each Creation Unit consists of 5,000 units of Invesco India Nifty ETF. The Mutual Fund may from time to time change the size of the Creation Unit in order to equate it with marketable lots of the underlying instruments.

If there are no quotes on the secondary market on NSE for 5 consecutive trading days, the Unit holder can redeem Units of Invesco India Nifty ETF directly with the Mutual Fund subject to payment of exit load of 1%.

Further, if the average discount of the bid price to the indicative NAV over a period of 30 trading days is greater than 3%, the Unit holder can redeem Units of Invesco India Nifty ETF directly with the Mutual Fund for a period of 3 consecutive trading days subject to payment of exit load of 1%. The intimation of the same will be displayed on our website ([www.invescomutualfund.com](http://www.invescomutualfund.com)).

**Purchase / Sale of Units on NSE**

There is no minimum investment, although Units are purchased /sold in round lots of 1 Unit at the price quoted on NSE

**Despatch of Repurchase (Redemption) Request**

Within 10 working days of the receipt of the redemption request at the authorised centre of Invesco Mutual Fund.

**Benchmark Index**

Nifty 50 TRI

**IDCW Policy**

Under the IDCW Option, the Trustees may declare the IDCW subject to availability of distributable surplus calculated in accordance with SEBI (Mutual Funds) Regulations, 1996. The amounts can be distributed out of investors capital (Equalization Reserve) which is part of sale price that represents realized gains. The actual declaration of IDCW and frequency will, inter-alia, depend on availability of distributable surplus calculated in accordance with SEBI (Mutual Funds) Regulations, 1996 and the decision of the Trustees shall be final in this regard. IDCWs, if declared, will be paid (subject to deduction of tax at source, if any) to those Unitholders whose names appear in the register of Unitholders on the notified record date.

**Name of the Fund Manager**

Mr. Kuber Mannadi

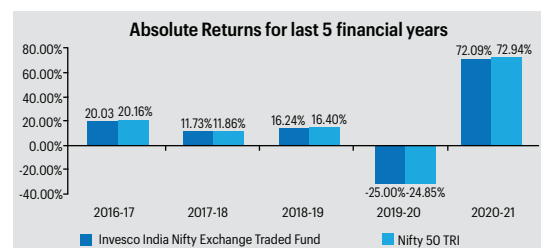
Tenure for which Fund Managers have been managing the Scheme: 9 Months

**Name of the Trustee Company**

Invesco Trustee Private Limited

**Performance of the Scheme (as on March 31, 2021)**

Particulars	1 Year	3 Years	5 Years	Since Inception
Invesco India Nifty Exchange Traded Fund	72.09%	14.35%	14.93%	11.55%
Nifty 50 TRI	72.54%	14.58%	14.12%	11.93%



**Past performance may or may not be sustained in future.**

Returns for 1 year are absolute. Returns > 1 year are compounded annualised returns (CAGR) and inception date is deemed to be the date of allotment. Date of inception : June 13, 2011. Returns for Invesco India Nifty Exchange Traded Fund are calculated after considering IDCW reinvestment of Rs. 5 per unit on record date - December 26, 2012 at ex-IDCW NAV of Rs. 591.3176 per unit. Benchmark returns are calculated using Total Return variant of respective benchmark index.

Benchmark returns are calculated using Total Return variant of respective benchmark index.

## Expenses of the Scheme

### i) Load Structure

#### Entry Load: Nil

In terms of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, no entry load will be charged on purchase / additional purchase / switch-in.

The upfront commission, if any, on investment made by the investor shall be paid by the investor directly to the Distributor, based on his assessment of various factors including the service rendered by the Distributor.

#### Exit Load: Nil<sup>1</sup>

<sup>1</sup>For redemption in Creation Unit Size

However, if there are no quotes on the secondary market on NSE for 5 consecutive trading days, the Unit holder can redeem Units of Invesco India Nifty ETF directly with the Mutual Fund subject to payment of exit load of 1%.

Further, if the average discount of the bid price to the indicative NAV over a period of 30 trading days is greater than 3%, the Unit holder can redeem Units of Invesco India Nifty ETF directly with the Mutual Fund for a period of 3 consecutive trading days subject to payment of exit load of 1%. The intimation of the same will be displayed on our website ([www.invescomutualfund.com](http://www.invescomutualfund.com)).

Exit Load charged, if any, will be credited back to the scheme, net of Goods & Services Tax. The AMC reserves the right to change/modify the load structure from a prospective date.

### ii) Recurring expenses (maximum as a % of daily net assets)

1.00% of daily net assets (estimated p.a.)

#### Actual expenses for previous financial year ended March 31, 2021 (Unaudited): 0.10%

(Includes Total Expense Ratio permissible under regulation 52 (6) (b), Additional expenses under Regulations 52(6A)(c) and Additional expenses for gross new inflows from specified cities under regulation 52 (6A) (b)).

The expenses to the scheme can be charged as Investment Management and Advisory Fees under Regulation 52 (2) and the various sub-heads of recurring expenses mentioned under Regulation 52 (4) of SEBI (MF) Regulations. Thus, there shall be no internal sub-limits within the expense ratio for expense heads mentioned under Regulation 52 (2) and (4) respectively. Further, the additional expenses under Regulation 52(6A)(c) may be incurred either towards investment & advisory fees and/or towards other expense heads as stated above.

In addition to TER within the limits specified under regulation 52 (6) of the Regulations, the AMC may charge expenses not exceeding 0.05% of daily net assets of the scheme as permitted under Regulation 52 (6A) (c), towards investment & advisory fees as specified under regulation 52(2) of the Regulations and/or towards recurring expenses as specified under 52(4) of the Regulations. **However, such additional expenses will not be charged if exit load is not levied / not applicable to the Scheme.**

#### Note

1. Of the above expense 0.02% on daily net assets will be set aside for investor education and awareness initiatives.
2. Goods & Services Tax on other expenses (including on brokerage & transaction cost, if any) will be borne by the Scheme within above limit. However, Goods & Services Tax on investment and advisory fees will be in addition to maximum limit as mentioned above.

**Additional Distribution Expenses in case of new inflows from specified cities :** Expenses not exceeding 0.30% of daily net assets of the Scheme will be charged towards additional distribution expenses if new inflows from beyond top 30 cities, as specified by SEBI from time to time, are at least: (a) 30% of gross new inflows in the scheme or (b) 15% of the average assets under management (year to date) of the scheme, whichever is higher. In case, inflows from beyond top 30 cities is less than the higher of (a) or (b) above, additional expenses shall be charged to Scheme on proportionate basis in accordance with SEBI Circular wide reference no. CIR/IMD/DF/21/2012 dated September 13, 2012.

The additional expenses on account of inflows from such cities charged will be credited back to the Scheme in case the said inflows are redeemed within a period of one year from the date of investment.

The additional expenses charged in case of inflows from such cities will be utilized for distribution expenses incurred for bringing inflows from such cities. It is clarified that the additional TER in terms of Regulation 52(6A)(b) of SEBI (Mutual Funds) Regulations, 1996 shall be charged upto 30 basis points on daily net assets of the scheme based on inflows only from retail investors beyond Top 30 cities (B 30 cities). Inflows of amount upto Rs. 2,00,000 per transaction by individual investors shall be considered as inflows from retail investors. Top 30 cities shall mean top 30 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography - Consolidated Data for Mutual Fund Industry' as at the end of the previous financial year. The additional commission for B 30 cities shall be paid as trail only.

**Brokerage and Transaction Cost :** In addition to limits specified in regulation 52 (6) of the Regulations, brokerage and transaction costs incurred for the purpose of execution of trade will be capitalized to the extent of 0.12% of value of trade in case of cash market transaction and 0.05% of value of trade in case of derivative transactions (inclusive of Goods & Services Tax).

Any payment towards brokerage and transaction cost for execution of trade, over and above the said limit of 0.12% for cash market transactions and 0.05% for derivatives transactions may be charged to the scheme within the maximum limit of Total Expense Ratio ("TER") as prescribed under regulation 52 of the Regulations.

The total expenses of the Scheme including the Investment Management and Advisory Fee shall not exceed the limits stated in Regulation 52 of the SEBI (MF) Regulations.

All scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the scheme only within the regulatory limits and not from the books of the AMC, its Associate, Sponsor, Trustee or any other entity through any route.

However, expenses that are very small in value but high in volume may be paid out of AMC's books at actuals or not exceeding 2 bps of respective scheme AUM, whichever is lower. A list of such miscellaneous expenses will be as provided by AMFI in consultation with SEBI.

For payment of Agents Commission, MF / AMC shall adopt full trail model of commission without payment of any upfront commission or up fronting of any trail commission, directly or indirectly, in cash or kind, through sponsorships, or any other route.

The Fund will update the current expense ratios on its website at least three working days prior to the effective date of the change. The investors can refer to <https://www.invescomutualfund.com/about-us?tab=Statutory> for Total Expense Ratio (TER) details.

Additionally, the Fund will disclose the Total Expense ratio (TER) of the Scheme on daily basis on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)) Further, any change in the base TER (i.e. TER excluding additional expenses provided in Regulation 52 (6A) (b) and 52 (6A) (c) of SEBI (Mutual Funds) Regulations, 1996 and Goods and Services Tax on investment and advisory fees) in comparison to previous base TER charged to the Scheme shall be communicated to investors of the Scheme through notice via email or SMS and will be uploaded on the website (<https://www.invescomutualfund.com/about-us?tab=Statutory>) at least three working days prior to effecting such change.

## Transaction Charges

In terms of SEBI circular no. IMD/ DF/ 13/ 2011 dated August 22, 2011, a transaction charge as follows is payable to distributors who have opted to receive transaction charge<sup>1</sup>:

- For existing investor in a Mutual Fund: Rs.100/- per subscription of Rs.10,000/- and above;
- For first time investor in Mutual Funds: Rs.150/- per subscription of Rs.10,000/- and above.  
However, there will be no transaction charge on:
  - Subscription of less than Rs. 10,000/-<sup>2</sup>; or
  - Transactions other than purchases / subscriptions relating to new inflows; or
  - Direct subscription (subscription not routed through distributor); or

- iv. Subscription routed through distributor who has chosen to 'Opt-out' of charging of transaction charge; or v. Transaction routed through Stock Exchange(s).

The transaction charge, if any, will be deducted by AMC from subscription amount and shall be paid to distributor. The balance subscription amount, after deducting applicable transaction charges, will be invested.

It is clarified that upfront commission to distributor will continue to be paid by the investor directly to distributor by a separate cheque.

<sup>1</sup>Distributors shall also have the option to either opt in or opt out of levying transaction charge based on type of the product.

<sup>2</sup>Subscription of Units of the Scheme directly with the Fund is for minimum 5,000 Units (Creation Units), the value of which exceeds Rs. 10,000/-, at applicable NAV.

### Stamp Duty

Pursuant to Part I of Chapter IV of Notification dated February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India on the Finance Act, 2019 read with subsequent Notification No. S.O. 1226 (E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, a stamp duty @ 0.005% of the Transaction Value will be levied on applicable mutual fund transactions i.e. purchases (including switch-in, dividend reinvestment etc.). Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase, switch-in, installment of Systematic Investment Plan, Systematic Transfer Plan and reinvestment of dividend to the unitholders will be lower to that extent. The stamp duty will be arrived at using inclusive method of calculation.

Further, for off market transfer of units held in demat mode as well units held in physical mode, the stamp duty @ 0.015% on the consideration amount stated in the transfer instrument.

For applying stamp duty, Transaction Value will be calculated after deducting transaction charges and such other charges as may be applicable from time to time. The calculation of stamp duty is explained as follows:

If an investor subscribes units for Rs.1,00,000/- through a distributor and transaction charges payable to distributor are Rs.100/-, then stamp duty will be calculated as follows:

Transaction Value = Subscription Amount - Transaction Charges (i.e. 1,00,000-100) = 99,900/-

Stamp Duty = (Transaction Value / 100.005) \* 0.005

= (99,900/100.005) \* 0.005

= 4.99

Accordingly, the amount of stamp duty of Rs. 4.99 will be deducted from the transaction value and for the balance amount, units will be allotted at applicable NAV.

### Waiver of Load for Direct Applications

Not Applicable

### Tax Treatment for the Investors (Unitholders)

Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to their tax advisors.

### Daily Net Asset Value (NAV) Publication

The NAV will be declared on all the Business Days. The AMC shall prominently disclose the NAVs of the Scheme under a separate head on the website of the Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)) and on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)). Investors can also call at 1800 209 0007.

Further the Mutual Fund / AMC has extended facility of sending latest available NAVs of the Scheme to the Unit holders through SMS upon receiving a specific request in this regard. Also, information regarding NAV can be obtained by the Unit holders / Investors by calling or visiting the nearest ISC

### For Investor Grievances please contact

**Registrar & Transfer Agents**  
KFin Technologies Private Limited

Unit: Invesco Mutual Fund,  
Karvy Selenium, Tower B, Plot No. 31 & 32, Gachibowli,  
Financial District, Nanakramguda, Serilingampally, Hyderabad - 500 032.  
Tel No.: +91 040 - 44857874 / 75 / 76  
Email: [mfservices@invesco.com](mailto:mfservices@invesco.com)

**Mr. Surinder Singh Negi**  
Invesco Asset Management (India) Pvt. Ltd.

2101 – A, 21st Floor, A Wing, Marathon Futurex,  
N. M. Joshi Marg, Lower Parel, Mumbai – 400013.  
Tel. No.: +91-22-67310000  
Fax No.: +91-22-23019422  
E-mail: [mfservices@invesco.com](mailto:mfservices@invesco.com)

### Unitholder's Information

#### Account Statement

- The units of the Scheme will be available only in Electronic (Demat) mode.
- Unit holder will receive a confirmation specifying the number of units allotted/ redeemed by way of e-mail and/ or SMS to the applicant's registered e-mail address and/or mobile number within five business days from the date of receipt of transaction request from the unit holders.
- Further, such Unit holder will receive the holding/transaction statements directly from his depository participant at such a frequency as may be defined in the Depositories Act, 1996 or regulations made there under or on specific request.

