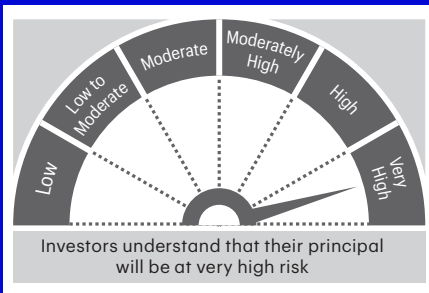


## Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund

(An open ended fund of fund scheme investing in Invesco CoinShares Global Blockchain UCITS ETF)

### RISKOMETER



#### Suitable for investors who are seeking\*

- capital appreciation over long term
- returns that correspond to performance of Invesco CoinShares Global Blockchain UCITS ETF, subject to currency movements and tracking error

**\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them**

Note: The product labelling assigned during the NFO is based on internal assessment of the Scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

Offer for Units of Rs.10 each for cash during the New Fund Offer Period and Continuous Offer for Units at NAV based prices.

New Fund Offer Opens on - November 24, 2021

New Fund Offer Closes on - December 8, 2021

Scheme re-opens for continuous sale and repurchase on:	Within 5 Business Days from the date of allotment.
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This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.invescomutualfund.com](http://www.invescomutualfund.com).

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This KIM is dated November 4, 2021.

#### Sponsor

Invesco Hong Kong Limited,  
41/F, Champion Tower,  
3 Garden Road, Central, Hong Kong.

#### Investment Manager

Invesco Asset Management (India) Private Limited  
2101 - A, 21st Floor, A Wing,  
Marathon Futurex, N. M. Joshi Marg,  
Lower Parel, Mumbai - 400013.

#### Trustee

Invesco Trustee Private Limited  
2101 - A, 21st Floor, A Wing,  
Marathon Futurex, N. M. Joshi Marg,  
Lower Parel, Mumbai - 400013.

#### Mutual Fund

Invesco Mutual Fund  
2101 - A, 21st Floor, A Wing,  
Marathon Futurex, N. M. Joshi Marg,  
Lower Parel, Mumbai - 400013.

# Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund

(An open ended fund of fund scheme investing in Invesco CoinShares Global Blockchain UCITS ETF)

## Investment Objective

To generate returns by investing predominantly in units of Invesco CoinShares Global Blockchain UCITS ETF, an overseas exchange traded fund, which seeks to achieve the performance of the CoinShares Blockchain Global Equity Index (the "Reference Index") less fees, expenses and transaction costs.

However, there is no assurance or guarantee that the investment objective of the Scheme will be achieved. The Scheme does not assure or guarantee any returns.

## Asset Allocation Pattern of the Scheme

Under normal circumstances, the asset allocation of the Scheme would be as follows:

Instruments	Indicative Allocations (% of net assets)		Risk Profile
	Minimum	Maximum	
Shares of Invesco CoinShares Global Blockchain UCITS ETF ^	95	100	High
Debt and money market securities / Units of debt and liquid schemes of Invesco Mutual Fund	0	5	Low to Medium

^ Investors are requested to note that shares of Invesco CoinShares Global Blockchain UCITS ETF should be considered similar to units of the Fund

The Scheme does not intend to invest in Securitized Debt and in unrated debt instruments. The Scheme will not invest in debt instruments having Structured Obligations / Credit Enhancements and debt instruments having special features.

**Note:** Additional Tier I bonds and Tier II bonds issued under Basel III framework are some of the examples of debt instruments with special features.

The Scheme will not invest in derivatives. However, the Underlying Fund may use Financial Derivative Instruments (FDIs) for efficient portfolio management purposes.

The Scheme may enter into repos/reverse repos as may be permitted by RBI. From time to time, the Scheme may hold cash. A part of the net assets may be invested in the Triparty repo (TREPS) or repo or in an alternative investment as may be provided by RBI to meet the liquidity requirements.

The Scheme will not engage in securities lending and short selling of securities.

The Scheme shall make investments in foreign securities as per clause 2(x) of SEBI Circular no. SEBI/IMD/CIR No.7/104753/07 dated September 26, 2007. The Scheme intends to collect maximum amount equivalent to USD 200 million during the New Fund Offer Period. SEBI vide its circular dated June 3, 2021 has clarified that the aforesaid limit is soft limit for the purpose of reporting only to SEBI on a monthly basis.

Subscriptions received in excess of eligible investment amount shall be invested in domestic Debt and Money Market Instruments including government securities or securities which are supported by the Central or a State Government. Further, if the investment proposed to be made by the Scheme in Underlying Fund exceeds any restriction (regulatory or otherwise) or is less than the minimum investment amount requirement imposed by Underlying Fund, the subscription received in the Scheme may be invested in debt and Money Market Instruments. These are temporary measures and the fund manager will restore the asset allocation in line with asset allocation pattern within 30 days.

Pending deployment of funds in securities in terms of investment objective of the Scheme, the AMC may park the funds of the Scheme in short term deposits of Scheduled Commercial Banks, subject to the guidelines issued by SEBI vide its circular dated April 16, 2007, as may be amended from time to time.

Under normal circumstances, the Scheme intends to invest at least 95% of its corpus in shares of Underlying Fund. However, subject to the SEBI Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages stated above are only indicative and not absolute. These proportions can vary substantially depending upon the perception of the fund manager, the intention being at all times to seek to protect the interests of Unit holders. Such changes in investment pattern will be for short term and for defensive considerations only. The fund manager will restore asset allocation in line with the asset allocation pattern within 30 days.

In case the portfolio is not re-balanced within 30 days, justification for the same shall be recorded in writing and will be placed before the investment committee. The investment committee will then decide on further course of action.

## Investment Strategy of the Scheme

The Scheme will follow a passive investment strategy and will invest 95% of its net assets in units of Invesco CoinShares Global Blockchain UCITS ETF and remaining 5% of the net assets in domestic debt and money market securities / Units of debt and liquid schemes of Invesco Mutual Fund.

The Underlying Fund, Invesco CoinShares Global Blockchain UCITS ETF aims to achieve the performance of the CoinShares Blockchain Global Equity Index less fees, expenses and transaction costs. The CoinShares Blockchain Global Equity Index offers exposure to global companies in developed and emerging markets that participate or have the potential to participate in the blockchain ecosystem.

## Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

### Risk Factors of a Fund of Funds Scheme

- The Scheme will invest primarily in Underlying Fund and the performance, risk profile and liquidity of the Scheme will be directly related to those of the Underlying Fund.
- Any change in the investment policies or fundamental attributes of Underlying Fund may affect the performance of the Scheme.
- Investments in Underlying Fund, which is an overseas exchange traded fund, will have all the risks associated with investments in equity, offshore markets and exchange risk, etc.
- Portfolio disclosure of the Scheme will be largely limited to units of Underlying Fund and investments by the Scheme in money market instruments. Therefore, Unit holders may not be able to obtain specific details of the Scheme in respect of portfolio of Underlying Fund.
- In addition to recurring expenses of the Scheme, the Unit holders shall also bear the applicable expenses of Underlying Fund. Therefore, the returns that the Unit holder of the Scheme may receive shall be substantially impacted or may, at times, be lower than the returns that a Unit holder could obtain by directly investing in Underlying Fund.
- The liquidity of the Scheme's investments may be inherently restricted by trading volumes, settlement periods and transfer procedures. In certain cases, settlement periods may be extended significantly by unforeseen circumstances. In the event of an inordinately large number of redemption requests, or of a re-structuring of the Scheme's investment portfolio, these periods may become significant.

#### **Risks associated with investing in Overseas Mutual Fund Units**

- Within the investment objective of the Scheme, the Scheme will be investing in overseas markets (i.e. in units of Underlying Fund). As the units of Underlying Fund are denominated in foreign currency, the value of those investments, distributions, income and net assets when converted to Indian Rupee (INR) may fluctuate due to changes in exchange rate of base currency of Underlying Fund vis-à-vis INR. Investments in overseas markets carry risks related to fluctuations in foreign exchange rates, nature of securities market of the country, restrictions on repatriation of capital due to exchange controls and the political environment.
- As the Scheme will be predominantly investing in overseas mutual fund, the Scheme will be exposed to fluctuations in currency of Underlying ETF vis-à-vis INR. As per the current regulations, the Scheme is not allowed to use currency derivatives to hedge against currency risk and hence currency risk would be inherent risk of the scheme.
- Further the repatriation of capital to India may also be hampered by changes in regulations concerning exchange controls or political circumstances. The Scheme's NAV may also be affected by a fluctuation in the general and specific level of interest rates internationally or change in credit profiles of the issuer.
- The liquidity of the Scheme will be affected if there is a non-Business Day of Underlying Fund. The Scheme shall have non-Business Day on all the days on which Underlying Fund has non-Business Day.
- In case of unforeseen events like system breakdown, natural calamities etc. which could delay NAV of Underlying Fund, NAV of the Scheme may also be delayed and the AMC shall suitably intimate AMFI / SEBI in this regard.
- In addition, country risks would include events such as introduction of extraordinary exchange controls, economic deterioration, bi-lateral conflict leading to immobilisation of overseas financial assets and prevalent tax laws of the respective jurisdictions for the execution of trades or otherwise.

#### **Settlement Risk**

The Scheme will purchase units of the Underlying Fund on stock exchange where such units are listed through broker(s). There is no assurance or guarantee that the units so purchased will be fully settled within the stipulated time and there could be delay in the getting physical delivery of units. The physical settlement of units will depend on trading volume and settlement period. In certain cases, the settlement periods may be extended significantly by unforeseen circumstances. To the extent the units purchased are not physically settled, the Scheme will not be able to sell such units. This may impact the Scheme's ability to meet its redemption obligations in case there are very large redemptions in the Scheme and the units available for sell are not sufficient to meet such redemption obligations.

**The performance of Underlying Fund will be affected by a number of risk factors including the following:**

#### **Risk associated with Equity and Equity Related Instruments**

Investments in the equity shares of the Companies constituting the Index are volatile and prone to price fluctuations on a daily basis due to macro and micro economic factors. The value of Equity and Equity Related Instruments may fluctuate due to factors affecting the securities markets such as volume and volatility in the capital markets, interest rates, currency exchange rates, changes in law/policies of the Government, taxation laws, political, economic or other developments, general decline in the global markets, which may have an adverse impact on individual securities, a specific sector or all sectors. Consequently, the NAVs of units issued under Underlying Fund and in turn of the Scheme may be adversely affected.

Further, the Equity and Equity Related Instruments are risk capital and are subordinate in the right of payment to other securities including debt securities.

Equity and Equity Related Instruments listed on the stock exchange carry lower liquidity risk; however Underlying Fund's ability to sell these investments is limited by overall trading volumes on the stock exchanges. In certain cases, settlement periods may be extended significantly by unforeseen circumstances. The inability of Underlying Fund to make intended securities purchases due to settlement problems could cause Underlying Fund to miss certain investment opportunities. Similarly, the inability to sell securities held in Underlying Fund's portfolio may result, at times, in potential losses to Underlying Fund, should there be a subsequent decline in the value of securities held in Underlying Fund's portfolio.

Underlying Fund may invest upto 10% of its net assets in securities which are not listed on the stock exchanges. These securities may be illiquid in nature and carry a higher amount of liquidity risk, in comparison to securities that are listed on the stock exchanges or offer other exit options to the investor. The liquidity and valuation of Underlying Fund's investments due to its holdings of unlisted securities may be affected if they have to be sold prior to the target date of disinvestment.

#### **Index related risk**

The Underlying ETF would invest in the securities comprising the Index in the same proportion as the securities have in the Index. Hence, the risk associated with the corresponding Index would be applicable to the Underlying ETF. The Index has its own criteria and policy for inclusion/exclusion of securities from the Index, its maintenance thereof and effecting corporate actions. The Underlying ETF would invest in the securities of the Index regardless of investment merit, research, without taking a view of the market and without adopting any defensive measures. The Underlying ETF would not select securities in which it wants to invest but is guided by the Index. As such the Underlying ETF is not actively managed but is passively managed. There is no guarantee that the Underlying ETF will achieve a high degree of correlation to the Underlying Index and therefore achieve its investment objective and may also lead to tracking error.

In addition, as the Scheme will invest in Underlying ETF, tracking error may arise due to various reasons that the Scheme has to incur expenses, regulatory policies, lack of liquidity, etc. The Scheme's returns may therefore deviate from those of its Underlying Fund. However, the Fund would endeavour to keep the tracking error as low as possible.

#### **Currency Risk**

- Underlying Fund's assets may be invested in securities denominated in currencies other than the base currency of Underlying Fund and any income from these investments will be received in those currencies. The performance of Underlying Fund may therefore be affected by movements in exchange rate between the currencies the assets are held and the base currency of Underlying Fund and hence there can be the prospect of additional gain or loss for the Unit holder than what may be normally derived from the assets in which Underlying Fund invests. The performance of Underlying Fund may also be affected by change in exchange control regulations. Accordingly, the investors will be exposed to the risk of global markets arising out of investment by Underlying Fund in securities which are denominated in foreign currencies.
- Conversion into foreign currency or transfer of proceeds received from the sale of securities cannot be guaranteed.
- Exchange rate fluctuations may also occur between the trade date for a transaction and the date on which the currency is acquired to meet settlement obligations.
- Movements in currency can adversely affect the return on the investments. The currency hedging that may be used to minimize the effect of currency movements may not be always successful.
- The value of assets of Underlying Fund may be affected by uncertainties such as fluctuations in foreign exchange rates, the imposition of currency repatriation restrictions, changes in legislation relating to level of foreign ownership in countries in which Underlying Fund may invest.

#### **Political and Economic Risks**

- Economic and/or political instability could lead to legal, fiscal and regulatory changes or the reversal of legal/fiscal/regulatory/market reforms. Assets could be compulsorily re-acquired without adequate compensation.
- Administrative risks may result in the imposition of restrictions on free movement of capital.
- A country's external debt position could lead to sudden imposition of taxes or exchange controls.
- High interest and inflation rates can mean that businesses have difficulty in obtaining working capital.
- Local management may be inexperienced in operating companies in free market conditions.
- A country may be heavily dependent on its commodity and natural resource exports and is therefore vulnerable to weaknesses in world prices for these products.

### Legal Environment

- The interpretation and application of decrees and legislative acts can be often contradictory and uncertain particularly in respect of matters relating to taxation.
- Legislation could be imposed retrospectively or may be issued in the form of internal regulations not generally available to the public.
- Judicial independence and political neutrality cannot be guaranteed.
- State bodies and judges may not adhere to the requirements of law and relevant contract. There is no certainty that Unit holders will be compensated in full or at all for any damages incurred.
- Recourse through the legal system may be lengthy and protracted.
- In certain circumstances, Underlying Fund or certain share classes of Underlying Fund may be liquidated or merged which are detailed in the prospectus of Underlying Fund. In such cases, the costs and expenses of any such liquidation or merger may be borne by Underlying Fund or share class of Underlying Fund up to the limit specified in the prospectus of Underlying Fund. Any unamortized costs resulting from closure may be charged as an expense in full against the assets of Underlying Fund. In such a case, the amount distributed to shareholders of Underlying Fund (including the Scheme) may be less than their original investment.
- In certain circumstances as specified in the prospectus of Underlying Fund, the right of investors of Underlying Fund (including the Scheme) to redeem their units may be suspended.
- The Underlying fund is offered under Invesco Markets II public limited company. An open-ended investment company with variable capital and is structured as an umbrella fund with segregated liability between its funds. It qualifies and is authorised in Ireland by the Central Bank of Ireland (the "Central Bank") as a UCITS for the purposes of the Regulations and therefore is subject to investment supervision.

### Accounting Practices

- The accounting, auditing and financial reporting system may not accord with international standards.
- Even when reports have been brought into line with international standards, they may not always contain correct information.
- Obligations on companies to publish financial information may also be limited.
- Accounting, auditing and financial reporting standards, practices and disclosure requirements applicable to some countries in which Underlying Fund may invest may differ from those applicable in India or Ireland that less information is available to investors and such information may be out of date.

### Shareholder Risk

- Existing legislation may not yet be adequately developed to protect the rights of minority shareholders.
- There is generally no concept of any fiduciary duty to shareholders on the part of management.
- Liability for violation of what shareholder rights of Underlying Fund there are, may be limited.

### Market and Settlement Risks

- The Underlying ETF will react to stock market movements. Therefore, value of investments in the scheme may go down over a short or long period due to fluctuations in Underlying ETF's NAV in response to factors such as performance of companies whose stock comprises the Underlying index, economic and political developments, changes in government policies, changes in interest rates, inflation and other monetary factors causing movement in prices of such investments.
- Lack of liquidity may adversely affect the ease of disposal of assets. The absence of reliable pricing information in a particular security held by Underlying Fund may make it difficult to assess reliably the market value of assets.
- The liquidity of Underlying ETF shares is determined through the interplay of share creation and redemption, market-making and secondary market trading, including trading. Disruptions to ETF liquidity could be, for example, market conditions, operational glitches, and also through any trading halts in Underlying securities etc.
- The share register of Underlying Fund may not be properly maintained and the ownership or interest may not be (or remain) fully protected.
- Registration of securities may be subject to delay and during the period of delay it may be difficult to prove beneficial ownership of securities.
- The provision for custody of assets may be less developed than in other more mature markets and thus poses an additional level of risk for Underlying Fund.
- Settlement procedures may be less developed and still be in physical as well as in dematerialised form.

### Risk associated with Listing and Trading of units on Stock Exchange(s)

- Although shares of Underlying Fund are listed on the Stock Exchange(s), there can be no assurance or guarantee that an active secondary market will develop or be maintained.
- Trading in shares of Underlying Fund on the Stock Exchange(s) may be halted because of market conditions or for reasons that in view of Exchange authorities or Regulators, trading in shares of Underlying Fund is not advisable. In addition, trading in shares of Underlying Fund is subject to trading halts caused by extraordinary market volatility and / or pursuant to 'circuit filter' rules of Exchange and Regulators. There can be no assurance or guarantee that the requirements of Stock Exchange(s) necessary to maintain listing of shares of Underlying Fund will continue to be met or will remain unchanged. Further any change(s) in trading rules, regulations and policy of the Exchanges / Regulators governing the listing of shares of Underlying Fund may impact the trading of shares of Underlying Fund and its prices.
- The shares of Underlying Fund may trade at a premium/discount to its NAV. The NAV of shares of Underlying Fund will fluctuate with changes in the market value of its holding. The trading prices of shares of Underlying Fund will fluctuate in accordance with changes in their NAV as well as demand and supply of shares of Underlying Fund in the market(s) and perceived trends in the market(s). However, any changes in the trading regulations by Stock Exchange(s) / Regulators may affect the ability of the Authorized Participants / market makers to create / redeem shares of Underlying Fund resulting into wider premium or discount to NAV.
- As the shares of Underlying Fund are listed, the exchanges on which those shares are listed will have no responsibility for contents of any prospectus issued by Underlying Fund or will make no representations as to its accuracy or completeness and expressly disclaim any liability whatsoever for any kind of loss arising from or in reliance upon any part of any such prospectus.

### Taxation

- Investors should note in particular that the proceeds from the sale of securities in some markets or the receipt of any Dividends and other income may be or may become subject to tax, levies, duties or other fees or charges imposed by the authorities in that market, including taxation levied by withholding at source. As a result, Underlying Fund (and therefore the Scheme) could become subject to additional taxation in such countries that is not anticipated either at the date of the SID or when investments are made, valued or disposed of.
- Prospective investors should note that there may be additional taxes, charges or levies applied in respect of the Scheme's investment in Underlying Fund depending on the location of assets of Underlying Fund and the jurisdiction in which Underlying Fund is located, registered or operated. Investors should also note that Underlying Fund's investment managers and the Scheme's ability to provide tax information and audited accounts in respect of Underlying Fund to Unit holders of the Scheme is dependent on relevant tax and other information being provided by Underlying Fund in timely fashion. Accordingly, delays may occur in respect of delivery of such information to the Scheme's Unit Holders.

### Hedging Risk

The investment manager of Underlying Fund is permitted, but not obliged, to use hedging techniques to attempt to offset market and currency risks. There is no guarantee that hedging techniques will achieve the desired result.



#### Risks Associated with Derivatives

- Underlying Fund may use derivatives in connection with its investment strategies. Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the investment manager of Underlying Fund to identify such opportunities. Identification and execution of strategies to be pursued by the investment manager of Underlying Fund involve uncertainty and decision of the investment manager of Underlying Fund may not always be profitable. No assurance can be given that the investment manager of Underlying Fund will be able to identify or execute such strategies.
- The risks associated with the use of derivatives are different from or possibly greater than the risks associated with investing directly in securities and other traditional investments. Derivatives may be riskier than other types of investments because they may be more sensitive to changes in economic or market conditions than other types of investments and could result in losses that significantly exceed Underlying Fund's original investment. Certain derivatives may give rise to a form of leverage. Due to low margin deposits normally required in trading financial derivative instruments, an extremely high degree of leverage is typical for trading in financial derivative instruments. As a result, Underlying Fund may be more volatile than if Underlying Fund had not been leveraged because the leverage tends to exaggerate the effect of any increase or decrease in the value of Underlying Fund's portfolio. A relatively small price movement in a derivative contract may result in substantial losses to the investor.
- Derivatives are also subject to risk that changes in value of a derivative may not correlate perfectly with the underlying asset, rate or index. The use of derivatives for hedging or risk management purposes or to increase income or gain may not be successful, resulting in losses to Underlying Fund and cost of such strategies may reduce Underlying Fund's returns and increase Underlying Fund's potential for loss.
- Underlying Fund may use derivatives to hedge market and currency risk and for the purposes of efficient portfolio management. The use of derivatives may expose Underlying Fund to a higher degree of risk. In particular, derivative contracts can be highly volatile and the amount of initial margin is generally small relative to size of contract so that transactions are geared.
- Underlying Fund may take short positions on a security through the use of financial derivative instruments in the expectation that their value will fall in the open market. The possible loss from taking a short position on a security differs from the loss that could be incurred from a cash investment in the security, the former may be unlimited as there is no restriction on the price to which a security may rise, whereas the latter cannot exceed the total amount of the cash investment. The short selling of investments may also be subject to changes in regulations, which could impose restrictions that could adversely impact returns to investors.

#### Right of Exchange to Suspend Trading in Securities listed

The securities exchange on which shares of Underlying Fund may be listed may have right to suspend or limit trading in all securities which it lists. Such suspension would expose Underlying Fund to losses and delays in its ability to redeem shares of Underlying Fund.

#### Warrants

Underlying Fund may invest in warrants and the value of these warrants is likely to fluctuate more than the prices of underlying securities because of greater volatility of warrant prices.

#### Futures and Options

Underlying Fund may use options and futures on securities, indices and interest rates for the purpose of efficient portfolio management. Transactions in futures and options carry a high degree of risk. A relatively small market movement will have a proportionately larger impact which may work for or against the investor. The placing of certain orders which are intended to limit losses to certain amounts may not be effective because market conditions may make it impossible to execute such orders.

For additional risk factors of Underlying Fund, investors are requested to refer to the offering document or the website (<https://etf.invesco.com/>) of Underlying Fund.

#### Risk associated with Debt and Money Market Instruments:

- Investment in debt and Money Market Instruments would have credit risk. Credit risk or default risk refers to the risk that the issuer of a fixed income security may default on interest payment or even in paying back the principal amount on maturity. Even where no default occurs, the price of security may be affected because of change in the credit rating of issuer/instrument and the price of security goes down if the credit rating agency downgrades the rating of the issuer. In case of T-Bills, there is minimal credit risk to that extent.
- Debt and Money Market Instruments also have liquidity or marketability risk. This refers to the ease with which a security can be sold at or near to its valuation, i.e. yield-to-maturity (YTM). The primary measure of liquidity risk is the spread between bid price and offer price quoted by a dealer.
- The NAV of the Units, to the extent the corpus of the Scheme is invested in debt and Money Market Instruments, will be affected by changes in the level of interest rates. When interest rate in the market rise, the value of a portfolio of debt and Money Market Instruments can be expected to decline. The extent of rise or fall in the price is a function of existing coupon, days to maturity, increase or decrease in level of interest, credit quality, demand and supply.
- Investments in debt securities may carry re-investment risk as interest rates prevailing on the interest or maturity due dates may differ from the original coupon of the debt security. Consequently, the proceeds may get invested at a lower rate.
- Securities which are not quoted on the Stock Exchange(s) may be illiquid and can carry higher liquidity risk in comparison with securities which are listed on the Stock Exchange(s) and offer exit option to the investor including put option. The Scheme would invest in the securities which are not listed but offer attractive yields. This may however increase the risk of the portfolio.

#### Risk Factor associated with investing in Securities Segment and Tri-party Repo trade settlement

Clearing Corporation of India Ltd. ('CCIL') is providing clearing and settlement services, for Triparty Repo trades in Government Securities, under its Securities Segment. CCIL would act as a Central Counterparty to all the borrow and lend Triparty Repo trades received by it for settlement. CCIL would also be performing the role responsibilities of Triparty Repo Agent, in terms of Repurchase transactions (Repo) (Reserve Bank) Directions, 2018 as amended from time to time. CCIL would settle the Triparty Repo trades, in terms of its Securities Segment Regulations.

The funds settlement of members is achieved by multilateral netting of the funds position in Triparty Repo with the funds position in Outright and Market Repo and settling in the books of RBI for members who maintain an RBI Current Account. In respect of other members, funds settlement is achieved in the books of Settlement Bank. Securities settlement for Triparty Repo trades shall be achieved in the Gilt Account of the Member maintained with CCIL. Securities obligation for outright and market repo trades shall be settled in the SGL / CSDL account of the Member with RBI.

Invesco Mutual Fund is a member of securities segment and Tri-party Repo trade settlement of the CCIL. Since all transactions of the Fund in government securities and in Tri-party Repo trades are settled centrally through the infrastructure and settlement systems provided by CCIL, it reduces the settlement and counterparty risks considerably for transactions in the said segments.

To mitigate the potential losses arising in case any member defaults in settling the transactions routed through CCIL, CCIL maintains a Default Fund. CCIL shall maintain two separate Default Funds in respect of its securities segment, one to meet the losses arising out of any default by its members from outright and repo trades and other for meeting losses arising out of any default by its members from Triparty Repo trades.

In case any clearing member fails to honor his settlement obligations, the Default Fund is utilized to complete the settlement applying the Default Waterfall Sequence. As per the said waterfall mechanism, after the defaulter's margins and defaulter's contribution to default fund have been appropriated, CCIL's contribution is used to meet the losses. Post utilization of CCIL's contribution, if there is still a loss to be met, then contribution of non-defaulting members to Default Fund is utilized to meet the said loss.

The Scheme is subject to the risk of losing initial margin and contribution to Default Fund in the event of failure of any settlement obligation. Further the Scheme's contribution is allowed to be used to meet the residual loss in case of default by the other clearing member (the defaulting member).

Further, CCIL periodically prescribes a list of securities eligible for contribution as collaterals by members. Presently, all Central Government Securities and Treasury Bills are accepted as collaterals by CCIL. The above risk factor may undergo a change in case the CCIL notifies securities other than Government of India Securities as eligible for contributions as collateral.

#### Risks associated with segregated portfolio

- Investor holding units of segregated portfolio may not be able to liquidate their holding till the time recovery of money from the issuer.
- Security(ies) held in segregated portfolio may not realize any value.
- Listing of units of segregated portfolio on recognized stock exchange does not necessarily guarantee their liquidity. There may not be active trading of units in the stock market. Further trading price of units on the stock market may be significantly lower than the prevailing NAV.

#### Risks associated with Securities Lending

Not Applicable. The Scheme will not undertake Securities Lending.

#### Risks associated with Short Selling

Not Applicable. The Scheme will not undertake short selling.

#### Risks associated with Investing in Securitized Debt

Not Applicable. The Scheme will not invest in securitized debt.

#### Risk Mitigation Strategies:

Type of Risk	Measures to Mitigate Risk(s)
Concentration Risk	As the Scheme will predominantly invest in units of Underlying Fund, the Scheme carries concentration risk. However, Underlying Fund will have diversified portfolio comprising constituents of the CoinShares Blockchain Global Equity Index in respective weighting and concentration risk to that extent is minimized.
Currency Risk	As the Scheme will be predominantly investing in overseas mutual fund, the Scheme will be exposed to fluctuations in currency of Underlying Fund vis-à-vis INR. As per the current regulations, the Scheme is not allowed to use currency derivatives to hedge against currency risk and hence currency risk would be inherent risk of the Scheme.
Liquidity Risk	Units of the Underlying Fund in which the Scheme will invest are listed on overseas stock exchanges. Further, the Underlying Fund also provides for subscription and redemption on all Business Days to Authorised Participants. In addition, the Scheme will maintain some % of its net assets to meet liquidity requirements for the purpose of repurchase or redemptions.
Settlement Risk	As the Underlying Fund allows subscription in creation unit size, the broker through whom the Scheme purchase units can subscribe units of Underlying Fund directly with the Fund and deliver such units to the Scheme. Hence it is expected that the risk of unsettled units will be limited to the extent units outstanding in less than creation unit size.

#### Requirement of Minimum Investors in the Scheme

The Scheme shall have a minimum of 20 investors and no single investor shall account for more than 25% of the corpus of the Scheme. However, if such limit is breached during the NFO of the Scheme, the Fund will endeavour to ensure that within a period of three months or the end of the succeeding calendar quarter from the close of the NFO of the Scheme, whichever is earlier, the Scheme complies with these two conditions. In case the Scheme does not have a minimum of 20 investors in the stipulated period, the provisions of Regulation 39(2)(c) of the SEBI (MF) Regulations would become applicable automatically without any reference from SEBI and accordingly the Scheme shall be wound up and the units would be redeemed at applicable NAV. The two conditions mentioned above shall also be complied within each subsequent calendar quarter thereafter, on an average basis, as specified by SEBI. If there is a breach of 25% limit by any investor over the quarter, a rebalancing period of one month would be allowed and thereafter the investor who is in breach of the rule shall be given 15 days' notice to redeem his exposure over 25% limit. Failure on the part of the said investor to redeem his exposure over 25% limit within the aforesaid 15 days would lead to automatic redemption by the Mutual Fund on the applicable Net Asset Value on the 15th day of the notice period. The Fund shall adhere to the requirements prescribed by SEBI from time to time in this regard.

#### Monitoring Limits on Investments in Overseas Securities

As per SEBI Circular SEBI/IMD/CIR No.7/104753/07 dated September 26, 2007 read with SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2020/225 November 5, 2020 and SEBI Circular no. SEBI/HO/IMD/IMD-II/DOF3/P/CIR/2021/571 dated June 3, 2021, Mutual Fund can invest in overseas securities subject to a maximum of US \$ 1 billion and in overseas ETF subject to maximum of US \$ 300 million or such limits as may be prescribed by SEBI from time to time.

On an ongoing basis, the AMC is allowed to invest in overseas securities upto 20% of the average Asset Under Management ('AUM') in overseas securities of the previous three calendar months subject to maximum limit mentioned above at Fund house level. SEBI vide its circular dated June 3, 2021 has clarified that the aforesaid 20% limit for ongoing investment in overseas securities will be soft limit for the purpose of reporting only on a monthly basis.

Subject to the approval of RBI / SEBI and conditions as may be prescribed by them, the Mutual Fund may open one or more foreign currency accounts abroad either directly, or through the custodian/sub-custodian, to facilitate investments and to enter into/deal in forward currency contracts, currency futures, interest rate futures / swaps, currency options for the purpose of hedging the risks of assets of a portfolio or for its efficient management.

The process for monitoring USD 300 million limit for investment in overseas ETF is as follows:

- The cap of USD 300 million will be monitored and enforced at the Mutual Fund level and not at the individual scheme level.
- Once 90% of the limit is reached, investors will be informed that further sales of Units will be suspended when the Mutual Fund's combined AUM in schemes investing in overseas securities reaches cap of USD 300 million. A notice will be issued to ISC offices and AMC branches and published on the website of the AMC ([www.invescomutualfund.com](http://www.invescomutualfund.com))
- Once USD 300 million cap is reached, any allotment will be on a pro-rata basis and subject to the combined AUM level of overseas investments of the Mutual Fund being below this cap.

#### Example:

- Day T (opening AUM) - USD 270 million is the overseas AUM of Mutual Fund, incoming cash flows on Day T - USD 30 million - Units will be allotted for the entire application amount.
- Day T (opening AUM) - USD 270 million is the overseas AUM of Mutual Fund, incoming cash flows on Day T - USD 60 million - Units will be allotted only for USD 30 million on a pro rata basis.
  - Therefore, on Day T, a notice will be sent to all ISC offices & AMC branches and published on the website of the AMC ([www.invescomutualfund.com](http://www.invescomutualfund.com)) stating that further sales are suspended with immediate effect, in the event an additional limits for overseas investments has not been obtained from SEBI.
  - If the cap of USD 300 million is reached, any fresh application for fresh allotment will be rejected and refunds will be processed within the regulatory timelines.
  - The above process will not have any impact on redemption process.

## Plans / Options

The Scheme offers a separate Plan for investments directly with the Fund (i.e. application not routed through Distributor).

Thus, the Scheme offers two plans as follows:

- Regular Plan
- Direct Plan

Each of the above Plans under the Scheme offers Growth option.

Direct Plan will have a lower expense ratio excluding distribution expenses, commission for distribution of Units etc. Direct Plan is only for investors who purchase /subscribe Units directly with the Fund (i.e. application not routed through Distributor). Investments under Direct Plan can be made through various modes offered by the Fund for investing directly with the Fund (except Stock Exchange Platform(s) and all other Platform(s) where investors' applications for subscription of units are routed through Distributors). Further Registered Investment Advisors (RIAs) can also purchase units of Direct Plan on behalf of their clients through NMF-II platform of National Stock Exchange of India Ltd. and/or BSE StAR MF System of BSE Ltd.

The portfolio of Direct Plan will form part of portfolio of the Scheme and there will be no separate portfolio for Direct Plan.

### Default Plan

Investors subscribing Units under Direct Plan of a Scheme should indicate "Direct Plan" against the scheme name in the application form. Investors should also mention "Direct" in the ARN column of the application form.

The table showing various scenarios for treatment of application under "Direct/Regular" Plan is as follows:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct
2	Not mentioned	Direct	Direct
3	Not mentioned	Regular	Direct
4	Mentioned	Direct	Direct
5	Direct	Not Mentioned	Direct
6	Direct	Regular	Direct
7	Mentioned	Regular	Regular
8	Mentioned	Not Mentioned	Regular

In cases of wrong/ invalid/ incomplete ARN code mentioned on the application form, the application will be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load, if applicable.

### Minimum Target Amount

Rs. 10 Crores

### Applicable NAV (after the Scheme opens for repurchase and sale)

#### For Subscription / purchase/ switch-ins of any amount:

- In respect of valid application received upto 3.00 p.m. on a Business Day at the Official Point(s) of Acceptance and funds for the entire amount of subscription / purchase as per the application / switch-in request are available for utilization by the respective Scheme(s) before the cut off time i.e. funds are credited to the bank account of the respective Scheme(s) before the cut off time, the closing NAV of the same Business Day shall be applicable
- In respect of valid application received after 3.00 p.m. on a Business Day at the Official Point(s) of Acceptance and funds for the entire amount of subscription / purchase as per the application / switch-in request are available for utilization by the respective Scheme(s) after the cut off time on the same day i.e. the funds are credited to the bank account of the respective Scheme(s) after cut off time on the same day or before the cut-off time of next Business Day, the closing NAV of next Business Day shall be applicable.
- Irrespective of the time of receipt of application at the Official Point(s) of Acceptance, where funds for the entire amount of subscription / purchase as per the application / switch-in request are available for utilization before the cut off time of any subsequent Business Day i.e. funds are credited to the bank account of the respective Scheme(s) before the cut off time of any subsequent Business Day, the closing NAV of such subsequent Business Day shall be applicable.

For determining the applicable NAV for allotment of units in respect of purchase / switch-in to the Schemes, the following shall be ensured:

- Application / switch-in request is received before the applicable cut-off time.
- Funds for the entire amount of subscription / purchase as per the application / switch-in request are credited to the bank account of the respective Scheme(s) before the cut-off time.
- The funds are available for utilization before the cut-off time without availing any credit facility whether intra-day or otherwise, by the respective Scheme(s).
- In case of switch transactions from one scheme to another scheme, the allocation shall be in line with the redemption payout.

#### For redemption / repurchases / switch-outs:

- In respect of valid application received at the Official Points of Acceptance upto 3.00 p.m. on a Business Day by the Fund, the closing NAV of the day on which application is received shall be applicable.
- In respect of valid application received at the Official Points of Acceptance after 3.00 p.m. on a Business Day by the Fund, the closing NAV of the next Business day shall be applicable.

#### For Switches:

Valid applications for 'switch-out' shall be treated as applications for Redemption and the provisions of Cut-off Time and Applicable NAV mentioned in the SID as applicable to Redemption shall be applied to the 'switch-out' applications. In case of 'switch' transactions from one scheme to another the allocation shall be in line with redemption payouts.

### Minimum Application and redemption Amount/ Number of Units

#### For Lumpsum Purchase

Purchase (including Additional Purchase)	Rs. 1,000/- per application and in multiples of Re. 1/- thereafter.
Switch-in (including Additional Switch-in)	Rs. 1,000/- per application and in multiples of Re. 0.01/- thereafter.
Redemption / Repurchase / Switch-out	Rs. 1,000/- or 0.001 unit or account balance, whichever is lower.

#### For Systematic Investment Plan

	NFO and Ongoing Basis
Monthly frequency	Rs. 500/- per month and in multiples of Re. 1 thereafter (Minimum number of installments should be 12) Rs. 1,000/- or more per month and in multiples of Re. 1 thereafter (Minimum number of installments should be 6)
Quarterly frequency	Rs. 1,500/- per quarter and in multiples of Re. 1 thereafter (Minimum number of installments should be 4)

#### Despatch of Repurchase (Redemption) Request

Within 10 working days of the receipt of the redemption request at the authorised centre of Invesco Mutual Fund.

#### Benchmark Index

CoinShares Blockchain Global Equity Index in USD

#### Name of the Fund Managers

• Mr. Neelesh Dhamnaskar • Mr. Krishna Cheemalapati (for debt investments)

Tenure for which Fund Manager has been managing the Scheme: N.A since the Scheme is a new scheme.

#### Name of the Trustee Company

Invesco Trustee Private Limited

#### Performance of the scheme

This Scheme is a new scheme and does not have any performance track record.

#### Expenses of the Scheme

##### i) Load Structure

(During the New Fund Offer Period and on an Ongoing Basis):

##### Entry Load: Nil

In terms of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, no entry load will be charged on purchase / additional purchase / switch-in.