**Half Yearly Disclosures:** The Mutual Fund/AMC shall disclose portfolio (along with ISIN) of the Scheme as on the last day of the month / half year on website of Mutual Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)) and on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)) within 10 days from the close of each month/ half-year respectively in a user-friendly and downloadable spreadsheet format.

In case of Unitholders whose e-mail addresses are registered, the Mutual Fund / AMC shall send via e-mail both the monthly and half-yearly statement of Scheme portfolio within 10 days from the close of each month / half-year respectively.

Further, the Mutual Fund / AMC shall publish an advertisement in the all India edition of at least two daily newspapers, one each in English and Hindi, every half-year disclosing the hosting of the half-yearly statement of the Scheme portfolio on the website of the Mutual Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)) and on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)).

The Unitholder may request for physical or electronic copy of the statement of Scheme portfolio by writing to the AMC at the e-mail address [mfservices@invesco.com](mailto:mfservices@invesco.com) or calling the AMC on 022-67310000 or on 1800-209-0007 (Toll Free) or by submitting the request letter to any of the Investor Services Centre of Invesco Mutual Fund or of KFin Technologies Private Limited

The Mutual Fund / AMC shall provide a physical copy of the statement of Scheme portfolio, without charging any cost, on specific request received from a unitholder.

Further, the Mutual Fund and Asset Management Company shall within one month from the close of each half year (i.e. on 31st March and on 30th September) host a soft copy of the unaudited financial results of the Scheme on the website of the Mutual Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)) and on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)). Also an advertisement disclosing the hosting of the unaudited financial results of the Scheme on the website will be published, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in language of the region where the Head Office of the Mutual Fund is situated.

The AMC has provided on its website a dashboard in a comparable, downloadable (spreadsheet) and machine readable format giving information such as Scheme's AUM, investment objective, expense ratios, portfolio details, scheme's past performance etc. The dashboard is updated in April every year.

**Annual Financial Results:** The scheme wise annual report and abridged summary thereof shall be hosted on the website of the Mutual Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)) and on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)) not later than four months (or such other period as may be specified by SEBI from time to time) from the date of closure of the relevant accounting year (i.e. 31st March each year) and link for the same will be displayed prominently on the website of the Mutual Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)).

In case of Unit holders whose e-mail addresses are registered with the Mutual Fund, the AMC shall e-mail the annual report or an abridged summary to such unit holders.

The Unitholders whose e-mail addresses are not registered with the Mutual Fund will have an option to opt-in to continue receiving physical copy of the scheme wise annual report or an abridged summary thereof.

Mutual Fund / AMC shall publish an advertisement in the all India edition of at least two daily newspapers, one each in English and Hindi, every year disclosing the hosting of the scheme wise annual report on the website of the Mutual Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)) and on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)).

Physical copies of Full annual report / abridged summary thereof shall also be available for inspection at all times at the Head Office of the Mutual Fund at 2101-A, 21st Floor, Marathon Futurex, N. M. Joshi Marg, Lower Parel, Mumbai - 400013.

The Unitholder may request for physical or electronic copy of annual report or abridged summary thereof by writing to the AMC at the e-mail address [mfservices@invesco.com](mailto:mfservices@invesco.com) or calling the AMC on 022-67310000 or on 1800-209-0007 (Toll Free) or by submitting the request letter to any of the Investor Services Centre of Invesco Mutual Fund or of KFin Technologies Private Limited. The physical copy of annual report and abridged summary of annual report will be provided without charging any cost.

#### Disclosure of Risk-o-Meter

Risk-o-meter disclosed in the product label of the Scheme is based on the Scheme portfolio as on March 31, 2021. The AMC will evaluate the Risk-o-Meter on a monthly basis and shall disclose the same along with the portfolio disclosure.

Any change in the risk-o-meter will be communicated by way of Notice-cum-Addendum uploaded on website of the Mutual Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)) and by way of an email / sms to the Unit holders of the Scheme.

#### Disclosures as per SEBI circular dated March 18, 2016 are as follows: Scheme's Portfolio Holding (As on March 31, 2021)

Top 10 holdings by issuer		Fund allocation towards various sectors	
Name of issuer	% of Net Assets	Sector	% of Net Assets
HDFC Bank Limited	10.24%	Financial Services	38.02%
Reliance Industries Limited	10.19%	IT	16.77%
Infosys Limited	7.98%	Oil & Gas	11.77%
Housing Development Finance Corporation Limited	7.08%	Consumer Goods	11.48%
ICICI Bank Limited	6.33%	Automobile	5.40%
Tata Consultancy Services Limited	5.18%	Pharma	3.26%
Kotak Mahindra Bank Limited	4.04%	Metals	2.86%
Hindustan Unilever Limited	3.42%	Cement & Cement Products	2.71%
ITC Limited	3.00%	Construction	2.70%
Axis Bank Limited	2.76%	Telecom	1.97%
		Power	1.67%
		Services	0.81%
		Fertilisers & Pesticides	0.56%
		Cash & Cash Equivalent:	0.02%
		Others	0.02%
		<b>Grand Total</b>	<b>100.00%</b>

Website link to obtain scheme's latest monthly portfolio holding: <https://www.invescomutualfund.com/literature-and-form?tab=Complete>

**Scheme's Portfolio Turnover ratio** 0.06 times  
(For the year ended March 31, 2021)

## 1. GENERAL INSTRUCTIONS

- (a) Please read the Statement of Additional Information, Key Information Memorandum and the Scheme Information Document (SID) containing the terms of offer carefully before investing. In the SID, your attention is particularly drawn to the risk factors of investing in the scheme and also the sections "Who cannot invest" and "Important note on anti-money laundering & KYC, FATCA/ CRS.
- (b) All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
- (c) Application Form should be filled legibly in **ENGLISH in BLOCK** letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.
- (d) Please strike out any section that is not applicable. Cancellation/ white-ink on any of the mandatory information should be countersigned.
- (e) Applicant can make application by filling in "Application Form" and submitting the Application Form at any of the Official Points of Acceptance (as per list provided in the application form).
- (f) Investments through distributors : Pursuant to SEBI circular vide reference no. CIR/IMD/ DF/21/2012 dated September 13, 2012, it is mandatory to mention Employee Unique Identification Number ('**EUIN**') of individual ARN holder or of employee/relationship manager/ sale person of the Distributor in the application form if the investments are routed through a Distributor. EUIN is allotted by AMFI and mentioning EUIN would assist in addressing instances of mis-selling. If there is no interaction by the employees / sales person / relationship manager of the distributor / sub-distributor w.r.t. transaction, then the EUIN box may be left blank, but it would be mandatory for the investor to provide confirmation as mentioned in the application form.
- (g) Investors must ensure that the email address and mobile number provided is of the primary holder and not of any third party. If the AMC / RTA finds that the email address / mobile number provided is not of the actual investor or seems to be incorrect, the AMC / RTA may choose not to capture / update the email address / mobile number.

## 2. APPLICANT INFORMATION

- (a) Name should be written in full and in the same sequence as it appears in your demat account / depository records.
- (b) In case investment is 'On behalf of Minor':
  - I. Name of the Guardian must be mentioned if the investments are being made on behalf of the minor. Payment for investment shall be accepted from the bank account of the minor or from a joint account of the minor with the guardian only. For existing folios, if the bank account registered in the folio is not in favour of minor or not a joint account of minor with the guardian, then the Unitholder will be required to submit the change of bank mandate where minor is bank account holder (either single or joint with guardian) or else the transactions are liable to be rejected. Guardian in the folio on behalf of minor should either be a natural guardian (i.e. father or mother) or a Court appointed legal guardian. A document evidencing the relationship should be submitted if the same is not available as part of the documents stated in point (c) below.
  - II. The minor shall be the first and sole holder. There shall not be any joint holder where minor is the first holder.
  - III. Date of birth of minor along with the photocopy of following supporting documents shall be mandatory while investing on behalf of minor:
    - (a) Birth certificate of the minor; or
    - (b) School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc.; or
    - (c) Passport of the minor; or
    - (d) Any other suitable proof evidencing the date of birth of the minor.
    - (e) Name of the Contact Person, email and telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FPI and other non-individual applicants.
    - (f) Complete postal address should be stated. P.O. Box address alone is not sufficient. NRIs / FPIs should necessarily state their overseas address failing which application will be rejected. In addition, Indian address (if available) may be stated for correspondence. It should be noted that NRI residents in any Financial Action Task Force non-compliant countries or territories, US Persons & Residents of Canada cannot invest in the scheme.
    - (g) In case of NRI / FPI investors, the Account Statements / Allotment Advice / other correspondence will be sent to the mailing address mentioned.
    - (h) Please fill in your date of birth as this may be required for validating your identity for certain transactions / communications.
    - (i) If you have an email ID, please provide the same, this will help us send investment / product related communication and resolve any queries more promptly.

## 3. PAN (Permanent Account Number)

It is mandatory for all existing and prospective investors irrespective of the amount of purchase<sup>1</sup> (including joint holders, guardians of minors and NRIs) to mention the PAN on the application form. In case of minor, the PAN of his / her guardian should be quoted. In the absence of this, application will be rejected. Further, following investors are exempted from the mandatory requirement of PAN for transacting in securities market:

- i Investors who want to make Micro Investment with an aggregate of the lump sum investment up to Rs. 50,000/- in a rolling 12 months period or a financial year i.e. April to March<sup>2</sup> per investor, per year, per mutual fund (Micro Investments):
- ii Applicants resident in the state of Sikkim, Central Government, State Government, officials appointed by the courts e.g. official liquidator, court receiver etc. (under the category of Government)

The exemption will be applicable only to Micro Investment made by individuals (including NRIs, Minors acting through Guardian and sole Proprietary Firms). However PIOs, HUF and other categories of investors will not be eligible for this exemption. This would be subject to collecting necessary documentary evidence by the AMC/ Fund to verify the veracity of the claim. However, such investors are mandatorily required to complete the KYC documentation as prescribed by KRA and to obtain PAN Exempted KYC Reference Number (PEKRN). These investors should mention the PEKRN in place of PAN.

<sup>1</sup>includes fresh / additional purchase, switch, systematic investment plan, systematic transfer plan and IDCW reinvestment. <sup>2</sup>Such investments will be aggregated at the investor level irrespective of the number of folios under which the investor has invested.

Since, vide SEBI circular dated January 4, 2013, the requirement of verification of PAN with original copy is dispensed with, investors are not required to provide original PAN card for verification.

Please note that Submission of Permanent Account Number (PAN) is mandatory for all the financial transactions including redemption / repurchase transactions in respect of non-PAN- exempt folios for all the unit holders in the folio.

## 4. BANK ACCOUNT DETAILS

As per SEBI Guidelines, it is mandatory for the Sole / First Applicant to provide the bank account details in the application form. The bank details should be same as those registered with the DP. In case of any discrepancy, the bank details as per the depository records will be final.

If the cheque submitted along with the application form for subscription under a new folio is drawn on bank account (pay-in Bank Account) which is different from pay-out bank account details (i.e. bank account for receipt of redemption / IDCW proceeds) as mentioned in the application form, then it would be mandatory for the investor to submit below mentioned documentary proof:

1. Cancelled Original cheque leaf of the pay-out bank account having the First Holder Name and bank account number printed on the cheque; or
2. Self- attested Bank statement/pass book with current entries not older than 3 months reflecting the First Holder Name, Bank Account Number and Bank Name as specified in the application;
3. Confirmation on the bank's letter head attested by the bank manager with his name, designation and employee number confirming the investor details and bank mandate information.

Originals of above documents should be produced for verification at the Investor Service Centre or Official Points of Acceptance of Transactions of the Fund and the original will be returned across the counter after verification. In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his name, designation and employee number.

Investors are requested to note that applications for new folio creation submitted (wherein the pay-in bank details are different from pay-out bank details) without any of the above mentioned documents are liable to be rejected.

## 5. DEMATERIALIZATION

As the units of the Scheme will be issued in Demat form, applicants must ensure that the sequence of names as mentioned in the application form matches with that of the beneficiary account held with the Depository Participant. Names, address, PAN and KYC details mentioned in the application form will be verified against Depository data. Only those applications where the details are matched with the depository data will be treated as valid applications. If the details mentioned in the application are incomplete/incorrect, not matched with the depository data, the application shall be treated as invalid and shall be liable to be rejected.