The upfront commission, if any, on investment made by the investor shall be paid by the investor directly to the Distributor, based on his assessment of various factors including the service rendered by the Distributor.

For each purchase of units through Lumpsum / switch-in / Systematic Investment Plan (SIP), Systematic Transfer Plan (STP) and IDCW Transfer Plan, exit load will be as follows:

##### Exit Load<sup>^</sup>:

- 0.50% - if units are redeemed/switched out on or before 1 month from the date of allotment.
- Nil - if units are redeemed/switched out after 1 month from the date of allotment.

<sup>^</sup>Exit Load charged, if any, will be credited back to the scheme, net of Goods & Services Tax (GST).

The AMC reserves the right to change/modify the load structure from a prospective date.

##### (ii) Recurring expenses (maximum as a % of daily net assets)

Maximum as a % of daily net assets: 1.00%

##### The investor will bear the recurring expenses of the Scheme, in addition to the expenses of underlying scheme.

The recurring expenses of the Scheme including weighted average of total expense ratio levied by Underlying Fund shall not exceed 1.00% p.a. of daily net assets of the Scheme. (excluding additional expenses under regulation 52(6A)(c) and additional distribution expenses or gross inflows from specified cities).

Annual Scheme Recurring Expenses charged to Direct Plan will be restricted upto 1.00% of daily net assets (including expenses not exceeding 0.05% of daily net assets of the Scheme under Regulation 52(6A)(c) of the Regulations). Commission and distribution expenses will not be charged to the Direct Plan under the Scheme.

The expenses to the scheme can be charged as Investment Management and Advisory Fees under Regulation 52 (2) and the various sub-heads of recurring expenses mentioned under Regulation 52 (4) of SEBI (MF) Regulations. Thus, there shall be no internal sub-limits within the expense ratio for expense heads mentioned under Regulation 52 (2) and (4) respectively. Further, the additional expenses under Regulation 52(6A)(c) may be incurred either towards investment & advisory fees and/or towards other expense heads as stated in SID of the Scheme.

In addition to TER within the limits specified under regulation 52 (6) of the Regulations, the AMC may charge expenses not exceeding 0.05% of daily net assets of the scheme as permitted under Regulation 52 (6A) (c), towards investment & advisory fees as specified under regulation 52(2) of the Regulations and/or towards recurring expenses as specified under 52(4) of the Regulations. **However, such additional expenses will not be charged if exit load is not levied / not applicable to the Scheme.**

##### Note:

- Of the above expense 0.02% on daily net assets will be set aside for investor education and awareness initiatives.
- Goods & Services Tax (GST) on other expenses (including on brokerage & transaction cost, if any) will be borne by the Scheme within above limit. However, Goods & Services Tax (GST) on investment and advisory fees will be in addition to maximum limit as mentioned above.

##### (iii) Additional Distribution Expenses in case of new inflows from specified cities

In addition to total expenses ratio (TER) as specified above, the AMC will charge expenses not exceeding 0.30% of daily net assets if the new inflows in the Scheme from such cities, as specified by SEBI from time to time, are at least:

- 30% of gross new inflows in the scheme, or;
- 15% of the average assets under management (year to date) of the scheme, whichever is higher.

In case, inflows from such cities is less than the higher of (i) or (ii) of above, such expenses on daily net assets of scheme will be charged on proportionate basis in accordance with SEBI Circular vide reference no. CIR/IMD/DF/21/2012 dated September 13, 2012.

The additional expenses on account of inflows from such cities charged will be credited back to the Scheme in case the said inflows are redeemed within a period of one year from the date of investment.

The additional expenses charged in case of inflows from such cities will be utilized for distribution expenses incurred for bringing inflows from such cities.

It is clarified that the additional TER in terms of Regulation 52(6A)(b) of SEBI (Mutual Funds) Regulations, 1996 shall be charged upto 30 basis points on daily net assets of the scheme based on inflows only from retail investors beyond Top 30 cities (B 30 cities). Inflows of amount upto Rs. 2,00,000 per transaction by individual investors shall be considered as inflows from retail investors. Top 30 cities shall mean top 30 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography - Consolidated Data for Mutual Fund Industry' as at the end of the previous financial year.

The additional commission for B 30 cities shall be paid as trail only.



#### (iv) Brokerage and Transaction Cost:

In addition to limits specified in regulation 52 (6) of the Regulations, brokerage and transaction costs incurred for the purpose of execution of trade not exceeding 0.12% of value of trade in case of cash market transaction (inclusive of Goods & Services Tax) will be capitalised. Any payment towards brokerage and transaction cost for execution of trade, over and above the said limit of 0.12% for cash market transactions may be charged to the scheme within the maximum limit of TER as prescribed under regulation 52 of the Regulations.

The total expenses of the Scheme including the Investment Management and Advisory Fee shall not exceed the limits stated in Regulation 52 of the SEBI (MF) Regulations.

All Scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the Scheme only within the regulatory limits and not from the books of the AMC, its Associate, Sponsor, Trustee or any other entity through any route.

However, expenses that are very small in value but high in volume may be paid out of AMC's books at actuals or not exceeding 2 bps of respective Scheme AUM, whichever is lower. A list of such miscellaneous expenses will be as provided by AMFI in consultation with SEBI.

For payment of Agents Commission, MF / AMC shall adopt full trail model of commission without payment of any upfront commission or upfronting of any trail commission, directly or indirectly, in cash or kind, through sponsorships, or any other route. However, upfronting of trail commission will be allowed for inflows through Systematic Investment Plans (SIPs) from new investors, up to 1% payable yearly in advance, for a maximum period of three years' subject to guidelines provided by SEBI, as amended from time to time. The upfront trail commission shall be paid from the books of the AMC and amortized on daily basis to the Scheme over the period for which the payment has been made.

The Fund will update the current expense ratios on its website at least three working days prior to the effective date of the change. The investors can refer to <https://www.invescomutualfund.com/about-us?tab=Statutory> for Total Expense Ratio (TER) details.

Additionally, the Fund will disclose the Total Expense Ratio (TER) of the Scheme on daily basis on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)).

Further, any change in the base TER (i.e. TER excluding additional expenses provided in Regulation 52 (6A) (b) and 52 (6A) (c) of SEBI (Mutual Funds) Regulations, 1996) and Goods & Services Tax on investment and advisory fees in comparison to previous base TER charged to the Scheme/Plan shall be communicated to investors of the Scheme/Plan through notice via email or SMS and the notice of change in base TER will be uploaded on the website (<https://www.invescomutualfund.com/about-us?tab=Statutory>) at least three working days prior to effecting such change.

#### Transaction Charges

In terms of SEBI circular no. IMD/ DF/ 13/ 2011 dated August 22, 2011, a transaction charge, as follows, is payable to distributors who have opted to receive transaction charge\*:

- i. For existing investor in a Mutual Fund: Rs. 100/- per subscription of Rs. 10,000/- and above;
- ii. For first time investor in Mutual Funds: Rs. 150/- per subscription of Rs. 10,000/- and above.

\*Distributors shall also have the option to either opt in or opt out of levying transaction charge based on type of the product subject to availability of proper systems in place.

In case of investment through systematic investment plan (SIPs), the transaction charge shall be applicable only if the total commitment through SIP (i.e. amount of each SIP installment X total number of SIP installments) amounts to Rs. 10,000/- and above. In such cases, the transaction charge shall be recovered in 3-4 installments, as may be decided by the AMC.

However, there will be no transaction charge on:

- i. Subscription of less than Rs. 10,000/-; or
- ii. Transactions other than purchases / subscriptions relating to new inflows; or
- iii. Direct subscription (subscription not routed through distributor); or
- iv. Subscription routed through distributor who has chosen to 'Opt-out' of charging of transaction charge; or
- v. Transaction routed through Stock Exchange(s).

The transaction charge, if any, will be deducted by AMC from subscription amount and shall be paid to distributor. The balance subscription amount, after deducting applicable transaction charges, will be invested.

It is clarified that upfront commission to distributor will continue to be paid by the investor directly to distributor by a separate cheque.

#### Stamp duty

Pursuant to Part I of Chapter IV of Notification dated February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India on the Finance Act, 2019 read with subsequent Notification No. S.O. 1226 (E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, a stamp duty @ 0.005% of the Transaction Value will be levied on applicable mutual fund transactions i.e. purchases (including switch-in, etc.). Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase, switch-in, installment of Systematic Investment Plan, Systematic Transfer Plan and reinvestment of IDCW to the unit holders will be lower to that extent. The stamp duty will be arrived at using inclusive method of calculation. For applying stamp duty, Transaction Value will be calculated after deducting transaction charges and such other charges as may be applicable from time to time. The calculation of stamp duty is explained as follows:

If an investor subscribes units for Rs.1,00,000/- through a distributor and transaction charges payable to distributor are Rs.100/-, then stamp duty will be calculated as follows:

$$\begin{aligned} \text{Transaction Value} &= \text{Subscription Amount} - \text{Transaction Charges (i.e. 1,00,000-100)} = 99,900/- \\ \text{Stamp Duty} &= (\text{Transaction Value} / 100.005) * 0.005 \\ &= (99,900/100.005) * 0.005 \\ &= 4.99 \end{aligned}$$

Accordingly, the amount of stamp duty of Rs. 4.99 will be deducted from the transaction value and for the balance amount, units will be allotted at applicable NAV.

#### Waiver of Load for Direct Applications

Not Applicable

#### Tax treatment for the Investors (Unit holders)

Investors are advised to refer to the Section on 'Taxation on investing in Mutual Funds' in the 'Statement of Additional Information' and to consult their own tax advisors with respect to the specific amount of tax and other implications arising out of their participation in the Scheme.

#### Daily Net Asset Value (NAV) Publication

The Direct Plan under the Scheme will have a separate NAV. The NAV will be declared on all the Business Days. The AMC shall prominently disclose the NAVs of the Scheme under a separate head on the website of the Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)) and on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)). Investors can also call at 1800 209 0007.

Further the Mutual Fund / AMC will extend facility of sending latest available NAVs of the Scheme to the Unit holders through SMS upon receiving a specific request in this regard. Also, information regarding NAV can be obtained by the Unit holders / Investors by calling or visiting the nearest ISC.

## For Investor Grievances please contact

### Name and Address of Registrar

#### KFin Technologies Private Limited:

Invesco Mutual Fund  
Karvy Selenium Tower B, Plot No 31 & 32, Gachibowli,  
Financial District, Nanakramguda, Serilingampally,  
**Hyderabad- 500 032.**

Tel: (040) 33215121/ (040) 33215123

E-mail: mfservices@invesco.com

### Mr. Surinder Singh Negi

#### Invesco Asset Management (India) Pvt. Ltd.

#### (Investment Manager for Invesco Mutual Fund)

2101-A, A Wing, 21st Floor, Marathon Futurex,  
N. M. Joshi Marg, Lower Parel, **Mumbai - 400 013**

Tel: +91 22 67310000 Fax: +91 22 23019422

E-mail: mfservices@invesco.com

Website: www.invescomutualfund.com

## Disclosure of Risk-o-Meter

The product labelling assigned during the NFO is based on internal assessment of the Scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made. The AMC will evaluate the Risk-o-Meter on a monthly basis and shall disclose the same along with the portfolio disclosure.

Any change in the risk-o-meter will be communicated by way of Notice-cum-Addendum uploaded on website and by way of an email / sms to the Unit holders of the Scheme.

## Unitholder's Information

### Account Statement

#### For Unitholders not having a demat account

- On acceptance of application for subscription, an allotment confirmation specifying the number of Units allotted will be sent by way of e-mail and/or SMS to the applicant's registered e-mail address and/or mobile number within five Business Days from the date of closure of the NFO Period.

- Thereafter, Consolidated Account Statement (CAS)\* will be sent for each calendar month to Unit holder(s) in whose folio(s) transaction(s)\* has/have taken place during the month, on or before 15th day of the succeeding month.

\*A Consolidated Account Statement (CAS) shall also contain details of all the transactions\* during the month, the total purchase value/ cost of investment in each scheme and holding at the end of the month across all schemes of all mutual funds including transaction charges paid to the distributor.

\*the word 'transaction' shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan transactions and bonus transactions.

- For the purpose of sending CAS, common investor across mutual funds shall be identified by their Permanent Account Number (PAN).

- In case the folio has more than one registered holder, the first named Unit holder will receive CAS/account statements.

Further, the CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail on or before 21st day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period. The half yearly consolidated account statement will be sent by e-mail to the Unit holders whose e-mail address is available, unless a specific request is made to receive in physical. In case of specific request received from investors, Mutual Funds shall provide the account statement to the investors within 5 business days from the receipt of such request without any charges.

- The AMC shall send first account statement for a new folio separately with all details registered in the folio by way of a physical account statement and/or an e-mail to the investor's registered address / e-mail address not later than five business days from the date of closure of the initial subscription list.

When an investor has communicated his/her e-mail address, the Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The investor shall from time to time intimate the Mutual Fund / its Registrar and Transfer Agents about any changes in the email address.

#### For Unitholder(s) holding units in Account Statement mode/ Physical but having a Demat account

##### a) Who have opted to receive CAS through Depositories

- On acceptance of application for subscription, an allotment confirmation specifying the number of Units allotted will be sent by way of e-mail and/or SMS to the applicant's registered e-mail address and/or mobile number within five Business Days from the date of closure of NFO Period.
- Thereafter a Consolidated Account Statement (CAS) will be dispatched by Depositories within 15 Days from the end of the month or such other the timeline as may be specified by the SEBI from time to time for Permanent Account Numbers (PANs) which are common between Depositories & AMCs and in which transaction\* has taken place during the month.
- In case of multiple holding, PAN of the first holder and pattern of holding will be considered for dispatching CAS.
- If the statements are presently being dispatched by e-mail either by the Fund or the Depository then CAS will be sent through email. However the Unit holder will have an option to receive CAS in physical form at the address registered in the Depository system.
- In case there is no transaction in any of the mutual fund folios and demat accounts then CAS with holding details will be sent to the Unit holders on half yearly basis.
- The dispatch of CAS by Depositories to Beneficial Owners would constitute compliance by AMC / the Fund with the requirements under Regulation 36(4) of SEBI (Mutual Funds) Regulations 1996.
- The AMC shall send first account statement for a new folio separately with all details registered in the folio by way of a physical account statement and/or an e-mail to the investor's registered address / e-mail address not later than five business days from the date of closure of the initial subscription list.
- In case of demat accounts with nil balance and no transactions in securities and in mutual fund folios, the Depositories shall send account statement in terms of regulations applicable to the Depositories.

\*the word 'transaction' shall include transaction in demat accounts of the investor or in any of his mutual fund folios.

##### b) Who have opted not to receive CAS through Depositories

Unitholder(s) will have an option not to receive CAS through Depositors. Such Unitholder(s) will be required to provide negative consent to the Depositories. Unitholder(s) who have opted not to receive CAS through Depositories will receive CAS from AMC / the Fund.

Further, CAS issued for the half-year (ended September/ March) shall also provide:

- The amount of actual commission paid by AMC/ Mutual Fund to distributors (in absolute terms) during the half-year period against the concerned investor's total investments in each Mutual Fund scheme. The term 'commission' here refers to all direct monetary payments and other payments made in the form of gifts / rewards, trips, event sponsorships etc. by AMC/Mutual Fund to distributors. Further, a mention may be made in such CAS indicating that the commission disclosed is gross commission and does not exclude costs incurred by distributors such as Goods & Services Tax (wherever applicable, as per existing rates), operating expenses, etc.
- The scheme's average Total Expense Ratio (in percentage terms) along with the break up between Investment and Advisory fees, Commission paid to the distributor and Other expenses for the period for each scheme's applicable plan (regular or direct or both) where the concerned investor has actually invested in.

Such half-yearly CAS shall be issued to all Mutual Fund investors, excluding those investors who do not have any holdings in Mutual Fund schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

#### For Demat Account Holders

Unit holder who has opted to hold units in electronic (demat) mode will receive an allotment confirmation specifying the number of units allotted by way of e-mail and/ or SMS to the applicant's registered e-mail address and/or mobile number within five business days from the date of closure of the NFO Period.

Further, such Unit holder will receive holding/transaction statements directly from his depository participant at such a frequency as may be defined in the Depositories Act, 1996 or regulations made there under or on specific request.

The Units allotted will be credited to the DP account of the Unit holder as per the details provided in the application form.

When an investor has communicated his/her e-mail address, the Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The investor shall from time to time intimate the Mutual Fund / its Registrar and Transfer Agents about any changes in the email address.

All Units will rank pari passu, among Units within the same option in the Scheme concerned as to assets, earnings and the receipt of dividend distributions, if any, as may be declared by the Trustee

**Half Yearly Disclosures:** The Mutual Fund/AMC shall disclose portfolio (along with ISIN) of the Scheme as on the last day of the month / half year on website of Mutual Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)) and on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)) within 10 days from the close of each month/ half-year respectively in a user-friendly and downloadable spreadsheet format.

In case of Unitholders whose e-mail addresses are registered, the Mutual Fund / AMC shall send via e-mail both the monthly and half-yearly statement of Scheme portfolio within 10 days from the close of each month / half-year respectively.

Further, the Mutual Fund / AMC shall publish an advertisement in the all India edition of at least two daily newspapers, one each in English and Hindi, every half-year disclosing the hosting of the half-yearly statement of the Scheme portfolio on the website of the Mutual Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)) and on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)).

The Unitholder may request for physical or electronic copy of the statement of Scheme portfolio by writing to the AMC at the e-mail address [mfservices@invesco.com](mailto:mfservices@invesco.com) or calling the AMC on 1800-209-0007 (Toll Free) or by submitting the request letter to any of the Investor Services Centre of Invesco Mutual Fund or of KFin Technologies Private Limited.

The Mutual Fund / AMC shall provide a physical copy of the statement of Scheme portfolio, without charging any cost, on specific request received from a unitholder.

Further, the Mutual Fund and Asset Management Company shall within one month from the close of each half year (i.e. on 31st March and on 30th September) host a soft copy of the unaudited financial results of the Scheme on the website of the Mutual Fund. Also an advertisement disclosing the hosting of the unaudited financial results of the Scheme on the website will be published, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in language of the region where the Head Office of the Mutual Fund is situated.

**Annual Financial Results:** The scheme wise annual report and abridged summary thereof shall be hosted on the website of the Mutual Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)) and on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)) not later than four months (or such other period as may be specified by SEBI from time to time) from the date of closure of the relevant accounting year (i.e. 31st March each year) and link for the same will be displayed prominently on the website of the Mutual Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)).

In case of Unit holders whose e-mail addresses are registered with the Mutual Fund, the AMC shall e-mail the annual report or an abridged summary to such unit holders.

The Unitholders whose e-mail addresses are not registered with the Mutual Fund will have an option to opt-in to continue receiving physical copy of the scheme wise annual report or an abridged summary thereof.

Mutual Fund / AMC shall publish an advertisement in the all India edition of at least two daily newspapers, one each in English and Hindi, every year disclosing the hosting of the scheme wise annual report on the website of the Mutual Fund ([www.invescomutualfund.com](http://www.invescomutualfund.com)) and on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)).

Physical copies of Full annual report / abridged summary thereof shall also be available for inspection at all times at the Head Office of the Mutual Fund at 2101-A, 21st Floor, Marathon Futurex, N. M. Joshi Marg, Lower Parel, Mumbai - 400013.

The Unitholder may request for physical or electronic copy of annual report or abridged summary thereof by writing to the AMC at the e-mail address [mfservices@invesco.com](mailto:mfservices@invesco.com) or calling the AMC on 1800-209-0007 (Toll Free) or by submitting the request letter to any of the Investor Services Centre of Invesco Mutual Fund or of KFin Technologies Private Limited. The physical copy of annual report and abridged summary of annual report will be provided without charging any cost.

#### Disclosures as per SEBI circular dated March 18, 2016 are as follows:

##### Scheme's Portfolio Holding

- Top 10 holdings by issuer
- Fund allocation towards various sectors

The Scheme is a new scheme and does not have portfolio holding details.

- After the scheme is launched website link to obtain scheme's latest monthly portfolio holding will be: <https://www.invescomutualfund.com/literature-and-form?tab=Complete>
- Expense Ratio of Underlying Scheme, Invesco CoinShares Global Blockchain UCITS ETF (Share Class - Accumulation) as on September 30, 2021 is 0.65%.

The investor will bear the recurring expenses of the Scheme, in addition to the expenses of underlying scheme.

### INVESTMENT OBJECTIVE, ASSET ALLOCATION PATTERN AND INVESTMENT STRATEGY OF EXISTING FUND OF FUNDS SCHEME OF INVESCO MUTUAL FUND:

Scheme Name	Type	Investment Objective	Asset Allocation Pattern					AUM (in Crs.) as on 31/10/21	Live Folios as on 31/10/21
			Instruments	Normal Allocations (% of Net Assets)		Risk Profile	Notes		
				Minimum	Maximum	High/Medium/Low			
Invesco India Feeder - Invesco Pan European Equity Fund	An open ended fund of fund scheme investing in Invesco Pan European Equity Fund.	To generate capital appreciation by investing predominantly in units of Invesco Pan European Equity Fund, an overseas equity fund which invests primarily in equity securities of European companies with an emphasis on larger companies.	Shares of Invesco Pan European Equity Fund or other similar Overseas Mutual Funds <sup>1,2</sup>	95	100	High	<sup>1</sup> Overseas Mutual Funds having similar objectives, strategy and attributes. <sup>2</sup> Investors are requested to note that shares of Invesco Pan European Equity Fund or other similar Overseas Mutual Funds should be considered similar to units of the Fund.	35.55	1,408
			Debt and money market securities <sup>3</sup> (including government and corporate / Units of debt and liquid schemes of Invesco Mutual Fund	0	5	Low to Medium	<sup>3</sup> The scheme does not intend to invest in Securitized Debt and in unrated debt instruments. The Scheme will not invest in derivatives. However, the Underlying Fund may invest in derivatives for effective portfolio management and hedging purposes. The Scheme will not engage in securities lending and short selling of securities. The Scheme shall make investments in foreign securities as per clause 2(x) of SEBI Circular no. SEBI/IMD/CIR No.7/104753/07 dated September 26, 2007.		
Invesco India Feeder - Invesco Global Equity Income Fund	An open ended fund of fund scheme investing in Invesco Global Equity Income Fund	To provide capital appreciation and/or income by investing predominantly in units of Invesco Global Equity Income Fund, an overseas equity fund which invests primarily in equities of companies worldwide.	Shares of Invesco Global Equity Income Fund or other similar Overseas Mutual Funds <sup>1,2</sup>	95	100	High	<sup>1</sup> Overseas Mutual Funds having similar objectives, strategy and attributes. <sup>2</sup> Investors are requested to note that shares of Invesco Global Equity Income Fund or other similar Overseas Mutual Funds should be considered similar to units of the Fund.	11.14	1,085
			Debt and money market securities <sup>3</sup> (including government and corporate debt/ Units of debt and liquid schemes of Invesco Mutual Fund	0	5	Low to Medium	<sup>3</sup> The scheme does not intend to invest in Securitized Debt and in unrated debt instruments. The Scheme will not invest in derivatives. However, the Underlying Fund may invest in derivatives for effective portfolio management and hedging purposes. The Scheme will not engage in securities lending and short selling of securities. The Scheme shall make investments in foreign securities as per clause 2(x) of SEBI Circular no. SEBI/IMD/CIR No. 7/104753/07 dated September 26, 2007.		
Invesco India Gold Fund	An open ended fund of fund scheme investing in Invesco India Gold Exchange Traded Fund	To provide returns that closely corresponds to returns provided by Invesco India Gold Exchange Traded Fund.	Units of Invesco India Gold ETF	95	100	Medium	<sup>1</sup> For the purpose of managing liquidity	48.79	7,852
			Money Market Instruments <sup>1</sup>	0	5	Low to Medium			
Invesco India - Invesco Global Consumer Trends Fund of Fund	(An open ended fund of fund scheme investing in Invesco Global Consumer Trends Fund)	To provide long-term capital appreciation by investing predominantly in units of Invesco Global Consumer Trends Fund, an overseas fund which invests in an international portfolio of companies predominantly engaged in the design, production or distribution of products and services related to the discretionary consumer needs of individuals.	Shares of Invesco Global Consumer Trends Fund <sup>1</sup>	95	100	High	<sup>1</sup> Investors are requested to note that shares of Invesco Global Consumer Trends should be considered similar to units of the Fund.	753.70	25,140
			Debt and money market securities / Units of debt and liquid schemes of Invesco Mutual Fund	0	5	Low to Medium			

### Scheme Ready Reckoner

Scheme Name	Investment Options	Minimum Application Amount (in Rs.)	Additional Purchase / Redemption Amount (in Rs.)	Default Options / Facility
Invesco India Feeder - Invesco Pan European Equity Fund	Gr, DP, DR	Rs. 1,000/-	Rs. 1,000/-	Gr / DR
Invesco India Feeder - Invesco Global Equity Income Fund	Gr, DP, DR	Rs. 1,000/-	Rs. 1,000/-	Gr / DR
Invesco India Gold Fund	Gr, DP, DR	Rs. 1,000/-	Rs. 1,000/-	Gr / DR
Invesco India - Invesco Global Consumer Trends Fund of Fund	Gr, DP, DR	Rs. 1,000/-	Rs. 1,000/-	Gr / DR

Each scheme mentioned above also offers Direct Plan. Direct Plan is only for investors who purchase /subscribe Units directly with the Fund (i.e. application not routed through Distributor).

Gr : Growth, DP : IDCW Payout, DR : IDCW Reinvestment



## 1. General Instructions

- Please read the Statement of Additional Information, Key Information Memorandum and the Scheme Information Document(s) containing the terms of offer carefully before investing. In the Scheme Information Document, your attention is particularly drawn to the risk factors of investing in the scheme and also the sections "Who cannot invest" and "Important note on anti-money laundering & KYC, FATCA / CRS".
- All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
- Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.
- Please strike out any section that is not applicable. Cancellation / white-ink on any of the mandatory information should be countersigned.
- Applications can be submitted at any of the Official Points of Acceptance of the AMC / Registrar / MFU. Applications can also be made through the electronic platform, for details please refer point 1(vi).
- In addition to subscribing Units through submission of application in physical, investor/unit holder can also subscribe to the Units of the Schemes through our website [www.invescomutualfund.com](http://www.invescomutualfund.com), through mutual fund trading platforms of stock exchanges viz. BSE STAR MF of BSE, MF Utility, MFSS facility, ICEX and/ or NMF-II platform of NSE. Investors can also subscribe through website of KFin Technologies Pvt. Ltd. or mobile application i.e. 'KFinKart'. The facility to subscribe through electronic platforms will be subject to operating guidelines and terms and conditions as may be prescribed from time to time.
- Investments through distributors : Pursuant to SEBI circular vide reference no. CIR/IMD/ DF/21/2012 dated September 13, 2012, it is mandatory to mention Employee Unique Identification Number ('EUID') of individual ARN holder or of employee/relationship manager/sales person of the Distributor in the application form if the investments are routed through a Distributor. EUID is allotted by AMFI and mentioning EUID would assist in addressing instances of mis-selling. If there is no interaction by the employees / sales person / relationship manager of the distributor / sub-distributor w.r.t. transaction, then the EUID box may be left blank, but it would be mandatory for the investor to provide confirmation as mentioned in the application form.
- Investors must ensure that the email address and mobile number provided is of the primary holder and not of any third party. For more details, please refer instructions on "Email Communication".
- Plan of the scheme should be clearly stated. In case applications are received where Plans for investment is not selected, the default Plan as prescribed in the SID of the Scheme will be applicable.

## 2. Application through ASBA Facility

ASBA is an application containing an authorization given by the investor to block the application money in his specified bank account towards the subscription of units offered during NFO of scheme(s) of Invesco Mutual Fund.

For an investor who applies through ASBA facility, the application money towards the subscription of units will be debited from his specified bank account only if his/her application is selected for allotment of units.

Investor can submit the ASBA Application Form with SCSB. SCSB are banks which offers the facility of applying through ASBA process. The list of SCSBs with details of controlling branches is available on <http://www.sebi.gov.in>, <http://www.nseindia.com> and <http://www.bseindia.com>.

The Applicant intending to invest in the scheme through ASBA Application Form must have beneficiary account with the Depository Participant of NSDL/ CDSL and will be required to mention the same in application form. Only those applications where the details are matching with the Depository records will be treated as valid applications and allotted units accordingly. If the details mentioned in ASBA Application Form is incorrect / incomplete or are not matching with the Depository records the application will be rejected. The IPV performed by the Depository Participant of the applicant will be considered as a valid IPV.

## 3. Applicant Information

- Name should be given in full without any abbreviations. Preferably write exactly as it appears in your bank account or as it appears in the incorporation document as the case may be. In case, units are applied for electronic mode (Demat mode), please write the name exactly in the same order as it appears in depository records.
- In case investment is 'On behalf of Minor':
  - Name of the Guardian must be mentioned if the investments are being made on behalf of the minor. Payment for investment shall be accepted from the bank account of the minor or from a joint account of the minor with the guardian only. For existing folios, if the bank account registered in the folio is not in favour of minor or not a joint account of minor with the guardian, then the unit holder will be required to submit the change of bank mandate where minor is bank account holder (either single or joint with guardian) or else the transactions are liable to be rejected. Guardian in the folio on behalf of minor should either be a natural guardian (i.e. father or mother) or a Court appointed legal guardian. A document evidencing the relationship should be submitted if the same is not available as part of the documents stated in point (c) below, transaction is liable to be rejected.
  - The minor shall be the first and sole holder. There shall not be any joint holder where minor is the first holder.
  - Date of birth of minor along with the photocopy of following supporting documents shall be mandatory while investing on behalf of minor:
    - Birth certificate of the minor; or

- School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc.; or
  - Passport of the minor; or
  - Any other suitable proof evidencing the date of birth of the minor.
- Name of the Contact Person, e-mail and Telephone no. should be mentioned in case of investments by HUF, Company, Body Corporate, Trust, Society, FPI and other non-individual applicants.
  - Complete postal address should be stated. P.O. Box address alone is not sufficient. NRIs/ FPIs should necessarily state their overseas address failing which application will be rejected. In addition, Indian address (if available) may be stated for correspondence. It should be noted that NRI residents in any Financial Action Task Force non-compliant countries or territories, US Persons & Residents of Canada cannot invest in the Schemes.
  - In case of NRI/FPI investors, the Account Statements / Allotment Advice/ Redemption cheques / other correspondence will be sent to their correspondence address as per KYC records.
  - Please fill in your date of birth as this may be required for validating your identity for certain transactions / communications.
  - If you have an email ID, please provide the same, this will help us send investment / product related communication and resolve any queries more promptly.
  - In case of applications for units in non demat mode, made in joint names without indicating the mode of holding, mode of holding will be deemed as 'Any One or Survivor' and processed accordingly. All communication and payments shall be made in the name of and favouring the first applicant (in case of joint holders).

## 4. PAN (Permanent Account Number)

It is mandatory for all existing and prospective investors irrespective of the amount of purchase<sup>1</sup> (including joint holders, guardians of minors and NRIs) to mention the PAN on the application form. In case of minor, the PAN of his / her guardian should be quoted. In the absence of this, application will be rejected. Further, following investors are exempted from the mandatory requirement of PAN for transacting in securities market:

- Investors who want to make Micro Investment with an aggregate of the lump sum investment up to Rs. 50,000/- in a rolling 12 months period or a financial year i.e. April to March<sup>2</sup> per investor, per year, per mutual fund (Micro Investments);
- Applicants resident in the state of Sikkim, Central Government, State Government, officials appointed by the courts e.g. official liquidator, court receiver etc. (under the category of Government)

The exemption will be applicable only to Micro Investment made by individuals (including NRIs, Minors acting through Guardian and sole Proprietary Firms). However PIOs, HUF and other categories of investors will not be eligible for this exemption. This would be subject to collecting necessary documentary evidence by the AMC/ Fund to verify the veracity of the claim. However, such investors are mandatorily required to complete the KYC documentation as prescribed by KRA and to obtain PAN Exempted KYC Reference Number (PEKRN). These investors should mention the PEKRN in place of PAN.

<sup>1</sup>includes fresh / additional purchase, switch, systematic investment plan, systematic transfer plan and Reinvestment of Income Distribution cum capital withdrawal option (earlier known as IDCW Reinvestment). <sup>2</sup>Such investments will be aggregated at the investor level irrespective of the number of folios under which the investor has invested. Since, vide SEBI circular dated January 4, 2013, the requirement of verification of PAN with original copy is dispensed with, investors are not required to provide original PAN card for verification.

Please note that Submission of Permanent Account Number (PAN) is mandatory for all the financial transactions including redemption / repurchase transactions in respect of non-PAN-exempt folios for all the unit holders in the folio.

As per Section 139AA of the Income Tax Act, 1961, every person who has been allotted a Permanent Account Number (PAN), and who is eligible to obtain Aadhaar number, shall be required to link the Aadhaar with PAN.

Please note that, if Aadhaar is not linked with PAN, the PAN of such person may be deemed inoperative, and other provisions of the IT Act shall apply, such as higher TDS deduction or restriction on transactions where PAN is mandatory.

## 5. Bank Account Details

As per SEBI Guidelines, it is mandatory for the Sole / First Applicant to provide the bank account details in the application form. Applications received without the bank details will be deemed to be incomplete and liable for rejection. The Mutual Fund reserves the right to hold the redemption proceeds in case the requisite details are not submitted.

To enable verification of the bank mandate details and ensure expeditious clearing, the 9-digit MICR (Magnetic Ink Character Recognition) number appearing to the right of the cheque number on the bottom white strip of the cheque leaf should be provided.

IFSC is a 11 digit alpha numeric character given by some of the banks on the cheques.

IFSC will help to secure transfer of redemption and IDCW payouts via the various electronic modes of transfers that are available with the banks.

Investors opting to hold units in Demat mode should furnish Bank Account details linked with their Demat Account. In case the bank details provided in the application form don't match with the bank details in the Depository records, bank details as available in the Depository records shall be deemed final.

If the cheques submitted along with the application form for subscription under a new folio is drawn on bank account (pay-in Bank Account) which is different from pay-out bank account details (i.e. bank account for receipt of redemption / IDCW proceeds) as mentioned in the application form, then it would be mandatory for the investor to submit below mentioned documentary proof:

- Cancelled Original cheque leaves of the pay-out bank account having the First Holder Name and bank account number printed on the cheque; or
- Self- attested Bank statements/pass books with current entries not older than 3 months reflecting the First Holder Name, Bank Account Number and Bank Name as specified in the application;

- Confirmation on the bank's letter head attested by the bank manager with his name, designation and employee number confirming the investor details and bank mandate information.

Originals of above documents should be produced for verification at the Investor Service Centre or Official Points of Acceptance of Transactions of the Fund and the original will be returned across the counter after verification. In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his name, designation and employee number.

Investors are requested to note that applications for new folio creation submitted (wherein the pay-in bank details are different from pay-out bank details) without any of the above mentioned documents are liable to be rejected.

In case of change in bank mandate, change will be effected for the folio and not for individual scheme.

For multiple bank account registration, please refer to Statement of Additional Information.

## 6. Systematic Investment Plan (SIP)

SIP facility is offered to investors under this scheme. Investors have choice of three payment options

- Online / Internet
- National Automated Clearing House (NACH)
- Direct Debit Instructions

Please refer to the Terms & Conditions and Instructions on the reverse of the SIP Registration cum Mandate Form.

## 7. Mode of Payment

Payment can be made in any of the following modes:

- Payment should be made by way of separate cheque/demand draft\* and must be payable locally at the Official Point(s) of Acceptance where the application is lodged. The cheque/demand draft should be drawn favouring the Scheme e.g. Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund, as the case may be, and crossed "Account Payee Only". Please mention the folio no./application no. and applicant name on the reverse of the Cheque/Draft tendered with the application. In order to avoid fraud and prevent misuse of payment instruments, investors are advised to draw payment instrument (i.e. cheque, demand draft, pay order etc.) favouring either "Name of the Scheme - A/c First Investor name" or "Name of the Scheme - Permanent Account Number of the First investor" or "Name of the Scheme - Folio number".

In case the Scheme name on the application form and on the payment instrument are different, the request will be processed and units will be allotted in the Scheme which is mentioned in the application form duly signed by investor(s).

The units will be allotted for amount net of DD charges, at NAV based prices. The fund/ the AMC will not entertain any request for refund of Demand Draft charges where Official Points of Acceptance of Invesco Mutual Fund are located.

Payment can also be made through Electronic Funds Transfer (EFT) by way of direct credit / RTGS / NEFT to Scheme Collection Account by clearly mentioning the name of the investor and application number. Investors can make investments in SIP also through NACH facility.

\*Investors residing in Centres, where designated Investor Service Centres of the AMC are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. Demand Draft charges will be borne by the AMC to the extent of SBI DD charges. The AMC will not reimburse demand draft charges where demand draft amount exceeds Rs. 50,000/-. Applications accompanied by cheques / drafts not fulfilling the above criteria are liable to be rejected.

- Money Order/Postal Order/Post dated Cheques (except in case of investments through Systematic Investment Plan) and Stock Invest will not be accepted.
- The Fund is not obliged to represent dishonoured cheque or inform the investor/investor's agent about it.
- NRI / FPI / PIO Investors:

### Repatriation Basis

Payments by NRIs/Persons of Indian Origin (PIO) residing abroad/FPI may be made either by way of Indian Rupee drafts or cheques by means of (i) inward remittance through normal banking channels; or (ii) out of funds held in NRE/ FCNR account payable at par and payable at the cities where the Official Points of Acceptance are located.

In case of Indian Rupee drafts purchased abroad or subscription through NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit/ Foreign Inward Remittance Certificate (FIRC) should also be enclosed.

In case the debit certificate / FIRC is not provided, the AMC reserves the right to reject the application of the NRI investors.

### Non Repatriation Basis

NRIs or person of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques / demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Official Points of Acceptance are located.

- Restrictions on acceptance of Third Party Payment for subscription to units of scheme.

Application with Third Party Payments for subscriptions to Scheme will not be accepted except in following cases:

- Payment by employer on behalf of employee(s) under Systematic Investment Plans (SIP) or lumpsum / one-time subscription, through Payroll deductions or deductions out of expenses reimbursements;
- Custodian on behalf of FPI or a client.
- Payment by the AMC to a Distributor empanelled with it on account of commission/ incentive etc. in the form of the units of schemes of Invesco

Mutual Fund through Systematic Investment Plans or lump sum / one-time subscription.

The application for third party payments based on above cases is at the sole discretion of the AMC and is subject to change without any prior intimation.

- Payment by Corporates to its Agents/Distributor/ Dealer (similar arrangement with Principal-agent relationship) on account of commission or incentive payable for sale of its goods/services in the form of units of schemes of Invesco Mutual Fund through Systematic Investment Plans or lump sum / one-time subscription.