## 6. MODE OF PAYMENT

Payment can be made in any of the following modes :

- (a) Payment should be made by way of a single cheque / demand draft (multiple cheques will not be accepted) and must be payable locally at the Official Point(s) of Acceptance where the application is lodged. The cheque / demand draft should be drawn favouring the Scheme e.g. **Invesco India Nifty Exchange Traded Fund**, and crossed "Account Payee Only". Please mention the application no. and applicant name on the reverse of the Cheque/Draft tendered with the application. In order to avoid fraud and prevent misuse of payment instruments, investors are advised to draw payment instrument (i.e. cheque, demand draft, pay order etc.) favouring either "**Invesco India Nifty Exchange Traded Fund A/c First Investor name**" or "**Invesco India Nifty Exchange Traded Fund Permanent Account Number of the First investor**". The Fund / AMC will not accept any request for refund of demand draft charges. Applications accompanied by cheques/drafts not fulfilling the above criteria are liable to be rejected. Payment can also be made by Electronic Funds Transfer (EFT) by way of direct credit/ RTGS / NEFT to Scheme Collection Account by clearly mentioning the name of the investor and application number.
- (b) Stock Invest/Cash/Money Order/Postal Order/ Post dated Cheques will not be accepted.
- (c) The Fund is not obliged to represent dishonoured cheque or inform the investor/ investor's agent about it.
- (d) NRI / FPI / PIO Investors

### Repatriation Basis

Payments by NRIs / Persons of Indian Origin (PIO) residing abroad / FPI may be made either by way of Indian Rupee drafts or cheques by means of (i) inward remittance through normal banking channels; or (ii) out of funds held in NRE / FCNR account payable at par and payable at the cities where the Official Points of Acceptance are located.

In case of Indian Rupee drafts purchased abroad or subscription through NRE/ FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit / Foreign Inward Remittance Certificate (FIRC) should also be enclosed.

In case the debit certificate / FIRC is not provided, the AMC reserves the right to reject the application of the NRI investors.

#### Non Repatriation Basis

NRIs or person of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques / demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Official Points of Acceptance are located.

- (e) Restrictions on acceptance of Third Party Payment for subscription to units of scheme.

Application with **Third Party Payments** for subscriptions to Scheme will not be accepted except in following cases:

- Payment by Parents/Grand-Parents/Related Persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs 50,000/-; However, this restriction will not be applicable for payment, made by a Guardian, whose name is reentered in the records of Mutual Fund in that folio.
- Payment by employer on behalf of employee(s) under lumpsum / one-time subscription, through Payroll deductions or deductions out of expenses reimbursements;
- Custodian on behalf of a FPI or a client.
- Payment by Invesco Asset Management (India) Pvt. Ltd. to a Distributor empanelled with it on account of commission/incentive etc. in the form of the units of schemes of Invesco Mutual Fund through Systematic Investment Plans or lump sum / one-time subscription.
- Payment by Corporates to its Agents/Distributor/ Dealer (similar arrangement with Principal-agent relationship) on account of commission or incentive payable for sale of its goods/services in the form of units of schemes of Invesco Mutual Fund through lump sum / one-time subscription.

'**Related Person**' means any person investing on behalf of a minor in consideration of natural love and affection or as a gift.

'**Third-Party Payment**' means a payment made through instruments issued from a bank account other than that of bank account of first named applicant/investor or a joint bank account where the first named unit holder/investor is not one of the joint holders of bank account from which payment is made.

In each of the exceptions mentioned above, investors are required to attach following mandatory documents without which application for subscription to units will be rejected:

- KYC of all the investors (guardian in case of minor) and KYC of person making the payment i.e. parent, related person, employee, custodian.
- Third Party Declaration from the investors and the person making the payment (Third Party) (available on our website [www.invescomutualfund.com](http://www.invescomutualfund.com))

It is mandatory for investor to mention details of bank account from where payment for subscription is made in **point 2** of application form. Further the name of first unit holder/ applicant should be pre-printed as on of the holder on payment cheque. In case, name of first unit holder/ applicant is not pre-printed on cheque, the investor should submit copy of bank statement or pass book showing account number, account holders' name and address or a original letter from the bank certifying that the investor maintains a bank account with the bank and mentioning the details like bank account number, branch address, account type and IFSC code, if any, of the branch. Originals of the bank statement / pass book should be produced for verification and the same will be returned across the counter after verification;

In case payment is made through pay order, demand draft, banker's cheque etc., an investor should submit a certificate (in original) from issuing bank as a proof of debit of investor's bank account with details of account holders' name, bank account number which has been debited for issue of payment instrument and PAN as per bank records, if available or a copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the investor as an account holder are available or a copy of the passbook/bank statement evidencing the debit for issuance of a DD.

In case of RTGS, NEFT, bank transfer etc., the investor should submit an acknowledged copy of the instruction to bank stating the account number to be debited.

Demand draft, pay order, banker's cheque purchased against cash of Rs. 50,000/- or more will not be accepted. Such purchase application (for amount less than Rs. 50,000) should be accompanied with a banker's certificate stating the investor's Name, bank account number and PAN as per bank record, if available, is a must.

The bank account number mentioned in the certificate/ instructions must be the bank account which is registered with the Fund or the first named applicant /investor should be one of the holders of the said bank account.

Please refer to Statement of Additional Information for a facility of multiple Bank Accounts registration in a folio.

Certificate from Bank should be signed by the authorised person of the issuing bank mentioning his name, employee code, contact details and bank seal. As per RBI circular "Introduction of Legal Entity Identifier for Large Value Transactions in Centralized Payment Systems", from April 1, 2021, it will be mandatory to include remitter and beneficiary LEI information while initiating RTGS and NEFT for all purchases (inward remittance), redemption / IDCW / brokerage payouts (outward remittance), where transaction value is of INR 50 crore and above.

#### 7. PROCEDURE OF SUBSCRIBING UNITS OF THE SCHEME FOR PORTFOLIO DEPOSIT DIRECTLY WITH THE MUTUAL FUND:

The subscription request can be made to the Mutual Fund in a duly filled application form. Application forms for subscription of Invesco India Nifty ETF can be obtained from the office of AMC, distributors and Registrar and Transfer Agents.

Authorized Participants and Large Investors can also subscribe Units of Invesco India Nifty ETF directly with the Fund in Creation Unit Size (presently 5,000 units in multiples thereof) against the exchange of Portfolio Deposit and Cash Component. The requisite securities constituting the Portfolio Deposit should be transferred to the Fund's DP Account as per details below:

Depository Participant (DP)	DP ID	Client ID	Client Name
Deutsche Bank	16013900	00012422	Invesco Trustee Pvt. Ltd. - A/C Invesco India Nifty Exchange Traded Fund.

Further Cash Component should be credited to the following Bank Account:

Bank	Account Nomenclature	Account Number	IFSC Code
HDFC Bank	Invesco MF - Common ETF A/c	00600460001135	HDFC0000060

The Units will be credited to the Authorized Participants/Large Investor's depository account only after the confirmation from the Custodian about credit of securities constituting the Portfolio Deposit and Cash Component.

For details of securities constituting the Portfolio Deposit and Cash Component for subscription, the Authorized Participants and Large Investors are requested to refer to our website [www.invescomutualfund.com](http://www.invescomutualfund.com).

The AMC may create Creation Unit prior to receipt of all or a portion of the relevant Portfolio Deposit and Cash Component in certain circumstances where the purchaser, among other things, posts collateral to secure its obligation to deliver such outstanding Portfolio Deposit and Cash Component.

The Portfolio Deposit and Cash Component for Invesco India Nifty ETF may change from time to time. The Fund may from time to time change the size of Creation Unit.

#### Procedure of Redeeming Units of the Scheme for Portfolio Deposit directly with the Mutual Fund

The redemption request can be made to the Mutual Fund in a duly filled redemption form. Redemption forms for redeeming the Units of Invesco India Nifty ETF can be obtained from the office of AMC and Registrar and Transfer Agents.

Authorized Participants and Large Investors can also redeem the Units of Invesco India Nifty ETF directly with the Fund in Creation Unit size. The requisite number of Units of Invesco India Nifty ETF equaling the Creation Unit has to be transferred to the Fund's DP account as per the details below:

Depository Participant (DP)	DP ID	Client ID	Client Name
Axis Securities Limited	12049200	07267320	Invesco Mutual Fund

Further, the Cash Component should be credited to the following Bank Account:

Bank	Account Nomenclature	Account Number	IFSC Code
HDFC Bank	Invesco MF - Common ETF A/c	00600460001135	HDFC0000060

On confirmation of the same by the AMC, the AMC will credit the redemption proceeds in the form of securities constituting Portfolio Deposit to the designated DP account of the investor and pay the Cash Component for redemption, if applicable.

The AMC may redeem Units prior to receipt of all or portion of the relevant number of Units of Invesco India Nifty ETF in certain circumstances where the Unit holder, among other things, posts collateral to secure its obligation to deliver such outstanding Units of the Scheme.

The Portfolio Deposit and Cash Component for the Units of the Scheme may change from time to time. The Fund may from time to time change the size of Creation Unit. If there are no quotes on the secondary market on NSE for 5 consecutive trading days, the Unit holder can redeem Units of Invesco India Nifty ETF directly with the Mutual Fund subject to payment of exit load of 1%.

Further, if the average discount of the bid price to the indicative NAV over a period of 30 trading days is greater than 3%, the Unit holder can redeem Units of Invesco India Nifty ETF directly with the Mutual Fund for a period of 3 consecutive trading days subject to payment of exit load of 1%. The intimation of the same will be displayed on our website ([www.invescomutualfund.com](http://www.invescomutualfund.com)).

#### Subscription/Redemption of Invesco India Nifty ETF for Cash directly with the Mutual Fund

The Mutual Fund may allow cash subscription of Units of Invesco India Nifty ETF in Creation Unit size by Authorized Participants and Large Investors. Such investors shall make subscription request to the Mutual Fund/AMC whereupon the Mutual Fund/AMC will arrange to purchase the underlying portfolio securities. The Portfolio Deposit and Cash Component will be exchanged for Invesco India Nifty ETF in Creation Unit size with the Mutual Fund.

Also, the Mutual Fund may allow cash redemption of Units of Invesco India Nifty ETF in Creation Unit size by Authorized Participants and Large Investors. Such investors shall make redemption request to the Mutual Fund/AMC whereupon the Mutual Fund/AMC will arrange to sell underlying portfolio securities on behalf of the investor. Accordingly the sale proceeds of portfolio securities, after adjusting Cash Component for redemption, necessary charges/costs and prevailing exit load will be remitted to the investor.

The subscription /redemption request for cash can be made to the Mutual Fund in a duly filled application form. Application form for cash subscription/ redemption of Units of Invesco India Nifty ETF can be obtained from the office of the AMC and Registrars and Transfer Agents.

#### 8. E-MAIL COMMUNICATION

If the investor has provided email id / mobile number, then Account statement / Allotment advice/ Transaction Confirmation, other statutory as well as general information and any relevant / important information pertaining to the investor's investment with the Fund will be sent only through email / SMS instead of physical. If an investor needs a hard copy, then a request should be sent by email to [mfservices@invesco.com](mailto:mfservices@invesco.com).

The Fund / Registrar are not responsible for email not reaching the investor and for all consequences thereof. It is the responsibility of the investor to intimate the Fund / Registrar about any changes in the registered email address, as it will enable the AMC to send important communication regarding your investment(s). In case of a large document, a suitable link would be provided, and investor can download, save and print documents. However, investor always has a right to demand for a physical copy of any or all of the service deliverables and the Fund would arrange to send the

same to the investor.

Investors must ensure that the email address and mobile number provided is of the primary / joint unit-holder(s) / Family member (spouse, dependent children or dependent parents) and not of any third party. Please ensure to specify the option as self / family member.

If the AMC / RTA finds that the email address / mobile number provided is not of the actual investor or seems to be incorrect, the AMC / RTA may choose not to capture / update the email address / mobile number. The AMC also reserves the right to capture / update the email address / mobile number from the KYC/KRA portal.

We recommend that investor First / Sole Holder in the folio must provide their own email address and mobile number for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

#### 9. NOMINATION DETAILS

Since the units of the Scheme will be issued in electronic (demat) mode, nomination details provided to the DP shall be applicable.

#### 10. DIRECT CREDIT OF REDEMPTION/IDCW PROCEEDS

The Fund will give direct credit for redemption/IDCW proceeds into the investor's bank account linked to the beneficiary account with the Depository Participant and such instruction will be adequate discharge of the Fund towards the said payment. In case the credit is not effected by the Unit holder's banker for any reason, the Fund reserves the right to make the payment by a cheque/ Demand Draft. If the direct/ electronic credit is delayed or not effected or credited to a wrong account, on account of incomplete / incorrect information, the Fund will not be held responsible. If however, you wish to receive a cheque payout, please tick in the space provided in the application.

#### 11. PREVENTION OF MONEY LAUNDERING AND KNOW YOUR CUSTOMER (KYC)

To ensure appropriate identification of the investor and with a view to monitor transactions for the prevention of money laundering as prescribed by the Prevention of Money Laundering Act, 2002, the AMC reserves the right to:

- Scrutinize and verify the identity of the investor, Unitholder, person making the payment on behalf of the investor and the source of the funds invested / to be invested in Invesco Mutual Fund;
- Reject any application;
- Prevent further transactions by a Unitholder;
- To mandatorily redeem the units held by the Unitholder at the applicable NAV prevalent and subject to payment of exit load, if any' at the time of such redemption; and

Report cases to the Specified Director appointed by the Central Government, pertaining to a single transaction exceeding Rs.10 lacs or series of transactions integrally connected or remotely connected or related to each other which are valued below the prescribed value of Rs. 10 lacs within a month.

#### 12. KNOW YOUR CUSTOMER (KYC) REQUIREMENTS

- KYC is mandatory for applicants for subscription in the schemes of Invesco Mutual Fund.

The applicants for the purpose of KYC Compliance shall include

- their constituted Power of Attorney (PoA) holder in case of investments through a PoA;
- each of the applicants in case of investments in joint names; and
- guardian in case of investments on behalf of minor.

Pursuant to SEBI Circular dated October 5, 2011 regarding uniformity in the Know Your Customer (KYC) process in the securities market and SEBI Circular dated December 23, 2011 regarding requirement of in-person verification of investors and guidelines for KYC Registration Agency, the investors are required to submit a common KYC Application Form with specified documents and undergo In-Person verification (IPV) with effect from January 2, 2012 ("Effective Date"). The new KYC Application Forms are available on our website [www.invescomutualfund.com](http://www.invescomutualfund.com).