'Third-Party Payment' means a payment made through instruments issued from a bank account other than that of bank account of first named applicant/ investor or a joint bank account where the first named unit holder/investor is not one of the joint holders of bank account from which payment is made.

In each of the exceptions mentioned above, investors are required to attach following mandatory documents without which application for subscription to units will be rejected:

- KYC of all the investors (guardian in case of minor) and KYC of person making the payment i.e. parent, related person, employee, custodian.
- Third Party Declaration from the investors and the person making the payment (Third Party) (available on our website [www.invescomutualfund.com](http://www.invescomutualfund.com))

It is mandatory for investor to mention details of bank account from where payment for subscription is made in referred section of application form. Further the name of first unit holder/ applicant should be pre-printed as one of the holders on payment cheque. In case, name of first unit holder/ applicant is not pre-printed on cheque, the investor should submit copy of bank statement or pass book showing account number, account holders' name and address or a original letter from the bank certifying that the investor maintains a bank account with the bank and mentioning the details like bank account number, branch address, account type and IFSC code, if any, of the branch. Originals of the bank statement/ pass book should be produced for verification and the same will be returned across the counter after verification.

In case payment is made through pay order, demand draft, banker's cheque etc., an investor should submit a certificate (in original) from issuing bank as a proof of debit of investor's bank account with details of account holders' name, bank account number which has been debited for issue of payment instrument and PAN as per bank records, if available or a copy of the acknowledgement from the bank, wherein the instructions to debit carrying the bank account details and name of the investor as an account holder are available or a copy of the passbook/ bank statement evidencing the debit for issuance of a DD.

In case of RTGS, NEFT, bank transfer etc., the investor should submit an acknowledged copy of the instruction to bank stating the account number to be debited.

Demand draft, pay order, banker's cheque purchased against cash of Rs. 50,000/- or more will not be accepted. Such purchase application (for amount less than Rs. 50,000) should be accompanied with a banker's certificate stating the investor's Name, bank account number and PAN as per bank record, if available, is a must.

The bank account number mentioned in the certificate/instructions must be the bank account which is registered with the Fund or the first named applicant/investor should be one of the holders of the said bank account.

Please refer to Statement of Additional Information for a facility of multiple Bank Accounts registration in a folio.

Certificate from Bank should be signed by the authorised person of the issuing bank mentioning his name, employee code, contact details and bank seal. As per RBI circular "Introduction of Legal Entity Identifier for Large Value Transactions in Centralized Payment Systems", from April 1, 2021, it will be mandatory to include remitter and beneficiary LEI information while initiating RTGS and NEFT for all purchases (inward remittance), redemption / IDCW / brokerage payouts (outward remittance), where transaction value is of INR 50 crore and above.

## 8. E-mail Communication

If the investor has provided email id / mobile number, then Account statement / Allotment advice/ Transaction Confirmation, other statutory as well as general information and any relevant / important information pertaining to the investor's investment with the Fund will be sent only through email / SMS instead of physical. If an investor needs a hard copy, then a request should be sent by email to [mfservices@invesco.com](mailto:mfservices@invesco.com).

The Fund / Registrar are not responsible for email not reaching the investor and for all consequences thereof. It is the responsibility of the investor to intimate the Fund / Registrar about any changes in the registered email address, as it will enable the AMC to send important communication regarding your investment(s). In case of a large document, a suitable link would be provided and investor can download, save and print documents. However, investor always has a right to demand for a physical copy of any or all of the service deliverables and the Fund would arrange to send the same to the investor.

Investors must ensure that the email address and mobile number provided is of the primary / joint unit-holder(s) / Family member (spouse, dependent children or dependent parents) and not of any third party. Please ensure to specify the option as self / family member.

If the AMC / RTA finds that the email address / mobile number provided is not of the actual investor or seems to be incorrect, the AMC / RTA may choose not to capture / update the email address / mobile number. The AMC also reserves the right to capture / update the email address / mobile number from the KYC/KRA portal.

We recommend that investor First / Sole Holder in the folio must provide their own email address and mobile number for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions.

## 9. Direct Credit Of Redemption/IDCW Proceeds

If the investor has provided sufficient details for electronic credit, the Fund will give direct credit for redemption/IDCW proceeds into the investor's bank account and such instruction will be adequate discharge of the Fund towards the said payment. In case the credit is not effected by the Unitholder's banker for any reason, the Fund reserves the right to make the payment by a cheque/ Demand Draft. If the direct/ electronic credit

is delayed or not affected or credited to a wrong account on account of incomplete / incorrect information, the Fund will not be held responsible. If however, you wish to receive a cheque payout, please tick in the space provided in the application.

#### 10. Switch

1. All valid Switch request will be treated as switch-out / redemption from the Underlying (Source) Scheme.
2. Switch request will not be processed, if units are in demat mode, in the Underlying (Source) Scheme.
3. Switch requests will be processed on the date of receipt of application in accordance with the time stamping guidelines and redemption proceeds will be invested in the Scheme at Rs.10/- per unit.
4. Unit holders are required to maintain clear balance in accordance with amount specified in the Application Form on the execution date. In case of insufficient balance in the folio, the Switch request will be rejected.
5. Unit holders should note that, unitholder details in Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund will be as per the existing folio number of the Underlying Scheme. Units will be allotted under the same folio number.
6. The minimum switch-in amount will be Rs. 1,000/- per application and in multiples of Re. 0.01/- thereafter.
7. Switch request, received during NFO period are liable for rejection, if the switch-out is from Invesco India Feeder - Invesco Global Equity Income Fund, Invesco India Feeder - Invesco Pan European Equity Fund and Invesco India - Invesco Global Consumer Trends Fund of Fund.

#### 11. Nomination Details

Applicants applying for units singly / jointly should make a nomination at the time of initial investment or during subsequent investments / during the tenure of the investment. Please fill in the nomination details in the Application Form. Nomination form is also available on our website or at any AMC Investor Service Centres.

- i. Nomination will be mandatory for new folios opened by individual especially with sole holding. Even those investors who do not wish to nominate must sign separately confirming their non-intention to nominate. However, the nomination facility is not available in a folio held on behalf of a minor. The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership, Karta of Hindu Undivided Family (HUF), holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will have to sign the nomination form, even if the mode of holding is not "joint".
  - ii. A minor can be nominated and in that event, the name & address of the guardian of the minor nominee shall be provided by Unitholder.
  - iii. Nomination can also be made in favor of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
  - iv. The nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF, or a power of Attorney holder. A non- resident Indian can be a nominee subject to the exchange controls in force from time to time.
  - v. A maximum of three nominees can be registered for a folio. Nomination shall be maintained at the folio level. The AMC reserves the right to offer nomination facility at a scheme level in a folio.
  - vi. In case of Multiple nominees, it is mandatory to indicate clearly the percentage of allocation / share in favor of each of the nominees against their name and such allocation / share should be in whole numbers without any decimals making a total of 100 percent.
  - vii. In the event of the Unitholders not indicating the percentage of allocation / share for each of the nominees, then by invoking default option the AMC shall settle the claim equally amongst all the nominees.
  - viii. Nomination in respect of the units stands rescinded upon the transfer / transmission of units.
  - ix. Transmission of units will be done as per the Nomination/s registered subject to verification of Identity of Nominee/s, PAN, Bank Mandate etc.
  - x. Transfer of units in favor of the Nominee / Nominees shall be a valid discharge by the Asset Management Company against the legal heir.
  - xi. The cancellation of nomination/s can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination/s. On cancellation of the nomination(s), the nomination(s) shall stand rescinded and the AMC / Fund / Trustees shall not be under any obligation to transmit the units in favor of the nominee(s).
  - xii. Every new nomination for a folio will overwrite the existing nomination. These instructions are subject to SEBI Regulations / applicable laws.
- In case of units held in demat mode, the nomination details provided to the Depository Participant shall be applicable.

#### 12. Opt-In facility to receive Physical Copy of Annual Report

If the investor has provided e-mail ID, then the scheme-wise annual report or abridged summary thereof will be sent by e-mail. However, if investor wishes to receive physical copy of the scheme-wise annual report or abridged summary thereof, he must tick the Opt-in checkbox. In case the Opt-in tick box is not selected, the scheme-wise annual report of the scheme or an abridged summary thereof shall be sent by email.

#### 13. Dematerialization

The unit holders would have an option to hold the units in dematerialized form. The applicant intending to hold units in dematerialized form will be required to have a beneficiary account with a Depository Participant (DP) of the NSDL/CDSL and will be required to mention in the application form DP ID, DP Name and Beneficiary Account No. with the DP name at the time of subscribing to the units of the Scheme. Applicants must ensure that the sequence of the names as mentioned in the application form matches

with that of the beneficiary account held with the Depository Participant. Names, Address, PAN details, KYC Details etc. mentioned in the application form will be verified against the Depository data. **If the details mentioned in the application (other than ASBA applications) are incomplete / incorrect or not matching with the depository records, the application shall be treated as application for non-demat mode and accordingly units will be allotted in non-demat mode. Subscription in Demat mode is not available for subscription through Plans/Options where IDCW distribution frequency is less than 1 month.**

Note - In case of subscription of units through SIP in demat (electronic) mode, unit holder will not be able to redeem / transfer such units till units are credited to investor's Demat (Beneficiary) account.

Unit holders who have opted to hold units in dematerialized form will receive payment of redemption / IDCW proceeds into bank (i.e. beneficiary) account linked to their Demat account.

Units held in demat form are freely transferable from one demat account to another demat account.

#### 14. Prevention Of Money Laundering And Know Your Customer (KYC)

To ensure appropriate identification of the investor and with a view to monitor transactions for the prevention of money laundering as prescribed by the Prevention of Money Laundering Act, 2002, the AMC reserves the right to:

- i. Scrutinize and verify the identity of the investor, Unitholder, person making the payment on behalf of the investor and the source of the funds invested/ to be invested in Invesco Mutual Fund;
- ii. Reject any application;
- iii. Prevent further transactions by a Unitholder;
- iv. To mandatorily redeem the units held by the Unitholder at the applicable NAV prevalent 'and subject to payment of exit load, if any' at the time of such redemption; and
- v. Report cases to the director appointed by the Central Government, pertaining to a single transaction exceeding Rs.10 lacs or series of transactions integrally connected or remotely connected or related to each other which are valued below the prescribed value of Rs. 10 lacs within a month.

#### 15. Know Your Customer (KYC) Requirements

- i. KYC is mandatory for applicants for subscription in the schemes of Invesco Mutual Fund. The applicants for the purpose of KYC Compliance shall include

- their constituted Power of Attorney (PoA) holder in case of investments through a PoA;
- each of the applicants in case of investments in joint names; and
- guardian in case of investments on behalf of minor.

Pursuant to SEBI Circular dated October 5, 2011 regarding uniformity in the Know Your Customer (KYC) process in the securities market and SEBI Circular dated December 23, 2011 regarding requirement of in-person verification of investors and guidelines for KYC Registration Agency, the investors are required to submit a common KYC Application Form with specified documents and undergo In-Person verification (IPV) with effect from **January 2, 2012 ("Effective Date")**. The new KYC Application Forms are available on our website [www.invescomutualfund.com](http://www.invescomutualfund.com).

The Fund shall perform the initial KYC / due diligence of its new investors and may undertake enhanced KYC measures commensurate with the risk profile of its investors. Registrar & Transfer Agent (RTA) of Invesco Mutual Fund ("the Fund") may also undertake the KYC of the investors on behalf of the Fund. The Fund/ RTA shall upload the KYC information of the investors on the system of CERSAI (Central Registry of Securitisation Asset Reconstruction and Security Interest). For complete documentation CERSAI will send email / SMS containing KYC identification number (KIN) to investors as per their email / mobile records. However, as per SEBI circular no. CIR / MIRSD / 66 / 2016 dated July 21, 2016 read with SEBI circular no. CIR / MIRSD / 120 / 2016, dated November 10, 2016 all investors has to complete the new CKYC registration. In case KYC documents are incomplete, the investors will have to submit necessary documents as required by CERSAI.

In-Person Verification (IPV) of new investors is mandatory from the Effective Date. The AMC or its RTA or NISM/AMFI certified distributors who are KYD compliant shall undertake the IPV for the investors of the Fund. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks. IPV carried out by any other SEBI registered intermediary will also be relied upon by the Fund.

Currently existing investors who have done KYC with a SEBI registered intermediary can submit letter/ acknowledgement issued by KRA. Investors whose KYC is registered and verified in the KRA system wants to modify existing details in KRA, then such investors need to fill CKYC form. Applications without valid KYC compliance will be rejected.

- ii. Any subsequent change in address, Pin Code, Country, Nationality, Date of Birth, Proof of Identity or any details provided at the time of submission of Common KYC Form should be communicated to SEBI registered intermediary and Occupation, Income Details and PEP status should be communicated to the AMC in the prescribed manner along with requisite supporting documents as may be specified from time to time. Address details of the investor will be overwritten with the details available in the KRA /CERSAI records.
- iii. It is mandatory for all investors to provide additional KYC information (such as income details, occupation, association with politically exposed person, net worth etc.) as mentioned in the application form, failing which the application will be liable to be rejected.

Further no subscriptions (whether fresh or additional) and switches pertaining to 'KYC - on Hold' cases shall be accepted, unless the investors/ unit holders also submit relevant KYC missing/ updated information, which is appropriately updated on the KRA-KYC system.



- iv. Non Individual applicants are mandatorily required to provide details of net worth along with gross annual income. Individual applicants should provide details of net worth or gross annual income or both. While providing details of net worth, the same should be of a date which is within one year. In case applications are received where gross annual income is not selected, then Rs. 1 lac to Rs. 5 lacs income slab will be considered as the default income slab.

In case there is a significant change in KYC details, non-individual investors will have to initiate a KYC modification request.

- v. It is mandatory for existing investors/unit holders to complete the In-Person Verification (IPV) and to provide the missing KYC information, failing which the transaction for additional subscription (including switches/SIP/STP) in the existing folios will be liable to be rejected.

The KYC compliance status of the investors will be validated with the records of the KRA/ CERSAI. The AMC reserves right to reject application forms for transactions in units of the Fund not accompanied by common KYC Application Form or letter / acknowledgement issued by KRA/CERSAI.

It is mandatory to complete the KYC requirements for all unit holders, including for all joint holders and the guardian in case of folio of a minor investor. Accordingly, financial transactions (including redemptions, switches and all types of systematic plans) and non-financial requests will not be processed if the unit holders have not completed KYC requirements.

## 16. Ultimate Beneficiary Owner

Pursuant to SEBI Master Circular no. CIR/ISD/AML/3/2010 dated December 31, 2010 on anti-money laundering standards and SEBI Circular no. CIR/MIRSD/2/2013 dated January 24, 2013 on identification of Beneficial Ownership, investors (other than Individuals) are required to provide details of Ultimate Beneficial Owner(s) ("UBO(s)") and submit proof of identity (viz. PAN with photograph or any other acceptable proof of identity prescribed in common KYC form) of UBO(s).

As per these guidelines, UBO means 'Natural Person', or persons who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement. Investors are requested to refer to the 'UBO Declaration' for details of UBO.

In accordance with AMFI Best practices guidelines Circular no. 62/2015-16 dated September 18, 2015, investors are requested to note the following:

- It is mandatory for all investors / unit holders to provide beneficial ownership details failing which the transaction for additional subscription (including switches) will be liable to be rejected.

However, systematic transactions such as Systematic Investment Plan (SIP)/ Systematic Transfer Plan (STP) etc. already registered till December 31, 2015 are exempted from the above stipulations.

However, the investor or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, is exempted from the provisions of UBO.

## 17. Foreign Accounts Tax Compliance Act ("FATCA") / Common Reporting Standard ("CRS")

Invesco Asset Management (India) Private Limited (AMC) is required to collect certain information as declaration from the investors in order to comply with the requirement of Foreign Account Tax Compliance Act provisions (commonly known as FATCA) as contained in the US Hire Act 2010 and Common Reporting Standard (CRS) on Automatic Exchange of Information (AEOI). Under the FATCA regime, the AMC would be required to collect information/ certification from the investors as per the US indicia, report information on the holdings or investment returns of any investor to the US authorities and/or apply withholding tax on payments to investors who fail to provide the information and/or documents required under FATCA.

India has joined the Multilateral Competent Authority Agreement (MCAA) on AEOI for CRS. The CRS on AEOI requires the financial institutions to collect and report information to their tax authorities about account holders "resident" in other countries.

All investors will have to mandatorily provide the details and declaration pertaining to FATCA/ CRS for all new accounts opened, failing which applications are liable to be rejected. Subject to the Inter-Governmental Agreement (IGA) between Governments of India and United States of America and MCAA, the FATCA/CRS requirements are subject to change from time to time.

## 18. Purchase/Redemption Of Units Through Stock Exchange Infrastructure:

Investors can purchase and redeem units of the scheme on Mutual Fund Services System (MFSS) and / or NMF-II platform of the National Stock Exchange of India Ltd. (NSE), Indian Commodity Exchange Limited (ICEX) and on the BSE Stock Exchange Platform for Allotment and Repurchase of Mutual Funds (BSE StAR MF System) of BSE Ltd. (BSE). BSE StAR MF System also offers facility for switching of units. Please refer Scheme Information Document of the Scheme for further details.

## 19. Declaration And Signature

- All the applicants must sign the application form. Application on behalf of minor should be signed by their natural parent or legal guardian. Signatures should be in English or in any Indian language. Thumb impression should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of HUF, the Karta will sign on behalf of the HUF.
- The original Power of Attorney or a duly notarized copy of the Power of Attorney shall be required to be submitted where applications are made under a Power of Attorney.

20. All applications are subject to detailed scrutiny and verification. Applications which are not complete in all respects are liable for rejection, either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrars.

## Checklist (Please ensure the following)

- Application Form is complete in all respect. Name, address & contact details are mentioned in full and signed by all applicants.
- Bank account details stated are complete and correct. In case investment cheque is different from a registered bank account, original cancelled cheque copy of the registered bank account is attached.
- Permanent Account Number (PAN) for all applicants as applicable is mentioned.
- The cheque / demand draft should be drawn favouring the name of the scheme & crossed as "Account Payee Only", dated and duly signed.
- Application Number / Folio Number and applicant's name is mentioned on the reverse of the cheque.
- Demat A/c details are filled correctly in the form. Please provide self attested Client Master list Copy.
- Details of applicants provided matches exactly with those in the Depository.
- United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States of America and Residents of Canada as defined under the applicable laws of Canada cannot apply.

## Accompanying documents

Please submit the following documents with your application (where applicable). All documents should be original / true copies by a Director / Trustee / Company Secretary / Authorised Signatory.

Documents	Individuals/ Sole Proprietor <sup>1</sup>	Companies	Societies	Partnership Firms	Investments through PoA	Trust	NRI	FPI <sup>2</sup>	HUF	Minor
Resolution / Authorisation to invest		✓	✓	✓		✓		✓		
List of Authorised Signatories with Specimen signature(s)		✓	✓	✓	✓	✓		✓		
Memorandum & Articles of Association		✓								
Trust Deed						✓				
Bye - Laws			✓							
Partnership Deed				✓						
SEBI Registration / Designated Depository Participant Registration Certificate								✓		
Notarised Power of Attorney					✓					
Foreign Inward Remittance Certificate, in case payment is made by DD from NRE / FCNR a/c, where applicable							✓			
KYC Acknowledgement	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Demat Account Details (Client Master List Copy) <sup>3</sup>	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
FATCA / CRS Declaration	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
UBO Declaration		✓	✓	✓		✓		✓	✓	

<sup>1</sup>Self attestation is mandatory. <sup>2</sup>Copy of Designated Depository Participant registration certificate (for FPI) should be provided. <sup>3</sup>In case Units are applied in Electronic (Demat) mode.