The Fund shall perform the initial KYC / due diligence of its new investors and may undertake enhanced KYC measures commensurate with the risk profile of its investors. Registrar & Transfer Agent (RTA) of Invesco Mutual Fund ("the Fund") may also undertake the KYC of the investors on behalf of the Fund. The Fund/ RTA shall upload the KYC information of the investors on the system of CERSAI (Central Registry of Securitisation Asset Reconstruction and Security Interest). For complete documentation CERSAI will send email / SMS containing KYC identification number (KIN) to investors as per their email / mobile records. However, as per SEBI circular no. CIR / MIRSD / 66 / 2016 dated July 21, 2016 read with SEBI circular no. CIR / MIRSD / 120 / 2016, dated November 10, 2016 all investors has to complete the new CKYC registration. In case KYC documents are incomplete, the investors will have to submit necessary documents as required by CERSAI.

In-Person Verification (IPV) of new investors is mandatory from the Effective Date. The AMC or its RTA or NISM/AMFI certified distributors who are KYD compliant shall undertake the IPV for the investors of the Fund. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks. IPV carried out by any other SEBI registered intermediary will also be relied upon by the Fund.

Currently existing investors who have done KYC with a SEBI registered intermediary can submit letter/ acknowledgement issued by KRA. Investors whose KYC is registered and verified in the KRA system wants to modify existing details in KRA, then such investors need to fill CKYC form. Applications without valid KYC compliance will be rejected.

- Any subsequent change in address, Pin Code, Country, Nationality, Date of Birth, Proof of Identity or any details provided at the time of submission of Common KYC Form should be communicated to SEBI registered intermediary

and Occupation, Income Details and PEP status should be communicated to the AMC in the prescribed manner along with requisite supporting documents as may be specified from time to time. Address details of the investor will be overwritten with the details available in the KRA /CERSAI records.

- It is mandatory for all investors to provide additional KYC information (such as income details, occupation, association with politically exposed person, net worth etc.) as mentioned in the application form, failing which the application will be liable to be rejected.

Further no subscriptions (whether fresh or additional) and switches pertaining to 'KYC - on Hold' cases shall be accepted, unless the investors/ unit holders also submit relevant KYC missing/ updated information, which is appropriately updated on the KRA-KYC system. However, systematic transaction such as Systematic Investment Plan (SIP)/ Systematic Transfer Plan (STP) etc. already registered till December 31, 2010 are exempted from the above stipulations.

- Non Individual applicants are mandatorily required to provide details of net worth along with gross annual income. Individual applicants should provide details of net worth or gross annual income or both. While providing details of net worth, the same should be of a date which is within one year. In case applications are received where gross annual income is not selected, then Rs. 1 lac to Rs. 5 lacs income slab will be considered as the default income slab. In case there is a significant change in KYC details, non-individual investors will have to initiate a KYC modification request.
- It is mandatory for existing investors/unit holders to complete the In-Person Verification (IPV) and to provide the missing KYC information, failing which the transaction for additional subscription (including switches/SIP/STP) in the existing folios will be liable to be rejected.

The KYC compliance status of the investors will be validated with the records of the KRA/ CERSAI. The AMC reserves right to reject application forms for transactions in units of the Fund not accompanied by common KYC Application Form or letter / acknowledgement issued by KRA/CERSAI.

It is mandatory to complete the KYC requirements for all unit holders, including for all joint holders and the guardian in case of folio of a minor investor. Accordingly, financial transactions (including redemptions, switches and all types of systematic plans) and non-financial requests will not be processed if the unit holders have not completed KYC requirements.

#### 13. ULTIMATE BENEFICIARY OWNER

Pursuant to SEBI Master Circular no. CIR/ISD/AML/3/2010 dated December 31, 2010 on anti-money laundering standards and SEBI Circular no. CIR/MIRSD/2/2013 dated January 24, 2013 on identification of Beneficial Ownership, investors (other than Individuals) are required to provide details of Ultimate Beneficial Owner(s) ("UBO(s)") and submit proof of identity (viz. PAN with photograph or any other acceptable proof of identity prescribed in common KYC form) of UBO(s).

As per these guidelines, UBO means 'Natural Person', or persons who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement. Investors are requested to refer to the 'UBO Declaration' for details of UBO.

In accordance with AMFI Best practices guidelines Circular no. 62/2015-16 dated September 18, 2015, investors are requested to note the following:

- It is mandatory for all investors / unit holders to provide beneficial ownership details failing which the transaction for additional subscription (including switches) will be liable to be rejected.

However, systematic transactions such as Systematic Investment Plan (SIP)/ Systematic Transfer Plan (STP) etc. already registered till December 31, 2015 are exempted from the above stipulations.

#### 14. FOREIGN ACCOUNTS TAX COMPLIANCE ACT ("FATCA") / COMMON REPORTING STANDARD ("CRS")

Invesco Asset Management (India) Private Limited (AMC) is required to collect certain information as declaration from the investors in order to comply with the requirement of Foreign Account Tax Compliance Act provisions (commonly known as FATCA) as contained in the US Hire Act 2010 and Common Reporting Standard (CRS) on Automatic Exchange of Information (AEOI). Under the FATCA regime, the AMC would be required to collect information/ certification from the investors as per the US indicia, report information on the holdings or investment returns of any investor to the US authorities and/or apply withholding tax on payments to investors who fail to provide the information and/or documents required under FATCA.

India has joined the Multilateral Competent Authority Agreement (MCAA) on AEOI for CRS. The CRS on AEOI requires the financial institutions to collect and report information to their tax authorities about account holders "resident" in other countries.

All investors will have to mandatorily provide the details and declaration pertaining to FATCA/ CRS for all new accounts opened, failing which applications are liable to be rejected. Subject to the Inter-Governmental Agreement (IGA) between Governments of India and United States of America and MCAA, the FATCA/CRS requirements are subject to change from time to time.

#### 15. DECLARATION AND SIGNATURE

- All the applicants must sign the application form. Signatures should be in English or in any Indian language. Thumb impression should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of HUF, the Karta will sign on behalf of the HUF.
- The original Power of Attorney or a duly notarized copy of the Power of Attorney shall be required to be submitted where applications are made under a Power of Attorney.

- All applications are subject to detailed scrutiny and verification. Applications which are not complete in all respects are liable for rejection, either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrars.

## Checklist (Please ensure the following)

### Please ensure that:

- Application Form is complete in all respect. Name, address & contact details are mentioned in full and signed by all applicants.
- Bank account details stated are complete, correct and same as registered with DP.
- Permanent Account Number (PAN) for all applicants as applicable is mentioned.
- The cheque / demand draft should be drawn favouring the name of the scheme & crossed as **"Account Payee Only"**, dated and duly signed.
- Application Number / Folio Number and applicant's name is mentioned on the reverse of the cheque.
- Demat A/c details for all categories of investors to be filled compulsorily. **Please provide self attested Client Master list Copy.**
- Not a US Person & Resident of Canada.

### Accompanying documents

Please submit the following documents with your application (where applicable). All documents should be original / true copies by a Director / Trustee / Company Secretary / Authorised Signatory.

Documents	Individuals/ Sole Proprietor <sup>1</sup>	Companies	Societies	Partnership Firms	Investments through PoA	Trust	NRI	FPI <sup>2</sup>	HUF	Minor
Resolution / Authorisation to invest		✓	✓	✓		✓		✓		
List of Authorised Signatories with Specimen signature(s)		✓	✓	✓	✓	✓		✓		
Memorandum & Articles of Association		✓								
Trust Deed						✓				
Bye - Laws			✓							
Partnership Deed				✓						
SEBI Registration / Designated Depository Participant Registration Certificate								✓		
Notarised Power of Attorney					✓					
Foreign Inward Remittance Certificate, in case payment is made by DD from NRE / FCNR a/c, where applicable							✓			
KYC Acknowledgement	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Demat Account Details (Client Master List Copy) <sup>3</sup>	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
FATCA / CRS Declaration	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
UBO Declaration		✓	✓	✓		✓		✓	✓	

<sup>1</sup>Self attestation is mandatory. <sup>2</sup>Copy of Designated Depository Participant registration certificate (for FPI) should be provided. <sup>3</sup>In case Units are applied in Electronic (Demat) mode.

### Key Partner / Agent Information

Mutual Fund Distributor ARN <b>ARN -</b>	Sub-Broker ARN Code <b>ARN -</b>	Internal Sub-Broker/Employee Code	Employee Unique Identification No. (EUIIN) <small>(Of Individual ARN holder or Of employee / Relationship Manager / Sales Person of the Distributor)</small>	Registered Investment Advisor Code/Portfolio Manager's Registration Number (PMRN)
---	-------------------------------------	-----------------------------------	---	---

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (Refer Instruction no.1(f).

Sign Here <small>Sole/First Applicant/Guardian</small>	Sign Here <small>Second Applicant</small>	Sign Here <small>Third Applicant</small>
---	--	---

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

### New Unitholder

1. Applicant's Details	Name		Date of Birth								
First/Sole	Mr. / Ms. / M/s.	PAN/PEKRN	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> Enclosed (please 3) <input type="checkbox"/> KYC Proof	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				
	City of Birth	KIN (14 Digit no.)									
	Country of Birth										
Second	No joint holder where minor is first holder	PAN/PEKRN	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> Enclosed (please 3) <input type="checkbox"/> KYC Proof	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				
	City of Birth	KIN (14 Digit no.)									
	Country of Birth										
Third	No joint holder where minor is first holder	PAN/PEKRN	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> Enclosed (please 3) <input type="checkbox"/> KYC Proof	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				
	City of Birth	KIN (14 Digit no.)									
	Country of Birth										
Guardian/ Contact Person	<small>(if Sole / First applicant is a Minor) Contact Person (in case of Non-individual Investors only)</small>	PAN/PEKRN	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> Enclosed (please 3) <input type="checkbox"/> KYC Proof	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				
	Relation <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Court appointed Guardian										
	KIN (14 Digit no.)										
POA Holder	<small>(If the investment is being made by a Constituted Attorney, please furnish the details of POA Holder)</small>	PAN	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> Enclosed (please 3) <input type="checkbox"/> KYC Proof	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				
	KIN (14 Digit no.)										

Mailing Address: (Address should be as per KYC records, refer Instruction no. 12ii)

City		PIN
State		
Tel. No. (Residence)	Tel. No. (Office)	
Mobile		
E-mail		

This email ID belongs to (Please refer instruction 9):  Self\*  Family Member  \*Default

Overseas Address: (Mandatory in case of NRI / FPI applicant)

City		State/Province
Country		PIN
Status (✓)	<input type="checkbox"/> Individual <input type="checkbox"/> Minor <input type="checkbox"/> Minor-NRI Repatriable <input type="checkbox"/> Minor-NRI Non-Repatriable	<input type="checkbox"/> HUF <input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> Partnership
	<input type="checkbox"/> LLP <input type="checkbox"/> Listed Co. <input type="checkbox"/> Unlisted Co. <input type="checkbox"/> Body Corporate	<input type="checkbox"/> Society/Club <input type="checkbox"/> Trust <input type="checkbox"/> FPI <input type="checkbox"/> Others_____
	<input type="checkbox"/> AOP <input type="checkbox"/> Co. U/S 25/8 of Companies Act	

In case of Non-Profit Entity (please ✓)

### 2. KYC Details Mandatory (✓)

Gross Annual Income	First/Sole	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> 1-5 Lacs (Default) <input type="checkbox"/> 25 Lacs - 1 Crore	<input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> > 1 Crore	Net-worth	in ₹	as on	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	(Not older than 1 year) (Mandatory for Non-individuals)
D	D	M	M	Y	Y	Y	Y										
	Second	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> 1-5 Lacs (Default) <input type="checkbox"/> 25 Lacs - 1 Crore	<input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> > 1 Crore	Net-worth	in ₹	as on	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	(Not older than 1 year)
D	D	M	M	Y	Y	Y	Y										
	Third	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> 1-5 Lacs (Default) <input type="checkbox"/> 25 Lacs - 1 Crore	<input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> > 1 Crore	Net-worth	in ₹	as on	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	(Not older than 1 year)
D	D	M	M	Y	Y	Y	Y										
Occupation Details	First/Sole	<input type="checkbox"/> Private Service <input type="checkbox"/> Retired	<input type="checkbox"/> Public Sector / Govt. Service <input type="checkbox"/> Student	<input type="checkbox"/> Business <input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist	<input type="checkbox"/> Housewife <input type="checkbox"/> Others _____	(Please specify)										
	Second	<input type="checkbox"/> Private Service <input type="checkbox"/> Retired	<input type="checkbox"/> Public Sector / Govt. Service <input type="checkbox"/> Student	<input type="checkbox"/> Business <input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist	<input type="checkbox"/> Housewife <input type="checkbox"/> Others _____	(Please specify)										
	Third	<input type="checkbox"/> Private Service <input type="checkbox"/> Retired	<input type="checkbox"/> Public Sector / Govt. Service <input type="checkbox"/> Student	<input type="checkbox"/> Business <input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist	<input type="checkbox"/> Housewife <input type="checkbox"/> Others _____	(Please specify)										

PAN (Refer Instruction no. 3), Date of birth is mandatory in case of Minor, additionally refer Instruction no. 2, KYC & Network (Refer Instruction no. 12)

### Acknowledgement Slip (To be filled by the Applicant)

Application No :

Received from	Mr. / Ms. / M/s.	Date	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	Signature, Stamp & Date
D	D	M	M	Y	Y	Y	Y					
an application for Units of	<b>Invesco India Nifty Exchange Traded Fund</b>	Along with cheque / DD No.										
Amount (Rs.) / Kg.		Drawn on Bank / Branch										
Date	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y			
D	D	M	M	Y	Y	Y	Y					

Please Note: All purchase are subject to realisation of cheques / demand drafts.

Others (For individuals)	First/Sole	<input type="checkbox"/> Politically Exposed Person	<input type="checkbox"/> Related to Politically Exposed Person	<input type="checkbox"/> Not Applicable
	Second	<input type="checkbox"/> Politically Exposed Person	<input type="checkbox"/> Related to Politically Exposed Person	<input type="checkbox"/> Not Applicable
	Third	<input type="checkbox"/> Politically Exposed Person	<input type="checkbox"/> Related to Politically Exposed Person	<input type="checkbox"/> Not Applicable

Others (For Non-individuals) Is the entity involved in any of the following services  
 (i) Foreign Exchange/Money Changer Services  Yes  No (Default) (ii) Gaming/Gambling/Lottery/Casino Services/Betting Syndicates  Yes  No (Default) (iii) Money Lending/Pawning  Yes  No (Default)

**Investment Details**

Scheme Name: **Invesco India Nifty Exchange Traded Fund**

Subscription Through (Please ✓):  Cash  Portfolio Deposit

No. of Units<sup>4</sup>: \_\_\_\_\_ (in words) \_\_\_\_\_

(Please include the number of Units you wish to Subscribe. Please refer Instruction No. 7)  
<sup>4</sup>Please note that units of the Scheme can be subscribed only in Creation Unit size (Creation Unit at present is 5,000 Units)  
 In case of Basket Subscription, kindly fill the following details, if Cash Component is payable by the Investor.

Cash Component per Creation Unit (₹): \_\_\_\_\_ (in words)

Total Cash Component (₹): \_\_\_\_\_ (in words)

**Payment Details**

Investment Amt. (Rs)	Net Amt. (Rs)	Cheque/DD No.	Bank Name	Bank A/c. No.
_____	Net of DD Charges	_____	_____	_____

Mode of Payment (✓)  Cheque  DD  Funds Transfer

Account Type (✓)  Current  Savings  NRE  NRO  FCNR  SNRR  Others

Applicable in case of Third Party Payment: Payment on behalf of (✓)  Minor  Client  Employee  Distributor (Refer instruction no. 7). PAN \_\_\_\_\_

Name of the person making payment: \_\_\_\_\_ Enclosed (✓)  KYC Proof<sup>3</sup> \_\_\_\_\_

**Demat Details (Mandatory As Per SEBI Guidelines)**

DP ID # \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_ DP Name \_\_\_\_\_ (Optional, Refer instruction no. 5)  NSDL  CDSL

(# Not applicable in case of CDSL). The details of the Bank Account linked with the Demat A/c as mentioned below should be provided under section 5.

**Bank Account Details (Mandatory As Per SEBI Guidelines)**

Investors are requested to provide Bank Account Details linked with the Demat account. In case of discrepancy, bank details as per depository records will be final. Refer instruction no. 4

Account No. \_\_\_\_\_ Account Type (✓)  Current  Savings  NRE  NRO  FCNR  SNRR  Others \_\_\_\_\_

Bank Name \_\_\_\_\_ Branch Address \_\_\_\_\_

City \_\_\_\_\_

MICR Code \_\_\_\_\_ NEFT/RTGS/IFSC Code \_\_\_\_\_ PIN \_\_\_\_\_  
 (9 digit No. next to your Cheque No.) (11 digit character code appearing on cheque leaf)

Remitter LEI No.: \_\_\_\_\_ Validity Date: DD MM YY YY

Beneficiary Name: **Invesco Mutual Fund**

Beneficiary LEI No.: **549300ON71F6PVXRB54** Validity Date: DD MM YY YY

LEI declaration is mandatory for all payment transactions undertaken by entities for value >= INR 50 crore.

**Declaration & Signature(s)**

<p>The Trustees, Invesco Mutual Fund</p> <p>Having read and understood the contents of the Statement of Additional Information/ Scheme Information Document(s) of the respective schemes, I/We hereby apply to the Trustees of Invesco Mutual Fund for units of the Scheme/Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/ We have understood the details of the Scheme and I/We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We do not have any existing Micro Investments which together with the current Micro Investment application will result in aggregate investments exceeding Rs. 50,000/- in a year (applicable to Micro Investment investors only). The Distributor has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby authorise Invesco Mutual Fund, its Investment Manager and its Agents to disclose details of my/our investment to my/our bank(s)/ Invesco Mutual Fund's Bank(s) and/ or Distributor/Broker/Investment Advisor and to verify my/ our bank details provided by me/us. I/We give my consent to AMC and its agents / Registrar to contact me over phone, SMS, email or any other mode to address my investment related queries and/ or receive communication pertaining to transactions/ non-commercial transactions/ promotions/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility. I / We declare that the email address and mobile number provided is of the primary / joint unitholder(s) / Family member (spouse, dependent children or dependent parents) and not of any third party. I/We hereby declare that the particulars given above are</p>	<p>correct. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Invesco Asset Management (India) Pvt. Ltd. (Investment Manager to Invesco Mutual Fund), their appointed service providers or representatives responsible. I/We will also inform Invesco Asset Management (India) Pvt. Ltd., about any changes in my/our bank account. I/We hereby declare that the amount invested by me/us in the Scheme of Invesco Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time. I/We confirm that I/We are not United States person(s) under the laws of United States or residents(s) of Canada as defined under the applicable laws of Canada.</p> <p><b>Applicable to PEKRN holders:</b> I, the first/sole holder hereby declare that I do not hold a Permanent Account Number and hold only a single 'PAN exempt PEKRN' issued by KRA and that my existing investment in schemes of Invesco Mutual Fund together with current application will not result in aggregate investments exceeding Rs. 50,000/- in a rolling 12 months period or in a financial year i.e. April to March.</p> <p><b>Applicable to NRIs only:</b> I/We confirm that I am/we are Non-Residents of Indian Nationality /Origin and that the funds are remitted from abroad through approved banking channels or from my/our NRE/NRO/FCNR/SNRR Account. I/We confirm that the details provided by me/us are true and correct.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If NRI <input type="checkbox"/> Repatriation basis <input type="checkbox"/> Non-Repatriation basis</p>	<p>Sole / First Applicant / Guardian / POA _____</p> <p>Second Applicant / POA _____</p> <p>Third Applicant / POA _____</p>
---	---	---

**GET IN TOUCH**

Invesco Mutual Fund  
 2101 - A, 21st Floor, A Wing, Marathon Futurex,  
 N. M. Joshi Marg, Lower Parel, Mumbai - 400013  
 T +91 22 67310000 F +91 22 23019422

call : 1800-209-0007 > sms 'Invest' to 56677 > Invest Online [invescomutualfund.com](http://invescomutualfund.com)

# FATCA & CRS Annexure - Individual Accounts

(including Sole Proprietor)

## FATCA & CRS Information (Self Certification)

Name

Gender  M  F  O PAN                    Occupation Type  Service  Business  Others

Father's Name

Type of Address given at KRA<sup>1</sup>  Residential  Business  Registered Office

Documents required, if PAN not provided  Passport  Election ID Card  Govt. ID Card  Driving License  UIDAI Card  NREGA Job Card

Date of Birth         City of Birth

Country of Birth  Nationality

Are you a tax resident of any country other than India?  Yes  No

**If 'No' please proceed for the signature of certification**

**If 'YES', please fill** for ALL countries (other than India) in which you are a Resident for tax purposes i.e., where you are a Citizen / Resident / Green Card holder / Tax Resident in the respective countries

Country of Tax Residency <sup>2</sup>	Tax Identification No. <sup>3</sup>	Identification Type (TIN or Others, please specify)	If TIN is not available, please tick (✓) the reason A, B or C [as defined below]
<input type="text"/>	<input type="text"/>	<input type="text"/>	→ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
<input type="text"/>	<input type="text"/>	<input type="text"/>	→ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

Reason A → The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.  
Reason B → No TIN required. (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected).  
Reason C → Others; please state the reason thereof.

## FATCA & CRS Terms & Conditions

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-Tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unitholders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with Invesco Asset Management or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

## Certification

I hereby confirm that the information provided herein above is true, correct and complete to the best of my knowledge and belief and that I shall be solely liable and responsible for the information submitted above. I also confirm that I have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same. I also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of the same being effective and also undertake to provide any other additional information as may be required by any intermediary or by domestic or overseas regulators/ tax authorities.

## Sign here

Date

Place

## Instructions

Please consult your professional tax advisor for further guidance on your tax residency, if required.

<sup>1</sup>Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes.

<sup>2</sup>To also include USA, where the individual is a citizen/ green card holder of The USA.

<sup>3</sup>In case Tax Identification Number is not available, kindly provide its functional equivalent.

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

<sup>3</sup> It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
U.S. place of birth	<ul style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;</li> <li>Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND</li> <li>Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality" or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth</li> </ul>
Residence/ mailing address in a country other than India	<ul style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>Documentary evidence (refer list below)</li> </ul>

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
Telephone number in a country other than India	<p>If no Indian telephone number is provided</p> <ul style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>Documentary evidence (refer list below)</li> </ul> <p>If Indian telephone number is provided along with a foreign country telephone number</p> <ul style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR</li> <li>Documentary evidence (refer list below)</li> </ul>
Telephone number in a country other than India	<ul style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>Documentary evidence (refer list below)</li> </ul>

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body\*
2. Valid identification issued by an authorized government body\* (e.g. Passport, National Identity card, etc.)

\* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

# Ultimate Beneficial Owner/ FATCA & CRS Annexure Form - For Non Individual Accounts

Name of the Entity

Type of Address given at KRA<sup>1</sup>  Residential  Business  Registered Office

Date of Incorporation 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 PAN 

--	--	--	--	--	--	--	--	--	--

City of Incorporation  Country of Incorporation

Entity Constitution  Partnership Firm  HUF  Private Limited Company  Public Limited Company  
 Society  AOP/801  Trust

Type  Liquidator  Limited Liability Partnership  Artificial Juridicial Person  Others \_\_\_\_\_

Is "Entity" a tax resident of any country other than India  Yes  No  
(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification No. <sup>2</sup>	Identification Type (TIN or Others, please specify)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

### FATCA & CRS Declaration<sup>3</sup>

#### PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

We are a  Financial institution<sup>4</sup>  Direct reporting NFE<sup>5</sup>

GIIN 

--	--	--	--	--	--	--	--	--	--

 Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below.

Name of sponsoring entity

GIIN not available  Applied for

If the entity is a financial institution,  Not required to apply for - please specify 2 digits sub-category<sup>6</sup>

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 (Refer 1 A of Part C)  
 Not obtained - Non-participating FI

#### PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2A of Part C)

Yes  (If yes, please specify any one stock exchange on which the stock is regularly traded)

Name of stock exchange

Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2B of Part C)

Yes  (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)

Name of Listed company

Nature of relation  Subsidiary of the Listed Company or  Controlled by a Listed Company

Name of stock exchange

Is the Entity an active NFE (Refer 2C of Part C)

Yes  (If yes, please fill UBO declaration in the next section)

Nature of Business

Please specify the sub-category of Active NFE 

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 (Mention code - refer 2c of Part D) (Mention code - refer 2c of Part D)

Is the Entity a passive NFE (Refer 3(ii) of Part C)

Yes  (If yes, please fill UBO declaration in the next section.)

Nature of Business

### Instructions

<sup>1</sup>Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes.

<sup>2</sup>In case Tax Identification Number is not available, kindly provide its functional equivalent. In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Intermediary Identification Number or GIIN, etc.

<sup>3</sup>Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

<sup>4</sup>Refer 1 of Part C

<sup>5</sup>Refer 3(vii) of Part C

<sup>6</sup>Refer 1 A of Part C

Category  Unlisted Company  Partnership Firm  Limited Liability Partnership Company  
 Religious Trust  Private Trust  Public Charitable Trust  
 Unincorporated association / body of individuals  Others \_\_\_\_\_

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s) (Please attach additional sheets, if necessary) Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

Details	UBO 1	UBO 2	UBO 3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax Residency <sup>7</sup>			
PAN <sup>8</sup>			
Address	Address, Zip, State, Country	Address, Zip, State, Country	Address, Zip, State, Country
Address Type	Residence/Business/ Registered Office	Residence/Business/ Registered Office	Residence/Business/ Registered Office
Tax ID <sup>2</sup>			
Tax ID Type			
City of Birth			
Country of Birth			
Occupation Type	Service/Business/Others	Service/Business/Others	Service/Business/Others
Nationality			
Father's Name			
Gender	Male/Female/Others	Male/Female/Others	Male/Female/Others
Date of Birth			
Percentage of Holding(%)			

<sup>2</sup>It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

<sup>7</sup>Country of Tax Residency is mandatory for all and if the controlling person is a US citizen or green card holder, please mention U.S.A.

<sup>8</sup>If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position/Designation like Director/Settlor of Trust/Protector of Trust to be specified wherever applicable.

**FATCA - CRS Terms and Conditions**

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e.. within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with Invesco Asset Management or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information. If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green cardholder, please include United States in the foreign country information field along with the US Tax Identification Number.

**Certification**

I/We have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We hereby agree and confirm to inform Invesco Asset Management Company Private Limited/ Invesco Mutual Fund/ Trustees for any modification to this information promptly.