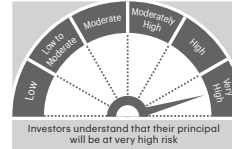
# Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund

(An open ended fund of fund scheme investing in Invesco CoinShares Global Blockchain UCITS ETF)

## Suitable for investors who are seeking\*

- capital appreciation over long term
- returns that correspond to performance of Invesco CoinShares Global Blockchain UCITS ETF, subject to currency movements and tracking error

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them



Application Form for Lumpsum/SIP/Folio Creation

Application No :

NFO Opens: November 24, 2021

NFO Closes: December 8, 2021

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (Refer Instruction no. 1vii).

**Transaction Charges** (Please tick any one of the below. For details refer KIM)

- ☐ I am a first time investor in Mutual Funds /
- ☐ I am an existing investor in Mutual Funds (Default)

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

**Sign Here - Sole/First Applicant/Guardian/POA**

**Sign Here - Second Applicant**

**Sign Here - Third Applicant**

## Key Partner/Agent Information

Mutual Fund Distributor ARN <small>ARN -</small>	Sub-Broker ARN Code <small>ARN -</small>	Internal Sub-Broker/Employee Code
Employee Unique Identification No. (EUIN) (Of Individual ARN holder or of employee/ Relationship Manager/Sales Person of the Distributor)		Registered Investment Advisor (RIA) Code / Portfolio Manager's Registration Number (PMRN)

**Existing Unitholder:** Please fill in Folio Number below and then proceed to section 2

Folio Number																				
Name of Sole / First Unitholder																				

## New Unitholder

**Mode of Holding** (Only for non-demat mode) ☐ Single ☐ Joint ☐ Anyone or Survivor (Default)

### 1. Applicant Details

<b>First/Sole</b>	Mr. / Ms. / M/s. <small>Name as per PAN records</small>																			
	City/Place of Birth										Country of Birth									
	PAN/PEKRN										Date of Birth		D D M M Y Y Y Y							
	KIN										Enclosed KYC Proof		<input type="checkbox"/>							
<b>Gross Annual Income</b>	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs (Default) <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore																			
	Net-worth <small>in Rs.</small>										As on (date within last 1 year) (Mandatory for Non-Individuals)									
	D D M M Y Y Y Y										D D M M Y Y Y Y									
	<input type="checkbox"/> Private Service <input type="checkbox"/> Pub. Sector/Govt. Serv. <input type="checkbox"/> Professional <input type="checkbox"/> Business <b>Others</b> <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Agriculturist <input type="checkbox"/> Forex Dealer (For <input type="checkbox"/> Related to PEP <input type="checkbox"/> Housewife <input type="checkbox"/> Others <small>(Please specify)</small> individuals <input type="checkbox"/> Not Applicable (Default)																			
<b>Occupation Details</b>																				
<b>Second*</b>	Mr. / Ms. / M/s. <small>Name as per PAN records</small>																			
	City/Place of Birth										Country of Birth									
	PAN/PEKRN										Date of Birth		D D M M Y Y Y Y							
	KIN										Enclosed KYC Proof		<input type="checkbox"/>							
<b>Gross Annual Income</b>	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs (Default) <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore																			
	Net-worth <small>in Rs.</small>										As on (date within last 1 year) (Mandatory for Non-Individuals)									
	D D M M Y Y Y Y										D D M M Y Y Y Y									
	<input type="checkbox"/> Private Service <input type="checkbox"/> Pub. Sector/Govt. Serv. <input type="checkbox"/> Professional <input type="checkbox"/> Business <b>Others</b> <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Agriculturist <input type="checkbox"/> Forex Dealer (For <input type="checkbox"/> Related to PEP <input type="checkbox"/> Housewife <input type="checkbox"/> Others <small>(Please specify)</small> individuals <input type="checkbox"/> Not Applicable (Default)																			
<b>Occupation Details</b>																				
<b>Third*</b>	Mr. / Ms. / M/s. <small>Name as per PAN records</small>																			
	City/Place of Birth										Country of Birth									
	PAN/PEKRN										Date of Birth		D D M M Y Y Y Y							
	KIN										Enclosed KYC Proof		<input type="checkbox"/>							
<b>Gross Annual Income</b>	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs (Default) <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore																			
	Net-worth <small>in Rs.</small>										As on (date within last 1 year) (Mandatory for Non-Individuals)									
	D D M M Y Y Y Y										D D M M Y Y Y Y									
	<input type="checkbox"/> Private Service <input type="checkbox"/> Pub. Sector/Govt. Serv. <input type="checkbox"/> Professional <input type="checkbox"/> Business <b>Others</b> <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Agriculturist <input type="checkbox"/> Forex Dealer (For <input type="checkbox"/> Related to PEP <input type="checkbox"/> Housewife <input type="checkbox"/> Others <small>(Please specify)</small> individuals <input type="checkbox"/> Not Applicable (Default)																			
<b>Occupation Details</b>																				
<b>Others</b> (For Non-individuals)	Is the entity involved in any of the following services																			
	(i) Foreign Exchange/Money Changer Services																			
	(ii) Gaming/Gambling/Lottery/Casino Services/Betting Syndicates																			
	(iii) Money Lending/Pawning																			
<input type="checkbox"/> Yes <input type="checkbox"/> No (Default) <input type="checkbox"/> Yes <input type="checkbox"/> No (Default) <input type="checkbox"/> Yes <input type="checkbox"/> No (Default)																				

## Instructions

\*No joint holder where minor is first holder with PAN/PEKRN (Refer Instruction no. 4). Date of birth is mandatory in case of Minor, additionally refer Instruction no. 3, KYC & Networth (Refer Instruction no. 15).

#### Instructions

\*In case of Guardian, Investor needs to update their gross annual income, Occupation and other details as provided in first/sole holder. Contact Person-In case of non-individual investors only. #If the investment is being made by a Constituted Attorney, please furnish the details of POA holder.

<sup>1</sup>Cheque/DD should be drawn in favor of the Scheme. Investors applying under direct plan must mention "Direct" in the box provided in Point no. 2.

(Address should be as per KYC records, refer Instruction no. 15ii)

#### Status (✓)

- |  |  |
|--|--|
| <input type="checkbox"/> Individual                | <input type="checkbox"/> Minor                         |
| <input type="checkbox"/> HUF                       | <input type="checkbox"/> NRI Repatriable               |
| <input type="checkbox"/> LLP                       | <input type="checkbox"/> Listed Co.                    |
| <input type="checkbox"/> Society/Club              | <input type="checkbox"/> Trust                         |
| <input type="checkbox"/> AOP                       | <input type="checkbox"/> Co. U/S 25/8 of Companies Act |
| <input type="checkbox"/> Minor-NRI Repatriable     | <input type="checkbox"/> Partnership                   |
| <input type="checkbox"/> Minor-NRI Non-Repatriable | <input type="checkbox"/> Body Corporate                |
| <input type="checkbox"/> NRI Non-Repatriable       | <input type="checkbox"/> FPI                           |
| <input type="checkbox"/> Unlisted Co.              | <input type="checkbox"/> Others _____                  |

☐ In case of Non-Profit Entity

<sup>\$</sup>Applicable in case of Third Party Payment:

On behalf of ☐ Client ☐ Employee  
☐ Distributor (Refer instruction no. 7).

Please provide a cancelled cheque leaf of the same bank account as mentioned above. We will credit the redemption proceeds directly into investors' account through electronic means if the details provided by the investors are sufficient for the same.

Mentioning your IFSC will help us transfer the amount to your bank account faster. Unit holders who have opted to hold Units in dematerialized form must provide Bank Account details linked with the Demat account, as mentioned under section 4. In case of discrepancy, bank details as per depository records will be final.

- ☐ I would like to receive cheque payout  
☐ I have provided multiple bank registration form

#### Instructions

LEI declaration is mandatory for all payment transactions undertaken by entities for value >= INR 50 crore.

<sup>1</sup>Cheque/DD should be drawn in favour of the Scheme. Plan of the scheme should be clearly stated. In case applications are received where Plans/Options for investment is not selected, the default Plan/ Option as prescribed in the SID of the Scheme will be applicable.

<sup>2</sup>The details of the Bank Account linked with the Demat A/c as mentioned below should be provided under section 4. <sup>3</sup>Not applicable in case of CDSL. <sup>4</sup>9 digit No. next to your Cheque No. <sup>5</sup>11 digit character code appearing on cheque leaf.

<b>Guardian/ Contact Person*</b>	Mr. / Ms. / M/s.												
<b>Relation</b>	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Court Appointed Guardian												
<b>PAN/PEKRN</b>	<div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>								<b>Date of Birth</b>	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>			
<b>KIN</b>	<div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>								Enclosed KYC Proof <input type="checkbox"/>				
<b>POA Holder#</b>	Mr. / Ms. / M/s.												
<b>PAN</b>	<div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>								<b>Date of Birth</b>	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>			
<b>KIN</b>	<div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>								Enclosed KYC Proof <input type="checkbox"/>				

Mailing Address		
City	PIN	State
Tel. No. (R)	Tel. No. (O)	Mobile
E-mail		
This email ID belongs to (Please refer instruction 9): <input type="checkbox"/> Self* <input type="checkbox"/> Family Member *Default		
Overseas Address (Mandatory in case of NRI / FPI applicant)		
City	State/Province	
Country	PIN	

## 2. Investment and Payment Details<sup>1</sup>

<b>Scheme</b>	Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund		<b>Plan (✓)</b>	<input type="checkbox"/> Regular <input type="checkbox"/> Direct
Investment Amt. (Rs.)		DD Charges (Rs.)		
Cheque/DD No./ UMRN/UTR		Net Amt. (Rs.)		
Bank Name		Bank A/c. No.		
Mode of Payment	<input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> Funds Transfer <input type="checkbox"/> RTGS/NEFT			
Account Type	<input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> SNRR <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others _____			
Name of the person making payment <sup>\$</sup>				
PAN/PEKRN	<div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>			
KIN	<div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>			

## 3. Demat Account Details<sup>2</sup>

Optional, Refer instruction no. 13

<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL <input type="checkbox"/> DP ID <sup>3</sup>	I	N					
Beneficiary Account No.				DP Name			

## 4. Bank Account Details (Mandatory As Per SEBI Guidelines)

Refer instruction no. 5

<b>Bank A/c. No.</b>													
<b>Bank Name</b>													
<b>City</b>									<b>PIN</b>	<div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>			
<b>Account Type</b>	<input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> SNRR <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others _____												
<b>Remitter LEI No.:</b>									<b>Validity Date:</b>	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>			
<b>Beneficiary Name</b>	Invesco Mutual Fund												
<b>Beneficiary LEI No.:</b>	5493000N71F6PVXRB54								<b>Validity Date:</b>	<div> <div>1</div> <div>1</div> <div>0</div> <div>6</div> <div>2</div> <div>0</div> <div>2</div> <div>2</div> </div>			
<b>Branch Address</b>													
<b>MICR Code<sup>4</sup></b>	<div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>								<b>NEFT/RTGS/IFSC Code<sup>5</sup></b>	<div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>			

## 5. Option to receive Physical Copy of Annual Report

Refer Instruction no. 12

- ☐ I/We would like to receive physical copy of Annual Report of the Scheme or abridged summary thereof (Please ✓)

#### Instructions

<sup>1</sup>Mandatory for investors who opt to hold units in non-demat form. Nomination facility is not available in a folio held on behalf of a minor.

#### Instructions

Please consult your professional tax advisor for further guidance on your tax residency, if required.

<sup>2</sup>Address of tax residence would be taken as available in KRA & notify the changes. <sup>3</sup>To also include USA, where the individual is a citizen/green card holder of the USA.

<sup>4</sup>In case Tax Identification Number is not available, kindly provide its functional equivalent.

#### FATCA & CRS Terms & Conditions

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuing appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

#### Instructions

<sup>1</sup>For SIP through Auto-Debit (Direct Debit/NACH) please fill respective SIP registration cum mandate form.

<sup>2</sup>The details of the Bank Account linked with the Demat A/c as mentioned below should be provided under section 5.

<sup>3</sup>Not applicable in case of CDSL.

<sup>4</sup>9 digit No. next to your Cheque No.

<sup>5</sup>11 digit character code appearing on cheque leaf.

#### 6. Nomination Details<sup>1</sup>

Refer Instruction no. 11

	Nominee 1	Nominee 2	Nominee 3
Name			
Relationship			
PAN			
% Share			
<b>If nominee is a minor</b>			
Date of Birth			
Guardian's Name			
Guardian's Relation			
Address			

I do not intend to nominate (✓ the box in case you do not wish to nominate) ☐

#### 7. FATCA & CRS - Self Certification for Individuals Only (Non Individual Investors should mandatorily fill separate FATCA – CRS Annexure).

Address Type<sup>2</sup> ☐ Residential ☐ Business ☐ Registered Office

Are you a tax resident of any country other than India? ☐ Yes ☐ No

**If 'YES', please fill** for ALL countries (other than India) in which you are a Resident for tax purposes i.e., where you are a Citizen / Resident / Green Card holder / Tax Resident in the respective countries

Category	First Applicant	Second Applicant	Third Applicant
City Of Birth			
Country of Birth			
Nationality			
Country of Tax Residency <sup>3</sup>			
Tax Identification No. <sup>4</sup>			
Identification Type (TIN or others, please specify)			
If TIN is not available, please ✓ the reason A, B or C	→ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	→ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	→ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

Reason A → The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.

Reason B → No TIN required. (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected.

Reason C → Others; please state the reason thereof.

#### 8. Declaration

The Trustees, Invesco Mutual Fund

Having read and understood the contents of the Statement of Additional Information/Scheme Information Document(s) of the respective schemes, I/We hereby apply to the Trustees of Invesco Mutual Fund for units of the Scheme/Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We have understood the details of the Scheme and I/We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We do not have any existing Micro Investments which together with the current Micro Investment application will result in aggregate investments exceeding Rs. 50,000/- in a year (applicable to Micro Investment investors only). The Distributor has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby authorise Invesco Mutual Fund, its Investment Manager and its Agents to disclose details of my/our investment to my/our bank(s)/ Invesco Mutual Fund's Bank(s) and/or Distributor/Broker/Investment Advisor and to verify my/ our bank details provided by me/us.

I/We give my consent to AMC and its agents / Registrar to contact me over phone, SMS, email or any other mode to address my investment related queries and/or receive communication pertaining to transactions/noncommercial transactions/ promotions/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility. I / We declare that the email address and mobile number provided is of the primary / joint unitholder(s) / Family member (spouse, dependent children or dependent parents) and not of any third party. I/We hereby declare that

the particulars given above are correct. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Invesco Asset Management (India) Pvt. Ltd. (Investment Manager to Invesco Mutual Fund), their appointed service providers or representatives responsible. I/We will also inform Invesco Asset Management (India) Pvt. Ltd., about any changes in my/our bank account. I/We hereby declare that the amount invested by me/us in the Scheme of Invesco Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time. I/We confirm that I/We are not United States person(s) under the laws of United States or residents(s) of Canada as defined under the applicable laws of Canada.

**Applicable to PEKRN holders:** I, the first/sole holder hereby declare that I do not hold a Permanent Account Number and hold only a single 'PAN exempt PEKRN' issued by KRA and that my existing investment in schemes of Invesco Mutual Fund together with current application will not result in aggregate investments exceeding Rs. 50,000/- in a rolling 12 months period or in a financial year i.e. April to March.

**Applicable to NRIs only:** I/We confirm that I am/we are Non-Residents of Indian Nationality /Origin and that the funds are remitted from abroad through approved banking channels or from my/our NRE/NRO/FCNR/ SNRR Account. I/We confirm that the details provided by me/us are true and correct.

☐ Yes ☐ No

If NRI ☐ Repatriation basis ☐ Non-Repatriation basis

Sole/First Applicant/Guardian	Second Applicant	Third Applicant																
Date <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	Place <table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>									
D	D	M	M	Y	Y	Y	Y											

#### Acknowledgement Slip (To be filled by the Applicant)

Application No :

Received from	Mr. / Ms. / M/s.									
Towards Subscription of (Scheme Name)	Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund									
Amount ( )	Cheque/DD No.	Signature, Stamp & Date								
		Date <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y			

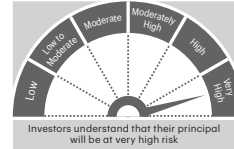
# Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund

(An open ended fund of fund scheme investing in Invesco CoinShares Global Blockchain UCITS ETF)

## Suitable for investors who are seeking\*

- capital appreciation over long term
- returns that correspond to performance of Invesco CoinShares Global Blockchain UCITS ETF, subject to currency movements and tracking error

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them



Systematic Investment Plan (SIP)  
Registration cum mandate  
form for NACH/Direct Debit  
(Applicable for NFO only)

Application No :

NFO Opens: November 24, 2021

NFO Closes: December 8, 2021

For details on transaction charges payable to distributors, please refer to KIM.

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

☐ New SIP ☐ Micro SIP

Sign Here - Sole/First Applicant/Guardian/POA

Sign Here - Second Applicant

Sign Here - Third Applicant

## Instructions

\*Investors applying under the direct plan must mention "Direct" against Scheme name.

\*Not applicable in case of CDSL. Applicable only to existing investors for fresh SIP enrolment.

## Key Partner/Agent Information

Mutual Fund Distributor ARN	ARN -	Sub-Broker ARN Code	ARN -	Internal Sub-Broker / Employee Code
Employee Unique Identification No. (EUIN)		Registered Investment Advisor (RIA) Code / Portfolio Manager's Registration Number (PMRN)		

## 1. Investment and SIP Details<sup>1</sup>

First/ Sole	(Mr./ Ms./ M/s.)																				
Application No. (New Investor)						Folio No. (Existing Unitholder)															
PAN/PEKRN							Enclosed KYC Proof	<input type="checkbox"/>													
KIN																					
Scheme	Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund										Plan (✓) <input type="checkbox"/> Regular <input type="checkbox"/> Direct										
Each SIP Amount (Rs.)																					
SIP Date <sup>2</sup>		Any Date: 1-28; Default -15 <sup>th</sup>					Frequency	<input type="checkbox"/> Monthly (Default) or <input type="checkbox"/> Quarterly (Jan, Apr, July, Oct)													
SIP Period (Monthly)	From	M	M	Y	Y	Y	Y	To	M	M	Y	Y	Y	Y	(or) <input type="checkbox"/> Till further notice						
SIP Period (Quarterly)	From	M	M	Y	Y	Y	Y	To	M	M	Y	Y	Y	Y	(or) <input type="checkbox"/> Till further notice						
Cheque/DD no./UTR						Cheque/DD Date	D	D	M	M	Y	Y	Y	Y	Amount (Rs.)						
Bank Name											Bank Branch										
SIP Top-Up (Optional)																					
Top-up Amount Rs.						Top-up Start Month	M	M	Y	Y	Y	Y	Frequency	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly (Default)	Top-up End Month	M	M	Y	Y	Y	Y

## 2. Demat Account Details (Optional)

DP ID <sup>2</sup>	I	N						Beneficiary Account No.					
DP Name													

**Declaration :** I/We have read and understood the contents of the Scheme Information Document(s) and Statement of Additional Information and the terms & conditions of SIP enrolment through Direct Debit/NACH and agree to abide by the same. I/We hereby apply to the Trustee of Invesco Mutual Fund for enrolment under the SIP of the following Scheme(s)/ Plan(s) / Option(s) and agree to abide by the terms and conditions of the same. I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in NACH/Direct Debit. I/We authorise the bank to honour the instructions as mentioned in the application form. I/We also hereby authorise bank to debit charges towards verification of this mandate, if any. I/We agree that Invesco Asset Management (India)/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the direct debit instructions of additional sum on a specified date from my account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Sign Here - Sole/First Applicant/Guardian

Sign Here - Second Applicant

Sign Here - Third Applicant

## NACH/Auto Debit Mandate (Applicable for SIP Registration)

UMRN											For Office Use only											Date	D	D	M	M	Y	Y	Y	Y		
Sponsor Bank Code																					<input checked="" type="checkbox"/> Create	<input checked="" type="checkbox"/> Modify	<input checked="" type="checkbox"/> Cancel									
Utility Code											For Office Use only											I/We hereby authorize	Invesco Mutual Fund									
To debit (✓)	<input type="checkbox"/> SB	<input type="checkbox"/> CA	<input type="checkbox"/> CC	<input type="checkbox"/> NRE	<input type="checkbox"/> NRO	<input type="checkbox"/> Others											Bank Account No.															
with Bank											Name of customers bank											IFSC / MICR										
An amount of Rupees											In Words											₹	In Figures									
Debit Type :	<input checked="" type="checkbox"/> Fixed Amount										<input checked="" type="checkbox"/> Maximum Amount										Frequency:	<input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Half Yearly <input checked="" type="checkbox"/> Yearly <input checked="" type="checkbox"/> As & when presented										
Folio No.																					PAN											

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel / amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/Corporate or the bank where I have authorised debit.

PERIOD								
From	D	D	M	M	Y	Y	Y	Y
To	D	D	M	M	Y	Y	Y	Y
Or	<input type="checkbox"/> Until Cancelled							

Mobile	
--------	--

Signature of Primary Bank Account Holder

Name as in bank records

Signature of Bank Account Holder

Name as in bank records

Signature of Bank Account Holder

Name as in bank records



## Terms & Conditions for SIP through Direct Debit/NACH and SIP Top-up

- Features of SIP:

Frequency	Monthly/Quarterly (Jan, April, July, October)		
SIP dates offered	Any date of your choice except 29, 30 and 31.		
Default Frequency/ Date	<ul style="list-style-type: none"><li>Frequency: Monthly</li><li>Date: 15th of the month</li><li>If end date is not specified, the fund will continue SIP till it receives termination notice from the investor.</li></ul>		
Minimum amount per installment	<b>NFO and Ongoing Basis</b>		
	<b>Amount</b>	<b>No. of Installments</b>	
Monthly Frequency	Rs. 500/- per month and in multiples of Re. 1 thereafter	12 (including first installment)	
	Rs. 1,000/- or more per month and in multiples of Re. 1 thereafter	6 (including first installment)	
Quarterly Frequency	Rs. 1,500/- per quarter and in multiples of Re. 1 thereafter	4 (including first installment)	
Installments	All installments should be of same amount. No upper limit for single cheque or aggregate. The first cheque and subsequent cheques should not fall in the same month/quarter. First cheque should be of current date (no post-dated cheque will be accepted).		
Load Structure	For load structure details, please refer to KIM. Load Structure prevailing at the time of submission of SIP application form (whether for fresh enrollment or extension) will be applicable for all the SIP installments specified in such application.		

- Investors should mandatorily give a cheque for the first transaction during the New Fund Offer Period and fill the SIP Application Form and the Registration cum Mandate Form for Direct Debit/ NACH. The date of first cheque should be the date of submission of application no post dated cheque will be accepted. The second installment will be processed after 30 days from the NFO closure date in case of monthly and January 2022 in case of quarterly frequency as indicated by the investor.
- Please draw cheque in the name of the Scheme or its abbreviation and cross "A/c Payee" e.g. "Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund". Also write SIP enrollment Form no. or folio number on the reverse of cheque accompanying SIP enrollment form.
- In case SIP date specified is a non-business day or falls during a book closure period, the transaction will be effected on the next business day.
- In case any cheque submitted by the investor for SIP installment or any payment instruction for SIP installment is dishonored by the Bankers for the reason of account of investor is closed, the AMC would discontinue the SIP immediately and reserves the right to redeem the outstanding units if total investment is below Rs. 5,000/-. The AMC reserves the right to discontinue the SIP enrolment in case cheque / payment instruction submitted by Unit holder is not honored by Banker on 2 (two) consecutive occasions due to reason "No such Account / Account Closed" or on 3 (three) consecutive rejections for reasons such as insufficient funds or as a result of a stop payment instructions issued by the Unit holder and reserves the right to redeem the outstanding units if total investment is below Rs. 5,000/-.
- SIP registration will be automatically terminated upon receipt of intimation of death of the Unit holder.
- SIP in a folio of minor will be registered only upto the date of minor attaining majority though the instruction may be for the period beyond that date.
- The investors can also subscribe Units through SIP in Demat (electronic) mode for the Scheme. However the Units will be allotted based on allotment NAV of the Scheme and will be credited to investor's Demat (Beneficiary) Account on weekly basis on realization of funds, e.g. Units will be credited to investor's Demat (Beneficiary) account every Monday (or next business day, if Monday is a non-business day) for realization status received in last week from Monday to Friday.
- Investors will not hold Invesco Mutual Fund / Invesco Asset Management (India) Private Limited, it's Registrar and other service providers responsible, if the transaction is delayed or not affected or the investor's bank account is debited in advance or after the specific SIP date.
- Invesco Mutual Fund / Invesco Asset Management (India) Private Limited, it's Registrar and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
- Investors can also make an SIP cancellation request online at [invescomutualfund.com](http://invescomutualfund.com).
- Investors transacting through Stock Exchanges, Mutual Fund Utility & other platforms will have to approach their distributors / platforms to discontinue their SIP in case the SIP has been registered through them. Such registration cannot be directly cancelled by giving a request to the AMC / RTA.

## Internet SIP

- ISIP facility is available only with banks and service providers with whom Invesco Mutual Fund has tie up for Auto Debit. The list of banks is available on our website [www.invescomutualfund.com](http://www.invescomutualfund.com).
- Investors should mandatorily make an online payment as the first transaction during the New Fund Offer Period at the time of registering for ISIP facility.
- The registration for ISIP facility should be submitted at least 15 calendar days prior to first ISIP installment date.
- Unique Registration Number (URN) will be allotted to the investors after registration of ISIP on website of the Fund. Investor is required to register the said URN with the same bank as opted at the time of registration of ISIP within 10 calendar days from the date of URN allotment. In case investor has multiple bank accounts associated under his/her Net Banking account, then the investor should ensure that the bank account registered under the folio is opted for registering the URN.
- The fund reserves the right to cancel the ISIP registration if URN is not registered within 10 calendar days from the date of URN allotment or in case the ISIP installment is debited from bank account other than the bank account which is registered in the investor's folio.
- Investor can discontinue the ISIP facility at any time by submitting SIP cancellation from duly signed as per mode of holding to any of nearest Investor Service Centre, at least 10 Business Days prior to the next ISIP debit date. Investors can also make an ISIP cancellation request online at [invescomutualfund.com](http://invescomutualfund.com).
- Top Up SIP facility, Modify SIP facility are not available for registration through ISIP facility.

## Terms & Conditions - SIP Top-up Facility

'Top-Up' facility will enable investors to increase the amount of SIP installments at pre-defined frequency by a fixed amount during the tenure of SIP.

The features, terms and conditions for availing the 'Top-Up' facility shall be as follows:

- Top-Up facility offers frequency at half yearly and yearly intervals. In case the Top-Up frequency is not specified, it will be considered as yearly frequency.
- Minimum Top-Up amount would be Rs.100/- and in multiples of Re. 1/-thereafter for all the schemes of the Fund that offer SIP facility except Invesco India Tax Plan wherein minimum Top-Up amount would be Rs. 500/- and in multiples of Rs. 500 thereafter.
- In case the investor does not specify Top-Up amount, Rs. 100/- will be considered as the Top-Up amount (in case of Invesco India Tax Plan, Rs. 500/- will be considered as Top-Up amount) and the request will be processed accordingly.
- Top-Up facility would be available to all new SIP enrolments. Existing investors who have enrolled for SIP with maximum amount for debit are also eligible to avail Top-Up facility and will be required to submit 'SIP cum Registration Mandate' at least 20 calendar days prior to the Top-Up start month. In case SIP installment after Top-Up exceeds maximum amount for debit, then the request for Top-Up will be processed upto the maximum amount for debit. Further, if the investor revises the maximum amount for debit, then such increase in amount will be effective from the next Top-Up cycle. However, maximum amount registered for debit mandate cannot be reduced.
- Existing investors registered for SIP through Direct Debit facility and intending to avail Top-Up facility will be required to cancel the existing SIP and enroll a fresh SIP with Top-Up details.
- It would be mandatory for investor to mention in 'SIP cum Registration Mandate' the period (month - year) upto which he wishes to avail Top-Up facility.

An Illustration : The Top-Up facility will work as follows:

Details of SIP registered	Top-up facility
<ul style="list-style-type: none"> <li>Fixed SIP Installment amount: Rs. 5,000/-</li> <li>SIP Period: 01-April-2016 till 31-March-2019 (3 years)</li> <li>SIP date: 1st of every month (36 installments)</li> </ul>	By providing/choosing the following additional details, an investor can avail Top- Up facility. Example: <ul style="list-style-type: none"> <li>Top-Up Amount: Rs. 1,000/-</li> <li>Top-Up Frequency: Every 6 months</li> </ul>

SIP Installments shall be as follows :

Installment No(s).	SIP Installment (in Rs.) (A)	Top-Up amount (in Rs.) (B)	Monthly SIP Installment amount after Top-up (in Rs.) (A+B)
1 to 6	5,000	N.A.	5,000
7 to 12	5,000	1,000	6,000
13 to 18	6,000	1,000	7,000
19 to 24	7,000	1,000	8,000
25 to 30	8,000	1,000	9,000
31 to 36	9,000	1,000	10,000

- Pause facility is now available on BSE MF Exchange Platform.
- Top-up/Modify/Pause SIP would not be available to investors registered under SIP through PDC / Channel Partner / Stock Exchange.

#### Terms & Conditions - NACH

- NACH debit facility is offered at various banks. For a detailed list of banks please refer the website [www.npci.org.in](http://www.npci.org.in)
- This facility is offered only to the investors having bank accounts with above mentioned Banks. Above list is subject to modification/updation at any time in future at the sole discretion of Invesco Asset Management (India) Private Limited, without assigning any reason or prior notice. If any bank is removed, SIP instructions of investors for such banks via NACH will be discontinued without any prior notice.
- By signing the NACH mandate form the investor agrees to abide by the terms and conditions of NACH facility through NPCI ([www.npci.org.in](http://www.npci.org.in)).
- The Registration cum Mandate Form for NACH should be submitted at least 21 Calendar days prior to next SIP cycle date through NACH.
- Unique Mandate Registration Number (UMRN) is auto generated by NPCI during the mandate creation for the first time. Investors, who do not have the UMRN, please leave it blank. UMRN would be linked to the folio and maximum length is 20 characters comprising of Alpha Numeric Character allotted by NPCI.
- Please provide the cancelled cheque leaf of the Bank A/c no. for which NACH facility is registered.
- Investors need to mandatorily fill the SIP Registration Cum Mandate form for NACH for any amendment and cancellation quoting their UMRN by giving 21 calendar days prior notice to any of the investor service centre.
- Investor can choose to discontinue the SIP facility under folio without cancelling the UMRN by giving 10 Business days prior notice to any of the Investor Service Centre.
- Please refer your cheque leaf for IFSC/MICR of customer bank. (maximum length-11 Alpha Numeric Characters).

- Maximum amount is the uppermost limit per transaction set by the investor for his registered bank account to be debited.
- Investor should mention the complete CBS (Core Banking System) Bank Account number and the Account-holder name as per the Bank records on the mandate. In case the bank account is under joint mode then the details of the joint holder should also be mentioned on the mandate.
- Investor should sign on the mandate as per their bank records.

#### Terms & Conditions - Direct Debit

Direct Debit: The Direct Debit Facility is available only with the banks with which The AMC or its service provider has tie up for Direct Debit as mentioned below:

List of banks/branches for SIP Direct Debit Facility

Banks	Branches
Axis Bank, Kotak Mahindra Bank, IndusInd Bank, Bank of India, Punjab National Bank, Allahabad Bank, Federal Bank, United Bank of India, ICICI Bank, Bank of Baroda, Union Bank of India, State Bank of India, UCO Bank, Corporation Bank, South Indian Bank	All Branches

<sup>2</sup>Please contact Invesco Mutual Fund Investor Service Centre for updated list of banks/branches eligible for Direct Debit Facility.

- You can choose to change your bank account by giving 30 calendar days written notice to any of our Investor Service Centres.
- You can choose to discontinue this facility by giving 10 business days written notice to any of our Investor Service Centres.

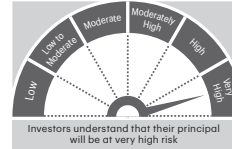
# Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund

(An open ended fund of fund scheme investing in Invesco CoinShares Global Blockchain UCITS ETF)

## Suitable for investors who are seeking\*

- capital appreciation over long term
- returns that correspond to performance of Invesco CoinShares Global Blockchain UCITS ETF, subject to currency movements and tracking error

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them



## NFO Transaction Form

(Applicable to Existing Investors only)

Application No :

NFO Opens: November 24, 2021

NFO Closes: December 8, 2021

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

**Transaction Charges** (Please tick any one of the below. For details refer KIM)

- ☐ I am a first time investor in Mutual Funds /  
☐ I am an existing investor in Mutual Funds (Default)

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

**Sign Here - Sole/First Applicant/Guardian/POA**

**Sign Here - Second Applicant**

**Sign Here - Third Applicant**

### Instructions:

1. This transaction slip is to be used for single transaction request only, do not combine purchase & switch transaction on one single transaction slip.
2. For detailed Instructions on switch, please refer to the general instructions on the KIM.

Scheme is offering only Growth option for Regular and Direct Plans

LEI declaration is mandatory for all payment transactions undertaken by entities for value >= INR 50 crore.

• **Country of Birth/Citizenship/Nationality or Tax Residency, other than India, for any applicant:**  
☐ Yes ☐ No (Mandatory to ✓)  
 If Yes, please fill FATCA/CRS declaration

• **NRI investors should mandatorily fill separate FATCA/CRS declarations**

• **Non-Individual investors should mandatorily fill separate FATCA/ CRS & UBO declarations**

## Key Partner / Agent Information

Mutual Fund Distributor ARN		Sub-Broker ARN Code	
ARN -		ARN -	
Employee Unique Identification No. (EUIN) (Of Individual ARN holder or Of employee Relationship Manager/Sales Person of the Distributor)		Registered Investment Advisor Code / Portfolio Manager's Registration Number (PMRN)	
Folio No.		PAN/PEKRN	
Name of First / Sole Applicant			
KIN		Enclosed (Please ✓) <input type="checkbox"/> KYC Compliance Proof	

## 1. Purchase (Cheque / DD should be drawn in favor of the Scheme. Investors applying under direct plan must mention "Direct" in the box provided below)

Scheme	Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund			Plan (✓) <input type="checkbox"/> Regular <input type="checkbox"/> Direct
Investment Amount (₹)		DD Charges (₹)		
Net Amount (₹)		Dated	D D M M Y Y Y Y	
Cheque /DD No.		Drawn on Bank		
Bank A/c. No.		A/c Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/> SNRR <input type="checkbox"/> Others	
Remitter LEI No.:		Validity Date:	D D M M Y Y Y Y	
Beneficiary Name	Invesco Mutual Fund			
Beneficiary LEI No.:	5493000N71F6PVXRB54			
Validity Date:	1 1 0 6 2 0 2 2			
Applicable in case of Third Party Payment				
Name of the Person making Payment	Enclosed (Please ✓) <input type="checkbox"/> KYC Compliance Proof			
	PAN/PEKRN			
KIN	Enclosed (Please ✓) <input type="checkbox"/> KYC Compliance Proof			

## 2. Switch Request

From: Scheme	Invesco India	Plan
	Option	IDCW Frequency
To: Scheme	Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund	Plan
Amount ( )	OR No. of Units	OR All Units <input type="checkbox"/> (Please ✓)

## 3. Demat Account Detail (Optional) (Please ✓) ☐ NSDL ☐ CDSL

DP ID <sup>1</sup>	Beneficiary Account No.
I N	
(¹ Not applicable in case of CDSL).	
DP Name	

The investor shall receive payments of IDCW / Redemption proceeds in the Bank A/c linked to the Demat A/c as mentioned above.

## Declaration & Signature(s)

Having read and understood the contents of the Statement of Additional Information/Scheme Information Document(s) and Key Information Memorandum(s) of the respective schemes, I/We hereby apply to the Trustees of Invesco Mutual Fund for units of the Scheme, Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We understood the detail of the Scheme and I/We have not received nor have been induced by any rebate or gifts, indirectly, in making this investment.

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To be signed by unitholders as per holding opted. Please strike off section(s) that are not filled by you, to avoid unauthorised use.

## Acknowledgement

Folio No. (Please ✓) ☐ Purchase ☐ Switch

Name of the Applicant

For Office Use  
Signature of receiving authority

# Ultimate Beneficial Owner/ FATCA & CRS Annexure Form - For Non Individual Accounts

Name of the Entity

Type of Address given at KRA<sup>1</sup> ☐ Residential ☐ Business ☐ Registered Office

Date of Incorporation 

D	D	M	M	Y	Y	Y	Y
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 PAN 

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City of Incorporation  Country of Incorporation

Entity Constitution ☐ Partnership Firm ☐ HUF ☐ Private Limited Company ☐ Public Limited Company  
☐ Society ☐ AOP/801 ☐ Trust

Type ☐ Liquidator ☐ Limited Liability Partnership ☐ Artificial Juridical Person ☐ Others \_\_\_\_\_

Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No  
(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification No. <sup>2</sup>	Identification Type (TIN or Others, please specify)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

## FATCA & CRS Declaration<sup>3</sup>

### PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

We are a ☐ Financial institution<sup>4</sup> ☐ Direct reporting NFE<sup>5</sup>

GIIN 

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 Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below.

Name of sponsoring entity

GIIN not available ☐ Applied for

If the entity is a financial institution, ☐ Not required to apply for - please specify 2 digits sub-category<sup>6</sup>

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 (Refer 1 A of Part C)  
☐ Not obtained - Non-participating FI

### PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2A of Part C)

Yes ☐ (If yes, please specify any one stock exchange on which the stock is regularly traded)

Name of stock exchange

Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2B of Part C)

Yes ☐ (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)

Name of Listed company

Nature of relation ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company

Name of stock exchange

Is the Entity an active NFE (Refer 2C of Part C)

Yes ☐ (If yes, please fill UBO declaration in the next section)

Nature of Business

Please specify the sub-category of Active NFE 

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 (Mention code - refer 2c of Part D) (Mention code - refer 2c of Part D)

Is the Entity a passive NFE (Refer 3(ii) of Part C)

Yes ☐ (If yes, please fill UBO declaration in the next section.)

Nature of Business

## Instructions

<sup>1</sup>Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes.

<sup>2</sup>In case Tax Identification Number is not available, kindly provide its functional equivalent. In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Intermediary Identification Number or GIIN, etc.