Date 

D	D	M	M	Y	Y	Y	Y
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Place 

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**Authorised Signatory**


Name	
Designation	
Name	
Designation	
Name	
Designation	

1. **Financial Institution (FI)** - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-

i. The three financial years preceding the year in which determination is made; or (ii) The period during which the entity has been in existence, whichever is less.

- Investment entity is any entity:
- That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer

Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or

ii. Individual and collective portfolio management; or

iii. Investing, administering or managing funds, money or financial asset or money on behalf of other persons; or

- The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

- i. The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
- ii. The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.

- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

- FI not required to apply for GIIN:

A. Reasons why FI not required to apply for GIIN:

Code	Sub-category
i.	Governmental Entity, International Organization or Central Bank
ii.	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
iii.	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
iv.	Entity is an Indian FI solely because it is an investment entity
v.	Qualified credit card issuer
vi.	Investment Advisors, Investment Managers & Executing Brokers
vii.	Exempt collective investment vehicle
viii.	Trustee of an Indian Trust
ix.	FI with a local client base
x.	Non-registering local banks
xi.	FFI with only Low-Value Accounts
xii.	Sponsored investment entity and controlled foreign corporation
xiii.	Sponsored, Closely Held Investment Vehicle
xiv.	Owner Documented FFI

2. **Non-financial entity (NFE)** - Foreign entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

i. Publicly traded company (listed company)

A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

ii. Related entity of a publicly traded company

The NFE is a related entity of an entity of which is regularly traded on an established securities market;

c. Active NFE : is any one of the following

Code	Sub-category
i.	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
ii.	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
iii.	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
iv.	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
v.	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
vi.	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
vii)	Any NFE that fulfills all of the following requirements: <ul style="list-style-type: none"> <li>• It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;</li> <li>• It is exempt from income tax in India;</li> <li>• It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</li> </ul> <p>The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.</p> <p>Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-</p> <ul style="list-style-type: none"> <li>(I) an Investor Protection Fund referred to in clause (23EA);</li> <li>(II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;</li> </ul>

3. **Other definitions**

i. **Related entity**

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

ii. **Passive NFE**

The term passive NFE means

- any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
- an investment entity defined in clause (b) of these instructions
- a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

### iii. Passive income

The term passive income includes income by way of :

- IDCWs
- Interest
- Income equivalent to interest,
- Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
- Annuities
- The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
- The excess of foreign currency gains over foreign currency losses
- Net income from swaps
- Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

### iv. Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

- More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

#### i. Controlling Person Type:

Code	Sub-category
i.	CP of legal person - ownership
ii.	CP of legal person - other means
iii.	CP of legal person - senior managing official
iv.	CP of legal arrangement - trust - settlor
v.	CP of legal arrangement - trust - trustee
vi.	CP of legal arrangement - trust - protector
vii.	CP of legal arrangement - trust - beneficiary
viii.	CP of legal arrangement - trust - other
ix.	CP of legal arrangement - Other - settlor equivalent
x.	CP of legal arrangement - Other - trustee equivalent
xi.	CP of legal arrangement - Other - protector equivalent
xii.	CP of legal arrangement - Other - beneficiary equivalent
xiii.	CP of legal arrangement - Other - other equivalent
xiv.	Unknown

### v. Specified U.S. person – A U.S person other than the following:

- a corporation the stock of which is regularly traded on one or more established securities markets;
- any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);

- the United States or any wholly owned agency or instrumentality thereof;
- any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- any bank as defined in section 581 of the U.S. Internal Revenue Code;
- any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

### vi. Owner documented FFI

An FFI meets the following requirements:

- The FFI is an FFI solely because it is an investment entity;
- The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;
- The FFI does not maintain a financial account for any non participating FFI;
- The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

### vii. Direct reporting NFE

A direct reporting NFFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

### viii. Exemption code for U.S. persons

Code	Sub-category
i.	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
ii.	The United States or any of its agencies or instrumentalities
iii.	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
iv.	A corporation, the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
v.	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
vi.	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
vii.	A real estate investment trust
viii.	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
ix.	A common trust fund as defined in section 584(a)
x.	A bank as defined in section 581
xi.	A broker
xii.	A trust exempt from tax under section 664 or described in section 4947(a)(1)
xiii.	A tax exempt trust under section 403(b) plan or section 457(g) plan

## A. OFFICIAL POINTS OF ACCEPTANCE OF TRANSACTION

### INVESCO ASSET MANAGEMENT (INDIA) PRIVATE LIMITED - Ongoing basis

• **Ahmedabad:** 303/A, Rindroop Building, C. G. Road, Ahmedabad - 380 006. Tel. No.: 079 - 66521550. • **Bengaluru:** S-317,319 & 321, 3rd Floor, South Block, Manipal Centre, 47, Dickenson Road, Bangalore - 560042. Tel.No.: 080 - 42941000. • **Chandigarh:** S.C.O. 2471 - 72, 2nd Floor, Sector 22C, Himalaya Marg, Chandigarh - 160 022. Tel. No.: 0769 - 6001369. • **Chennai:** Door #2, 2nd Floor, Sun Plaza, #39 G.N.Chetty Road, Near Kamarajar Arangam, Chennai - 600006. Tel. No. 9043000628. • **Delhi:** 710, 711 & 712 Prakashdeep Building, 7th Floor, Tolstoy Marg, New Delhi - 110001. Tel. No.: 01143789000. • **Hyderabad:** 2nd Floor, S.B. Towers, H.No.6-3-354, Road No.1, Banjara Hills, Landmark: Punjagutta X Road, Beside Himalaya Book Store, Hyderabad-500 034. Tel. No.: 9030015457. • **Indore:** Room No. 216, 2nd Floor, Starlit Tower, Y. N. Road, Indore - 452 001. Tel. No.: 7415000281. • **Jaipur:** 204, 2nd Floor, 'Brij Anukampa' Ashok Marg, C-Scheme, Jaipur - 302 001. Tel. No.: 07737000761. • **Kanpur:** 1st Floor, KAN Chambers, 14/113 Civil Lines, Kanpur - 208 001. Tel. No.: 9044051658. • **Kolkata:** Room No. 7E, 235/2A, 7th Floor, Millennium

Building, Acharya Jagdish Chandra Bose Road, Kolkata - 700 020. Tel. : 033-40639115. • **Lucknow:** 304, 3rd Floor, SKY HI Chamber, Park Road, Hazratganj, Lucknow - 226 001, U.P Tel No - 0522-4000841/4000149. • **Ludhiana:** Room No. 604, 6th Floor, SCO - 18, Feroze Gandhi Market, Ludhiana - 141 001. Tel. No.: 09041002258. • **Mumbai (Nariman Point):** Office No.17, 1st Floor, Jolly Maker Chambers II, Nariman Point, Mumbai - 400 021. Tel. No.: 022 43416000. • **Mumbai (H.O.):** 2101-A, A Wing, 21st Floor, Marathon Futurex, N.M. Joshi Marg, Lower Parel, Mumbai - 400 013. • **Panaji:** Advani Business Centre, Office No. CU2, Neelkamal Arcade, Atmaram Barkar Road, Above Federal Bank, Panaji - 403 001. Tel No: 0832-6650402. • **Patna:** No. 304, Hariniwas Complex, Dak Banglow Road, Patna - 800 001. Tel. No.: 09264457840. • **Pune:** Shop No. 11, B Wing, Shree Nath Plaza, FC Road, Dyaneshwar Paduka Chowk, Pune - 411 005. Tel No.: 020 - 41291015. • **Vadodara:** Upper Ground Floor No -06, Concard Complex, Above Deepak Garments, Near Kabir Kitchen Restaurant, Alkapuri Vadodara - 390007. Tel. No.: 0265 - 2338446.

## B. LIST OF INVESTOR SERVICE CENTRES OF KFin Technologies PVT. LTD., REGISTRAR & TRANSFER AGENTS OF INVESCO MUTUAL FUND (ONGOING BASIS) THESE WILL BE IN ADDITION TO THE EXISTING OFFICIAL POINTS OF ACCEPTANCE OF INVESCO ASSET MANAGEMENT (INDIA) PRIVATE LIMITED

• **Agartala:** OLS, RMS Chowmuhani, Mantri Bari Road, 1st Floor Near, Traffic Point, Tripura West, Agartala - 799 001. Tel. No.: 0381-2317519. • **Agra:** House No. 17/2/4, 2nd Floor, Deepak Wasan Plaza, Behind Hotel Holiday INN, Sanjay Place, Agra, Uttar Pradesh - 282 002. Tel. No.: 7518801801. • **Ahmedabad:** Office No. 401, 4th Floor, ABC-I, Off. C.G. Road, Navrangpura, Ahmedabad, Gujarat - 380006. Tel. No.: 9081903021. • **Ajmer:** 302, 3rd Floor, Ajmer Auto Building, Opposite City Power House, Jaipur Road, Ajmer - 305001. Tel. No.: 0145 5120725. • **Akola:** Yamuna Tarang Complex, Shop No 30, Ground Floor, N.H. No- 06, Murtizapur Road, Opp Radhakrishna Talkies, Akola - 444004. Tel. No.: 0724 2451874. • **Aligarh:** SEBTI Complex Centre Point, in the city of Aligarh, Uttar Pradesh, Aligarh - 202 001. Tel.No.:7518801802. • **Allahabad:** "Meena Bazar" 2nd Floor, 10 S.P. Marg Civil Lines, Subhash Chauraha, Prayagraj, Uttar Pradesh - 211 001. Tel. No.: 7518801803. • **Alwar:** Office Number 137, First Floor, Jai Complex Road No - 2, Alwar, Rajasthan - 301001. Tel. No.: 0144-2335550 / 0144-2335551. • **Ambala:** 6349, 2nd Floor, Nicholson Road, Adjacent Kos Hospital Ambala Cant. Ambala, Haryana, Ambala -133 001. Tel.No.:7518801804. • **Amravati:** Shop No. 21, 2nd Floor, Gulshan Tower, Near Panchsheel Talkies, Jaistambh Square, Amravati - 444601. Tel. No.: 0721 2569198. • **Amritsar:** SCO 5, 2nd Floor, District Shopping Complex, Ranjit Avenue, Amritsar - 143 001. Tel. No.: 0183-5158158. • **Anand:** B-42 Vaibhav Commercial Center, Nr Tvs Down Town Show Room, Grid Char Rasta, Anand - 380001. Tel. No.: 9662020623. • **Ananthapur:** #13/4, Vishnu Priya Complex, Beside SBI Bank, Near Tower Clock, Anantapur, Andhra Pradesh - 515 001. Tel. No.: 9515-144445. • **Asansol:** 112/N, G. T. Road, Bhanga Pachil, G.T. Road, Paschim Bardhaman, West Bengal - Asansol - 713303. Tel. No.: 0341-2220077. • **Aurangabad:** Ramkunj Niwas, Railway Station Road, Near Osmanpura Circle, Aurangabad - 431005. Tel. No.: 0240 2343414. • **Azamgarh:** House No. 290, Ground Floor, Civil lines, Near Sahara Office, in the city of Azamgarh, Uttar Pradesh, Azamgarh - 276 001. Tel.No.:7518801805. • **Balasure:** 1-B, 1st Floor, Kalinga Hotel Lane, Baleshwar, Baleshwar Sadar, Orissa, Balasure - 756 001. Tel.No.:06782-2605033. • **Bangalore:** No 35, Puttanna Road, Basavanagudi, Bangalore - 560 004. Tel.No.: 080-26602852. • **Bankura:** Plot nos. 80/1/A, Natunchari Mahalla, 3rd floor, Ward no-24, Opposite P.C Chandra, Bankura Town, Bankura - 722101. Tel. No.: 9434480586. • **Bareilly:** 54 - Civil Lines, Ayub Khan Chauraha Bareilly, Uttar Pradesh - 243001. Tel. No.: 7518801806. • **Baroda:** 203, Corner Point, Jetalpur Road, Baroda - 390007. Tel. No.: 0265 2353506. • **Begusarai:** C/o Dr Hazari Prasad Sahu, Ward No 13, Behind Alka Cinema, Begusarai, Bihar, Bihar - 851 117. Tel. No.: 7518801807. • **Belgaum:** Premises No 101 CTS NO 1893, Shree Guru Darshani Tower, Anandwadi, Hindwadi, Belgaum - 590 011. Tel.No.: 0831-4213717. • **Bellary:** Shree Gayathri Towers, No. 4, 1st floor, K.H.B. Colony, Gopalswamy Mudaliar Road, Gandhi Nagar, Bellary - 583103. Tel. No.: 0839 - 2254750. • **Berhampur (Or):** Divya Nandan Kalyan Building, 3rd Lane Dharam Nagar, Near Lohiya Motor, Berhampur (Or), Orissa. Tel. No.: 0680-2228106. • **Bhagalpur:** 2nd Floor, Chandralok Complex, Ghantagar, Radha Rani Sinha Road, Bhagalpur - 812001. Tel. No.: 7518801808. • **Bharuch:** 123 Nexus business Hub, Near Gangotri Hotel, B/s Rajeshwari Petroleum, Makampur Road, Bharuch - 392 001. Tel. No.: 9081903042. • **Bhatinda:** 2nd Floor., MCB -Z-3-01043 Goniana Road Opposite Nippon India Mf, Gt Road, Near Hanuman Chowk, Bhatinda - 151 001. Tel.No.: 0164- 5006725. • **Bhavnagar:** 303, Sterling Point, Waghawadi Road, Bhavnagar - 364001. Tel. No.: 02783 003149. • **Bhilai:** Office No. 2, 1st Floor, Plot No. 9/6, Nehru Nagar [East], Bhilai, Chhattisgarh - 490 020. Tel. No.: 0788-2289499. • **Bhilwara:** Office No. 14 B, Prem Bhawan, Pur Road, Gandhi Nagar, Near Canara Bank, Bhilwara - 311 001. Tel. No.: 01482-244720. • **Bhopal:** Gurukripa Plaza, Plot No. 48A, Opposite City Hospital, zone-2, M P Nagar, Bhopal - 462 011. Tel. No.: 0755-4092712. • **Bhubaneswar:** A/181, Back Side Of Shivam Honda Show Room, Saheed Nagar, Bhubaneswar - 751007. Tel. No.: 0674 2548981. • **Bikaner:** 70-71, 2nd Floor, Dr. Chahar Building, Panchsati Circle, Sadul Ganj, Bikaner - 334003. Tel. No.: 0151 2200014. • **Bilaspur:** Anandam Plaza; Shop No.306, 3rd Floor, Vyapar Vihar Main Road, Bilaspur, Chhattisgarh, Bilaspur - 495 001. Tel. No.: 07752-470070. • **Bokaro:** B-1, 1st Floor, City Centre, Sector- 4, Near Sona Chandii Jewellers, Bokaro - 827004. Tel. No.: 7542979444. • **Burdwan:** Anima Bhavan 1st Floor, Holding No. 42, Sreepally G. T. Road, Burdwan - 713 103. Tel. No.: 0342-2665140. • **Calicut:** 2nd Floor, Manimuriyil Centre, Bank Road, Kasaba Village, Calicut, State: Kerala, Calicut - 673 001. Tel. No.: 4954022480. • **Chandigarh:** 1st Floor, SCO 2469-70, Sec. 22-C, Chandigarh, Chandigarh - 160 022. Tel. No.: 0172-5101342. • **Chennai:** F-11, Akshaya Plaza, 1st Floor, 108, Adithanar Salai, Egmore, Opp. Chief Metropolitan Court, Chennai - 600002. Tel. No.: 044 42028512. • **Cochin:** Ali Arcade, 1st Floor, Kizhavana Road, Panampilly Nagar, Near Atlantis Junction, Cochin - 682036. Tel. No.: 0484 - 4025059. • **Coimbatore:** 3rd Floor, Jaya Enclave, 1057 Avinashi Road, Coimbatore - 641018. Tel. No.: 0422 4388011. • **Cuttack:** Shop No-45, 2nd Floor, Netaji Subas Bose Arcade, (Big Bazar Building) Adjacent to, Reliance Trends, Dargha Bazar, Cuttack - 753 001. Tel. No.: 0671-2203077. • **Darbhanga:** Raj Complex, 2nd Floor Near Poor Home Darbhanga, Bihar - 846 004 Tel.No.: 7518801809. • **Davangere:** D. No 162/6, 1st Floor, 3rd Main, P J Extension, Davangere taluk, Davangere Mandal, Karnataka, Davangere - 577 002. Tel. No.: 0819-2258714. • **Dehradun:** Kaulagarh Road, Near Sirmaur Marg, Above Reliance Webworld, Dehradun - 248001. Tel. No.: 7518801810. • **Deoria:** K. K. Plaza, Above Apurwa Sweets, Civil Lines Road, in the city of Deoria, Uttar Pradesh, Deoria - 274 001. Tel.No.:7518801811. • **Dhanbad:** 208 New Market, 2nd Floor, Bank More, Dhanbad - 826001. Tel. No.: 9264445981. • **Dhule:** Ground Floor, Ideal Laundry, Lane No 4, Khol Galli, Near Muthoot Finance, Opp. Bhavasara General Store, Dhule - 424001. Tel. No.: 02562 282823. • **Durgapur:** MWAV-16, Bengal Ambuja, 2nd Floor, City Centre, Distt. Burdwan, Durgapur-16, Durgapur - 713216. Tel. No.: 0343 6512111. • **Eluru:** D.No. 23A-7/2, 7/3, K K S Plaza, Munukutla Vri Street, Opp. Andhra Hospitals, R R Peta, West Godavari Distt., Eluru - 534 002. Tel. No.: 08812 227851. • **Erode:** Address No 38/1, Sathy Road, (VCTV Main Road), Sorna Krishna Complex, Ground Floor, Erode, Tamil Nadu, Erode - 638 003. Tel.No.:0424-4021212. • **Faridabad:** A-2B, 3rd Floor, Neelam Bata Road, Peer ki Mazar, Nehru Groundnit, Faridabad - 121001. Tel. No.:

7518801812. • **Ferozpur:** The Mall Road, Chawla Bulding, 1st Floor, Opp. Centrail Jail, Near Hanuman Mandir, Ferozpur - 152002. Tel. No.: 01632 241814. • **Gandhidham:** Shop # 12, Shree Ambica Arcade, Plot # 300, Ward 12, Opp. CG High School, Near HDFC Bank, Gandhidham - 370201. Tel. No.: 9081903027. • **Gandhinagar:** 123, 1st Floor, Megh Malhar Complex, Opp. Vijay Petrol Pump, Sector - 11, Gandhinagar - 382011. Tel. No.: 079 23244955. • **Gaya:** Property No. 711045129, Ground Floor Hotel Skylark, Swaripuri Road, Gaya - 823 001. Tel. No.: 0631-2220065. • **Ghaziabad:** FF - 31, Konark Building, Rajnagar, Ghaziabad, Uttar Pradesh, Ghaziabad - 201 003. Tel. No.: 7518801813. • **Ghaziपुर:** House No. 148/19, Mahua Bagh, in the city of Ghaziपुर, Uttar Pradesh, Ghaziपुर - 233 001. Tel.No.:7518801814. • **Gonda:** House No. 782, Shiv Sadan, ITI Road, Near Raghukul Vidya Peeth, Civil Lines, Gonda, Uttar Pradesh - 271001 Tel. No.: 7518801815. • **Gorakhpur:** Shop No 8 & 9, 4th Floor, Cross Road The Mall, Bank Road, Gorakhpur - 273 001. Tel. No.: 7518801816. • **Guntur:** 2nd Shatter, 1st Floor. House no. 6-14-48, 14/2 Lane, Arundal Pet, Guntur, Andhra Pradesh, Guntur - 522 002. Tel. No.: (0863) 2339094. • **Gurgaon:** 2nd Floor, Vipul Agora, M. G. Road, Gurgaon - 122001. Tel. No.: 7518801817. • **Guwahati:** 1st Floor, Bajrangbali Building, Near Bora Service Station, GS Road, Guwahati, Assam - 781007. Tel. No.: 8811036746. • **Gwalior:** City Centre, Near Axis Bank, Gwalior - 474 011. Tel. No.: 7518801818. • **Haldwani:** Shop No. 5, KMVN Shopping Complex, Haldwani, Uttarakhand, Uttaranchal - 263139. Tel. No.: 7518801819. • **Haridwar:** Shop No. - 13, 1st Floor, Bhatia Complex Near Jamuna Palace, Haridwar - 249 410. Tel. No.: 7518801820. • **Hassan:** Hemadri Arcade, 2nd Main Road, Salgame Road, Near Brahmins Boys Hostel, Hassan - 573 201. Tel. No.: 08172 262065. • **Hissar:** Shop No. 20, Ground Floor, R D City Centre, Railway Road, in the city of Hissar, Haryana, Hissar - 125 001. Tel.No.:7518801821. • **Hoshiarpur:** The Mall Complex Unit No. SF-6, 2nd Floor, Opp. Kapila Hospital, Sutheri Road, Hoshiarpur - 146 001. Tel. No.: 01882-500325. • **Hubli:** R R Mahalaxmi Mansion, Above Indusind Bank, 2nd Floor, Desai Cross, Pinto Road, Hubli - 580 029. Tel. No.: 0836-2252444. • **Hyderabad (Banjara Hills):** Selenium Plot No: 31 & 32, Tower B, Survey No.115/22 115/24 115/25, Financial District Gachibowli Nanakramguda, Serilingampally Mandal, Hyderabad - 500032. Tel. No.: 040-33215122. • **Hyderabad:** No:303, Varsee Estates, Opp. Bigbazaar, Ameerpet - 500 016. Tel. No.: 040-44857874 / 75 / 76. • **Indore:** 101 Diamond Trade Centre, Opp:- Swamy Vivekananda School, Above Khurana Bakery, Indore - 452 001. Tel. No.: 0731 4218902 / 4266828. • **Jabalpur:** 2nd Floor, 290/1 (615-Ne), Near Bhavartal Garden, Jabalpur Madhya Pradesh - 482 001. Tel. No.: 0761-4923301. • **Jaipur:** Office Number 101, 1st Floor, Okay Plus Tower, Next to Kalyan Jewellers, Government Hostel Circle, Ajmer road, Jaipur, Rajasthan, Jaipur - 320 001. Tel. No.:01414167715/17. • **Jalandhar:** Office No. 7, 3rd Floor, City Square building, EH197 Civil Lines, Jalandhar - 144 001. Tel. No.: 0181-5094410. • **Jalgaon:** 269, Jeev Vishwa, 1st Floor, Baliram Peth, Above United Bank Of India, Near Kishor Agencies, Jalgaon - 425001. Tel. No.: 9421521406. • **Jammu:** 304, A-1, 03rd Floor, North Block, Bahu Plaza, Jammu, Jammu & Kashmir - 180004. Tel. No.: 0191-2470973. • **Jamnagar:** 131 Madhav Plaza, Opp SBI Bank, Nr Lal Bungalow, Jamnagar - 361 008. Tel. No.: 0288 3065810. • **Jamshedpur:** Madhukuni, 3rd Floor, Q Road, Sakchi, Bistupur, East Singhbhum, Jharkhand, Jamshedpur - 831 001. Tel. No.: (0657) 6655003 / 6655004 / 6655005 / 6655006 / 6655007. • **Jhansi:** 1st Floor, Puja Tower, Near 48 Chambers, ELITE Crossing, in the city of Jhansi, Uttar Pradesh, Jhansi - 284 001. Tel. No.:7518801823. • **Jodhpur:** Shop No. 6, Ground Floor, Gang Tower, Opposite Arora Moter, Service Centre, Near Bombay Moter Circle, Jodhpur - 342 003. Tel. No.: 7737014590. • **Junagadh:** 124-125, Punit Shopping Center, M.G Road, Ranavav Chowk, Junagadh - 362001. Tel. No.: 0285 2652220. • **Kalaburagi:** H No 2-231, Krishna Complex, 2nd Floor, Opp. Municipal Corporation Office, Jagat, Station Main Road, Kalaburagi, State - Karnataka, Kalaburagi - 585 105. Tel. No.: 08472 252503. • **Kannur:** 2nd Floor, Global Village, Bank Road, Kannur - 670 001. Tel. No.: 0497-2764190. • **Kanpur:** 15/46, B, Ground Floor, Opp. Muir Mills, Civil Lines, Kanpur - 208001. Tel. No.: 7518801824. • **Karimnagar:** 2nd Shetter, H.No. 7-2-607, Sri Matha Complex, Mankammathota, KarimNagar, Telangana, Andhra Pradesh - 5050001. Tel. No.: 0878-2244773. • **Karnal:** 18/369, Char Chaman, Kunjapura Road, Behind Miglani Hospital, Karnal - 132001. Tel. No.: 0184 2252524. • **Karur:** No 88/11, BB plaza, NRMP street, NRMP street, K S Mess Back side, Karur - 639 002. Tel. No.: 04324-241755. • **Kharagpur:** SBI Building, Malancha Road, Holding No 254/220, Ward No.16, PO Kharagpur, PS - Kharagpur, Dist - Paschim Medinipur, West Bengal, Kharagpur - 721 301. Tel. No.: 3222253380. • **Kolhapur:** 605/1/4 E Ward, Shahupuri 2nd Lane, Laxmi Niwas, Near Sultane Chambers, Kolhapur - 416001. Tel. No.: 0231 2653656. • **Kolkata:** Apeejay House (Beside Park Hotel), 15 Park Street, C Block, 3rd Floor, Kolkata - 700016. Tel. No.: 033 66285900. • **Kollam:** Ground Floora Narayanan, Shopping Complex, Kausthubhshree Block, Kadapakada Kollam - 691 008. Tel. No.: 0474-2747055. • **Kota:** D-8, Shri Ram Complex, Opposite Multi Purpose School, Gumanpur, Kota - 324 007. Tel. No.: 0744-5100964. • **Kottayam:** 1st Floor Csiascension Square, Railway Station Road, Collectorate P O, Kottayam - 686002. Tel. No.: 0481 2300868. • **Kurnool:** Shop No:47, 2nd Floor, S komda Shaping mall, Kurnool - 518 001. Tel. No.: 08518-228550. • **Lucknow:** 1st Floor, A. A. Complex, Thaper House, 5 Park Road, Hazratganj, Lucknow - 226001. Tel. No.: 7518801830. • **Ludhiana:** Sco - 122, 2nd Floor, Above HDFC MF, Feroze Gandhi Market, Ludhiana, Punjab, Ludhiana - 141 001. Tel. No.: 0161-4670278. • **Madurai:** G-16/17, AR Plaza, 1st floor, North Veli Street, Madurai - 625 001. Tel. No.: 0452-2605856. • **Malda:** Ram Krishna Pally, Ground Floor, English Bazar, Malda, West Bengal, Malda - 732 101. Tel. no.:03512-223763. • **Mandi:** House No. 99/11, 3rd Floor, Opposite GSS Boy School, School Bazar, in the city of Mandi, Himachal Pradesh, Mandi - 175 001. Tel. No.:7518801833. • **Mangalore:** Mahendra Arcade Opp. Court Road, Karangal Padi, Mangalore - 575003. Tel. No.: 0824 2496289. • **Margao:** Shop No. 21, Osia Mall, 1 st Floor, Near KTC Bus Stand, SGDPA Market Complex, Margao, Goa - 403 601. Tel. No.: 832-2731823. • **Mathura:** Shop No. 9, Ground Floor, Vihari Lal Plaza, Opposite Brijwasi Centrum, Near New Bus Stand, in the city of Mathura, Uttar Pradesh, Mathura - 281 001. Tel. No.:7518801834. • **Meerut:** H No 5, Purva Eran, Opp. Syndicate Bank, Hapur Road, Meerut, Uttar Pradesh, Meerut - 250 001. Tel. No.:7518801835. • **Mehsana:** FF-21, Sameswar Shopping

Mall, Modhera Char Rasta, Mehsana - 384002. Tel. No.: 02762-242950 • **Mirzapur** Ground Floor, Triveni Campus, Ratan Ganj, Mirzapur - 231 001. Tel No.: 7518801836 • **Moga** 1st Floor, Dutt Road, Mandir Wali Gali, Civil Lines, Barat Ghar, Moga - 142001. Tel. No.: 01636 230792 • **Moradabad** Chadha Complex, G. M. D. Road, Near Tadi Khana, Chowk, in the city of Moradabad, Uttar Pradesh, Moradabad - 244 001. Tel No.:7518801837 • **Morena** House No.HIG 959, Near Court, Front of Dr. Lal Lab, Old Housing Board Colony, Morena, Madhya Pradesh, Morena - 476 001. Tel No.:7518801838 • **Mumbai** Gomati Smuti, Ground Floor, Jambli Gully, Near Railway Station, Borivali (West), Mumbai - 400 092. Tel. No.: 022 28916319 • **Mumbai** 24/B, Raja Bahadur Compound, Ambalal Doshi Marg, Behind Bse Bldg, Fort - 400001. Tel. No.: 022 66235353 • **Mumbai** Shop No.1 Ground Floor, Dipti Jyothi Co-operative Housing Society, Near MTNL Office P M Road, Vile Parle East, Mumbai - 400 056. Tel. No.: (022) 26100967 • **Muzaffarpur** 1st Floor Saroj Complex, Diwam Road, Near Kalyani Chowk, Muzaffarpur, Bihar, Muzaffarpur - 842 001. Tel No.:7518801839 • **Mysore** No. 2924, 2nd Floor, 1st Main, 5th Cross Saraswathi Puram, Mysore - 570 009. Tel. No.: 0821-2438006 • **Nadiad** 311-3rd Floor City Center, Near Paras Circle Nadiad, State - Gujarat, Nadiad - 387 001. Tel No.: 2682563245 • **Nagercoil** 45, East Car Street, 1st Floor, Nagercoil - 629001. Tel. No.: 04652 233552 • **Nagpur** Plot No. 2, Block No. B / 1 & 2, Shree Apartment, Khare Town, Mata Mandir Road, Dharampeth, Nagpur Maharashtra - 440 010. Tel. No.: 0712-2533040 • **Nanded** Shop No.4, Santakripa Market, G G Road, Opp. Bank Of India, Nanded - 431601. Tel. No.: 02462 237885 • **Nasik** S-9, Second Floor, Suyojit Sankul, Sharanpur Road, Nasik - 422 002 Tel. No.: 0253-6608999 • **Navsari** 103, 1st Floor, Landmark Mall Near Sayaji Library Navsari - 396445. Tel. No.: 9081903040 • **New Delhi** 305 New Delhi House, 27 Barakhamba Road, New Delhi - 110001. Tel. No.: 011 43681700 • **Noida** F - 21, Sector - 18, in the city of Noida, Noida, Uttar Pradesh, Noida - 201 301. Tel No.:7518801840 • **Palghat** No: 20 & 21 , Metro Complex H.P.O.Road Palakkad, H.P.O.Road, Palakkad - 678001. Tel. No.: 9895968533 • **Panaji** H. No: T-9, T-10, Affran plaza, 3rd Floor, Near Don Bosco High School, Panjim - 403 001. Tel No.: 0832-2426874 • **Panipat** 3rd Floor Preet Tower, Behind Akash Institute, GT Road, Panipat, Haryana, Panipat - 132 103. Tel.No.: 7518801841 • **Pathankot** 2nd Floor, Sahni Arcade Complex, Adj. Indra Colony Gate Railway Road, Pathankot - 145001. Tel. No.: 0186 5080188/ 9988164770 • **Patiala** B- 17/423 Opp. Modi College, Lower Mall, Patiala - 147 001. Tel No.: - 0175-5004349 • **Patna** 3A, 3rd Floor Anand Tower, Exhibition Road, Opp. ICICI Bank, Patna - 800001. Tel. No.: 0612 4323066 • **Pondicherry** No 122(10b), Muthumariamman Koil Street, Pondicherry - 605 001. Tel. No.: 0413-45490253 • **Pune** Office # 207-210, 2nd Floor, Kamla Arcade, JM Road. Opposite, Balgandharva, Shivaji Nagar, Pune - 411 005. Tel No.: 020-66210449 • **Raipur** Office No S-13, Second Floor, Reheja Tower, Fafadih Chowk, Jail Road, Raipur (C.G.) - 492001. Tel. No.: 0771-4912611. • **Rajahmundry** D.No.6-1-4,Rangachary Street, T.Nagar, Near Axis Bank Street, Rajahmundry, Andhra Pradesh - 533101. Tel. No.: 0883-2434468 • **Rajkot** 302, Metro Plaza, Near Moti Tanki Chowky,Rajkot - 360001. Tel. No.: 9081903025 • **Ranchi** Room No 307, 3rd Floor, Commerce Tower, Beside Mahabir Tower, Ranchi - 834001. Tel. No.: 0651 2331320 • **Rewa** Shop No. 2, Shree Sai Anmol Complex, Ground Floor Opp Teerth Memorial Hospital, Rewa - 486 001. Tel No.: 7518801843 • **Rohtak** Shop No 14, Ground Floor, Ashoka Plaza, Delhi Road, in the city of Rohtak, Haryana, Rohtak - 124 001. Tel No.:7518801844 • **Roorkee** Shree Ashadeep Complex, 16, Civil Lines, Near Income Tax Office, Roorkee - 247667. Tel. No.: 7518801845 • **Rourkela** 2nd Floor, Main Road Udit Nagar, Sundargarh, Rourekla - 769 012. Tel No.: 0661-2500005 • **Sagar** 2nd floor, Above Shiva Kanch Mandir, 5 Civil Lines, Sagar - 470002. Tel. No.: 07582 402404 • **Salem** No.6, NS Complex, Omalur main road, Salem, Tamil Nadu - 636016. Tel. No.: 0427-4020300. • **Sambalpur** 1st Floor; Shop No. 219 Sahej Plaza, Gole bazar; Sambalpur - 768 001. Tel No.: 06632533437 • **Satna** 1st Floor, Gopal Complex, Near Bus Stand, Rewa Road, Satna - 485 001. Tel No.: 7518801847 • **Shillong** Annex Mani Bhawan, Lower Thana Road, Near R K M Lp School,

Shillong - 793001. Tel. No.: 0364 2506106 • **Shimla** 1st Floor, Hills View Complex, Near Tara Hall, Shimla, Himachal Pradesh - 171002. Tel. No.: 7518801849 • **Shimoga** Jayarama Nilaya, 2nd Corrs, Mission Compound, Shimoga - 577 201. Tel No.: - 08182 295491. • **Shivpuri** Near Hotel Vanasthali, In Front of Sawarkar Park, A. B. Road, in the city of Shivpuri, Madhya Pradesh, Shivpuri - 473 551. Tel No.:7518801850 • **Sikar** First Floor, Super Tower, Behind Ram Mandir Near Taparya Bagichi, Sikar - 332001. Tel. No.: 01572 250398 • **Silchar** N.N. Dutta Road, Chowchakra Complex, Premtala, Silchar - 788001. Tel. No.: 03842 261714 • **Siliguri** 2nd Floor, Nanak Complex, Sevoke Road, West Bengal, Siliguri - 734 001. Tel No.: (0353) 2522579 • **Sitapur** 12/12 Surya Complex, Station Road, Sitapur - 261 001. Tel No.: 7518801851 • **Solan** Disha Complex, 1st Floor, Above Axis Bank, Rajgarh Road, in the city of Solan, Himachal Pradesh, Solan - 173 212. Tel No.:7518801852 • **Solapur** Block No 06, Vaman Nagar, Opp. D-Mart, Jule Solapur, Solapur - 413004. Tel. No.: 0217 2300021 • **Sonepat** 2nd Floor, DP Tower, Model Town, Near Subhash Chowk, Sonepat, Haryana, Sonepat - 131 001. Tel No.:7518801853 • **Sri Ganganagar** Address Shop No. 5, Opposite Bihani Petrol Pump, Near Baba Ramdev Mandir, NH - 15, Sri Ganganagar, Rajasthan, Sri Ganganagar - 335 001. Tel No.:0154-2470177 • **Srikakulam** D No 4-4-97, First Floor, Behind Sri Vijayaganapathi Temple, Pedda Relli Veedhi, Palakonda Road , Srikakulam 532001 Tel. No.: 08942229925 • **Sultanpur** 1st Floor, Ramashanker Market, Civil Line, in the city of Sultanpur, Uttar Pradesh, Sultanpur - 228 001. Tel No.:7518801854 • **Surat** State Building, Near Udhna Darwaja, Ring Road, Surat, Gujarat - 395002. Tel. No.: 9081903041 • **Thane** Room No. 302, 3rd Floor, Ganga Prasad, Near RBL Bank Ltd., Ram Maruti Cross Road, Naupada, Thane West, Mumbai - 400 602. • **Tirunelveli** 55/18, Jeney Building, S N Road, Near Aravind Eye Hospital, Tirunelveli - 627001. Tel. No.: 0462-4001416 • **Tirupathi** H.No:10-13-425, 1st Floor, Tilak Road, Opp: Sridevi Complex, Tirupathi - 517501. Tel. No.: 0877 2255797 • **Tiruvalla** 2nd Floor, Erinjery Complex, Ramanchira, Opp. Axis Bank, Thiruvalla - 689107. Tel. No.: 04862-211209 • **Thrissur** 4th Floor, Crown Tower, Shakthanagar, Opp. Head Post Office, Thrissur - 680 001. Tel No.: 9074053268 • **Trichy** No 23C/1 E V R road, Near Vekkaliyaman Kalyana Mandapam, Putthur, Trichy,Tamil Nadu, Trichy - 620 017. Tel No.:0431-4020227 • **Trivandrum** Marvel Tower, 1st Floor, Ura-42 (Uppalam Road Residence Association), Statue, Trivandrum - 695 001. Tel. No.: 0471 - 2725728. • **Tuticorin** 4 - B, A34 - A37, Mangalmal Mani Nagar, Opp. Rajaji Park, Palayamkottai Road, Tuticorin - 628003. Tel. No.: 0461 2334603 • **Udaipur** Shop No. 202, 2nd Floor business, center, 1C Madhuvan, Opp G P O Chetak Circle, Udaipur - 313 001. Tel No.: 0294-2429370 • **Ujjain** 101 Aashta Tower, 13/1 Dhanwantri Marg, Freeganj, Ujjain - 456010. Tel. No.: 0734 4250007 • **Valsad** 406 Dreamland Arcade, Opp Jade Blue , Tithal Road, Valsad, Gujarat, Valsad - 396 001. Tel No.:02632-258481 • **Vapi** A-8, First Floor, Solitaire Business Centre, Opp. DCB Bank, GIDC Char Rasta, Silvassa Road, Vapi - 396191. Tel. No.: 9081903028 • **Varanasi** D-64/132, 2nd Floor, KA Mauza, Shivpurwa, Settlement Plot No 478, Pargana -Dehat Amanat, Mohalla Sagra, Varanasi,Uttar Pradesh, Varanasi - 221 010. Tel No.:7518801855 • **Vashi** Vashi Plaza, Shop no. 324, C Wing, 1st Floor, Sector 17, Vashi Mumbai - 400705. Tel. No.: 022-27802684 • **Vellore** No 2/19, 1st Floor, Vellore City Centre, Anna Salai, Vellore - 632 001. Tel No.: 0416 4200381 • **Vijayawada** HN.No. 26-23, 1st Floor, Sundaramma street, Gandhi Nagar, Krishna, Vijayawada - 520 010. Tel No.: 0866 - 6604040/39/32 • **Visakhapatnam** Door No : 48-10-40, Ground Floor, Surya Ratna Arcade, Srinagar, Opp Road to Lalitha Jeweler Showroom, Beside Taj Hotel Ladje, Visakhapatnam - 530 016. Tel No.: 0891-2714125 • **Warangal** Shop No 22, Ground Floor Warangal City Center,15-1-237, Mulugu Road Junction, Warangal, State - Telangana, Warangal - 506 002. Tel No.: (0870) 2441513 • **Yamunanagar** B-V, 185/A, 2nd Floor, Jagadri Road, Near DAV Girls College, (UCO Bank Building) Pyara Chowk, Yamunanagar, Haryana - 135001. Tel. No.: 7518801857

**C. Please refer our website [www.invescomutualfund.com](http://www.invescomutualfund.com) or visit [mfuindia.com](http://mfuindia.com) for Point of Services (“POS”) locations of MF Utilities India Private Limited (“MFU”) which are Official Points of Acceptance (OPAs) for ongoing transactions.**

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## **Invesco Asset Management (India) Private Limited**

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