<sup>3</sup>Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

<sup>4</sup>Refer 1 of Part C

<sup>5</sup>Refer 3(vii) of Part C

<sup>6</sup>Refer 1 A of Part C



Category	<input type="checkbox"/> Unlisted Company	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Limited Liability Partnership Company
	<input type="checkbox"/> Religious Trust	<input type="checkbox"/> Private Trust	<input type="checkbox"/> Public Charitable Trust
	<input type="checkbox"/> Unincorporated association / body of individuals		<input type="checkbox"/> Others _____

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s) (Please attach additional sheets, if necessary)

Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

Details	UBO 1	UBO 2	UBO 3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax Residency <sup>7</sup>			
PAN <sup>8</sup>			
Address	Address, Zip, State, Country	Address, Zip, State, Country	Address, Zip, State, Country
Address Type	Residence/Business/ Registered Office	Residence/Business/ Registered Office	Residence/Business/ Registered Office
Tax ID <sup>2</sup>			
Tax ID Type			
City of Birth			
Country of Birth			
Occupation Type	Service/Business/Others	Service/Business/Others	Service/Business/Others
Nationality			
Father's Name			
Gender	Male/Female/Others	Male/Female/Others	Male/Female/Others
Date of Birth			
Percentage of Holding(%)			

<sup>2</sup>It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

<sup>7</sup>Country of Tax Residency is mandatory for all and if the controlling person is a US citizen or green card holder, please mention U.S.A.

<sup>8</sup>If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position/Designation like Director/Settlor of Trust/Protector of Trust to be specified wherever applicable.

### FATCA - CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with Invesco Asset Management or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information. If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green cardholder, please include United States in the foreign country information field along with the US Tax Identification Number.

### Certification

I/We have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We hereby agree and confirm to inform Invesco Asset Management Company Private Limited/ Invesco Mutual Fund/ Trustees for any modification to this information promptly.

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Place

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### Authorised Signatory


Name	
Designation	
Name	
Designation	
Name	
Designation	

## 1. Financial Institution (FI) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
  - i. The three financial years preceding the year in which determination is made; or (ii) The period during which the entity has been in existence, whichever is less.
    - Investment entity is any entity:
      - That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
        - Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
  - ii. Individual and collective portfolio management; or
  - iii. Investing, administering or managing funds, money or financial asset or money on behalf of other persons; or
    - The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

- i. The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
- ii. The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.

- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

- FI not required to apply for GIIN:

A. Reasons why FI not required to apply for GIIN:

Code	Sub-category
i.	Governmental Entity, International Organization or Central Bank
ii.	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
iii.	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
iv.	Entity is an Indian FI solely because it is an investment entity
v.	Qualified credit card issuer
vi.	Investment Advisors, Investment Managers & Executing Brokers
vii.	Exempt collective investment vehicle
viii.	Trustee of an Indian Trust
ix.	FI with a local client base
x.	Non-registering local banks
xi.	FFI with only Low-Value Accounts
xii.	Sponsored investment entity and controlled foreign corporation
xiii.	Sponsored, Closely Held Investment Vehicle
xiv.	Owner Documented FFI

## 2. Non-financial entity (NFE) - Foreign entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

- i. Publicly traded company (listed company)
 

A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)
- ii. Related entity of a publicly traded company
 

The NFE is a related entity of an entity of which is regularly traded on an established securities market;
- c. Active NFE : is any one of the following

Code	Sub-category
i.	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
ii.	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
iii.	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
iv.	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
v.	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
vi.	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
vii)	Any NFE that fulfills all of the following requirements: <ul style="list-style-type: none"> <li>• It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;</li> <li>• It is exempt from income tax in India;</li> <li>• It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</li> </ul> <p>The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.</p> <p>Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-</p> <ol style="list-style-type: none"> <li>(I) an Investor Protection Fund referred to in clause (23EA);</li> <li>(II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;</li> </ol>

## 3. Other definitions

### i. Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

### ii. Passive NFE

The term passive NFE means

- any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
- an investment entity defined in clause (b) of these instructions
- a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

### iii. Passive income

The term passive income includes income by way of :

- IDCW,
- Interest

- Income equivalent to interest,
  - Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
  - Annuities
  - The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
  - The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
  - The excess of foreign currency gains over foreign currency losses
  - Net income from swaps
  - Amounts received under cash value insurance contracts
- But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

#### iv. Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

- More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

##### i. Controlling Person Type:

Code	Sub-category
i.	CP of legal person - ownership
ii.	CP of legal person - other means
iii.	CP of legal person - senior managing official
iv.	CP of legal arrangement - trust - settlor
v.	CP of legal arrangement - trust - trustee
vi.	CP of legal arrangement - trust - protector
vii.	CP of legal arrangement - trust - beneficiary
viii.	CP of legal arrangement - trust - other
ix.	CP of legal arrangement - Other - settlor equivalent
x.	CP of legal arrangement - Other - trustee equivalent
xi.	CP of legal arrangement - Other - protector equivalent
xii.	CP of legal arrangement - Other - beneficiary equivalent
xiii.	CP of legal arrangement - Other - other equivalent
xiv.	Unknown

#### v. Specified U.S. person – A U.S. person other than the following:

- a corporation the stock of which is regularly traded on one or more established securities markets;
- any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- the United States or any wholly owned agency or instrumentality thereof;
- any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;

- any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- any bank as defined in section 581 of the U.S. Internal Revenue Code;
- any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

#### vi. Owner documented FFI

An FFI meets the following requirements:

- The FFI is an FFI solely because it is an investment entity;
- The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;
- The FFI does not maintain a financial account for any non participating FFI;
- The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

#### vii. Direct reporting NFE

A direct reporting NFFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

#### viii. Exemption code for U.S. persons

Code	Sub-category
i.	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
ii.	The United States or any of its agencies or instrumentalities
iii.	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
iv.	A corporation, the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
v.	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
vi.	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
vii.	A real estate investment trust
viii.	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
ix.	A common trust fund as defined in section 584(a)
x.	A bank as defined in section 581
xi.	A broker
xii.	A trust exempt from tax under section 664 or described in section 4947(a)(1)
xiii.	A tax exempt trust under section 403(b) plan or section 457(g) plan

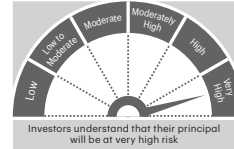
# Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund

(An open ended fund of fund scheme investing in Invesco CoinShares Global Blockchain UCITS ETF)

## Suitable for investors who are seeking\*

- capital appreciation over long term
- returns that correspond to performance of Invesco CoinShares Global Blockchain UCITS ETF, subject to currency movements and tracking error

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them



Application Supported By  
**Blocked Amount (ASBA) Form**  
Please read instructions before filling the Form

Application No :

NFO Opens: November 24, 2021

NFO Closes: December 8, 2021

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/sales person of the distributor/sub broker. (Refer Instruction no.1(vii)).

**Transaction Charges** (Please tick any one of the below. For details refer KIM)

- ☐ I am a first time investor in Mutual Funds /  
☐ I am an existing investor in Mutual Funds (Default)

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

Sign Here - Sole/First Applicant/Guardian/POA

Sign Here - Second Applicant

Sign Here - Third Applicant

- Country of Birth/Citizenship/Nationality or Tax Residency, other than India, for any applicant:**  
☐ Yes ☐ No (Mandatory to ✓)  
If Yes, please fill FATCA/CRS declaration

- NRI investors should mandatorily fill separate FATCA/CRS declarations**

- Non-Individual investors should mandatorily fill separate FATCA/ CRS & UBO declarations**

LEI declaration is mandatory for all payment transactions undertaken by entities for value >= INR 50 crore.

## Key Partner/Agent Information

Mutual Fund Distributor ARN ARN -	Sub-Broker ARN Code ARN -	Internal Sub-Broker / Employee Code
Employee Unique Identification No. (EUIN) (Of Individual ARN holder or of employee/ Relationship Manager/Sales Person of the Distributor)		Registered Investment Advisor (RIA) Code / Portfolio Manager's Registration Number (PMRN)

**Mode of Holding** (Only for non-demat mode) ☐ Single ☐ Joint ☐ Anyone or Survivor (Default)

## 1. Applicant Details

<b>First/Sole</b>	Mr. / Ms. / M/s.																	
<b>PAN/PEKRN</b>										<b>Date of Birth</b>	D	D	M	M	Y	Y	Y	Y
<b>KIN</b>																	Enclosed KYC Proof <input type="checkbox"/>	
<b>Second*</b>	Mr. / Ms. / M/s.																	
<b>PAN/PEKRN</b>										<b>Date of Birth</b>	D	D	M	M	Y	Y	Y	Y
<b>KIN</b>																	Enclosed KYC Proof <input type="checkbox"/>	
<b>Third*</b>	Mr. / Ms. / M/s.																	
<b>PAN/PEKRN</b>										<b>Date of Birth</b>	D	D	M	M	Y	Y	Y	Y
<b>KIN</b>																	Enclosed KYC Proof <input type="checkbox"/>	

## 2. Demat Account Details (Mandatory)

Optional, Refer instruction no. 14

DP ID*	I	N							Beneficiary Account No.								
(* Not applicable in case of CDSL).																	
DP Name																	
The investors shall receive payments of IDCW/Redemption proceeds in the Bank Account linked to the Demat A/c as mentioned below.																	

## 3. Details of Bank Account for Blocking of Funds

Bank Name																	
Bank A/c. No.																	
Branch Address																	
Amount to be blocked ( in figures)									Amount to be blocked ( in words)								
Remitter LEI No.:																	
Beneficiary Name	Invesco Mutual Fund																
Beneficiary LEI No.:	5493000N71F6PVXRB54																
Validity Date:	D	D	M	M	Y	Y	Y	Y	Validity Date:	1	1	0	6	2	0	2	2

## Acknowledgement Slip (To be filled by the Applicant)

### Invesco India - Invesco CoinShares Global Blockchain ETF Fund of Fund

NFO Opens: November 24, 2021 NFO Closes: December 8, 2021

Received from	Mr. / Ms. / M/s.																
ASBA Form Dated	D	D	M	M	Y	Y	Y	Y	Amount to be Blocked ( )								
SCSB (Bank & Branch)																	
Bank A/c. No.																	

Application No :

Submission Date															
D	D	M	M	Y	Y	Y	Y								



#### 4. Investment Details

Sub-Plan(s) / Option(s)	Amount (in Rs.)	ISIN
Regular Plan - Growth		INF205KA1510
Direct Plan - Growth		INF205KA1528
Total Amount <sup>1</sup>		

<sup>1</sup>Total Amount must be equal to the amount to be blocked as mentioned in point 3 above.

#### 5. Declarations & Signatures

##### General Declaration:

The Trustees, Invesco Mutual Fund

Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme, I/We hereby apply to the Trustees of Invesco Mutual Fund for units of the Scheme as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We have understood the details of the Scheme and I/We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. The Distributor has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby authorise Invesco Mutual Fund, its Investment Manager and its Agents to disclose details of my / our investment to my / our bank(s) / Invesco Mutual Fund's Bank(s) and / or Distributor / Broker / Investment Advisor and to verify my/ our bank details provided by me / us. I/We hereby declare that the particulars given above are correct. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Invesco Asset Management (India) Pvt. Ltd. (Investment Manager to Invesco Mutual Fund), their appointed service providers or representatives responsible. I/We hereby declare that the amount being invested by me/us in the Scheme of Invesco Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time. I/We, have invested in the scheme(s) of Invesco Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/ provide the transactions data feed/portfolio holdings/ NAV etc.in respect of my/our investments under Direct Plan of all schemes of Invesco Mutual Fund, to the above mentioned SEBI Registered Investment Adviser Registered Portfolio Manager declaration.

I/We confirm that I/We are not United States person(s) under the laws of United States or residents(s) of Canada as defined under the applicable laws of Canada.

Date

Signature of the Applicant

KIN: KYC Identification Number from Central KYC Registry

##### ASBA Authorizations:

1) I/We hereby undertake that I/We am/are an ASBA applicant(s) as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements) (Amendment) Regulations, 2011. 2) In accordance with ASBA process provided in the SEBI (Issue of Capital and Disclosure Requirements) (Amendment) Regulations, 2011, I/We authorize (a) the SCSB to do all acts as are necessary to make an application in the NFO of the Scheme of Invesco Mutual Fund, including uploading of application details, blocking the amount to the extent mentioned above in the "Details of Bank Account for Blocking of Funds" or unblocking of funds in the bank account maintained with the SCSB specified in the form, transfer of funds to the nominated Invesco Mutual Fund Bank Account on receipt of instruction from the Registrar to the New Fund Offer after finalisation of allotment entitling me / us to receive Units on such transfer of funds. (b) Registrar to the Invesco Mutual Fund to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the ASBA Form, upon allotment and to transfer the requisite money to Invesco Mutual Fund's nominated Bank account. 3) In case the amount available in the bank account specified in the ASBA Form is insufficient for blocking the amount equivalent to the application money, the SCSB shall reject the application. 4) If the DP ID, Beneficiary ID or PAN furnished by me / us in the ASBA Form is incorrect or incomplete, the application shall be rejected and Invesco Asset Management (India) Pvt. Ltd. (Investment Manager to Invesco Mutual Fund), their appointed service providers and the SCSBs representatives shall not be liable for losses, if any. I/We, have invested in the scheme(s) of Invesco Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/ provide the transactions data feed/portfolio holdings/ NAV etc.in respect of my/our investments under Direct Plan of all schemes of Invesco Mutual Fund, to the above mentioned SEBI Registered Investment Adviser Registered Portfolio Manager declaration.

##### Applicable to NRIs only :

I/We confirm that I am / we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account. I/We confirm that the details provided by me / us are true and correct.

Place

Signature of Bank Account Holders

(To be signed as per mode of operation of the bank account)

#### Instructions

**A. Self Certified Syndicate Bank (SCSB):** SCSB is a bank which offers the facility of applying through the ASBA process. The current list of SCSB's as available on SEBI website is as follows:

- Ahmedabad Mercantile Co-Op Bank Ltd
- Allahabad Bank
- AU Small Finance Bank Limited
- Axis Bank
- Bandhan Bank
- Bank of Baroda
- Bank of India
- Bank of Maharashtra
- Barclays Plc.
- BNP Paribas
- Canara Bank
- Central Bank of India
- CITI Bank
- City Union Bank Ltd.
- DBS Bank Ltd.
- Deutsche Bank AG
- Dhanlaxmi Bank Limited
- Federal Bank
- GP Parsik Sahakari Bank Limited
- HDFC Bank Ltd.
- HSBC Ltd.
- ICICI Bank Ltd.
- IDBI Bank
- Indian Overseas Bank Ltd.
- Indusind Bank Ltd.
- J. P. Morgan Chase, Bank, N.A.
- Jammu and Kashmir bank
- Janata Sahakari Bank Ltd
- Karnataka Bank
- Karur Vasya Bank Ltd.
- Kotak Mahindra Bank Ltd.
- Mehsana Urban Co-operative Bank Limited
- Nutan Nagarik Sahakari Bank Ltd
- Oriental Bank of Commerce
- Punjab National Bank
- Rajkot Nagarik Sahakari Bank Ltd
- RBL Bank Ltd
- South Indian Bank
- Standard Chartered Bank
- State Bank of India
- SVC Co-operative Bank Ltd.
- Syndicate Bank
- Tamilnad Mercantile Bank Ltd.
- The Ahmedabad Mercantile Co-Op. Bank Ltd
- The Allahabad Bank
- The Kalupur Commercial Co-operative Bank Ltd.
- The Lakshmi Vilas Bank Ltd.
- The Saraswat Co-operative Bank Ltd.
- The Surat Peoples Co-op Bank
- TJSB Sahakari Bank Ltd
- UCO Bank
- Union Bank of India
- United Bank of India
- Yes Bank Ltd.

For the complete list of SCSBs with details of controlling/designated branches please refer to websites : <http://www.sebi.gov.in>, <http://www.nseindia.com> and <http://www.bseindia.com>.

##### B. Investors Demat Account details:

- It is mandatory to provide Demat Account details in ASBA Application Form as the units will be credited in the Demat Account specified in ASBA Application Form.
- Applicant should ensure that the Demat Accounts specified in the ASBA Application Form are active i.e. not frozen or suspended.
- Applicant to note that in case the DP-ID, beneficiary account no. or PAN provided in the ASBA Application Form are incorrect or do not match with the records of Depositories (NSDL or CDSL), the applications will be rejected.

##### C. Bank Account details:

- Applicants should provide Bank Account details from which the application amount is to be blocked alongwith Bank & Branch name and application amount.
- Applicant should maintain sufficient balance in the Bank Account in which application amount is to be blocked. In case of insufficient funds in the specified Bank Account the application is liable to be rejected.
- Applicant can make application for maximum upto 5 ASBA Applications from a single Bank Account with a Bank.
- It may be noted that no application will be accepted for subscription to units of schemes of Invesco Mutual Fund where such application is accompanied by Third Party Payment other than the exceptions allowed. "Third-Party Payment" means a payment made through instruments issued from a bank account other than that of bank account of first named applicant/investor.

Please refer to point no. 7 v. on page no. 14 of the Instructions for details.



## A. OFFICIAL POINTS OF ACCEPTANCE OF TRANSACTION

### INVESCO ASSET MANAGEMENT (INDIA) PRIVATE LIMITED - For NFO and ongoing basis

• **Ahmedabad:** 303/A, Rindroop Building, C. G. Road, Ahmedabad - 380 006. Tel. No.: 079 - 66521550.  
 • **Bengaluru:** S-317/319 & 321, 3rd Floor, South Block, Manipal Centre, 47, Dickenson Road, Bangalore - 560042. Tel. No.: 080 - 42941000. • **Chandigarh:** S.C.O. 2471 - 72, 2nd Floor, Sector 22C, Himalaya Marg, Chandigarh - 160 022. Tel. No.: 0769 - 6001369. • **Chennai:** Door #2, 2nd Floor, Sun Plaza, #39 G.N.Chetty Road, Near Kamarajar Arangam, Chennai - 600006. Tel. No.: 9043000628. • **Delhi:** 710, 711 & 712 Prakashdeep Building, 7th Floor, Tolstoy Marg, New Delhi - 110001. Tel. No.: 011 43789000. • **Hyderabad:** 2nd Floor, S.B. Towers, H.No.6-3-354, Road No.1, Banjara Hills, Landmark: Punjagutta X Road, Beside Himalaya Book Store, Hyderabad-500 034. Tel. No.: 9030015457. • **Indore:** Room No. 216, 2nd Floor, Starlit Tower, Y. N. Road, Indore - 452 001. Tel. No.: 7415000281. • **Jaipur:** 204, 2nd Floor, 'Brij Anukampa' Ashok Marg, C-Scheme, Jaipur - 302 001. Tel. No.: 07737000761. • **Kanpur:** 1st Floor, KAN Chambers, 14/113 Civil Lines, Kanpur - 208 001. Tel. No.: 9044051658. • **Kolkata:** Room No. 7E, 235/2A, 7th Floor, Millennium Building, Acharya Jagdish Chandra Bose Road, Kolkata - 700 020. Tel. No.: 033-40639115. • **Lucknow:** 304, 3rd Floor, SKY HI Chamber, Park Road, Hazratganj, Lucknow - 226 001, U.P. Tel. No. - 0522-4000841/4000149. • **Ludhiana:** Room No. 604, 6th Floor, SCO - 18, Feroze Gandhi Market, Ludhiana - 141 001. Tel. No.: 09041002258. • **Mumbai (H.O.):** 2101-A, A Wing, 21st Floor, Marathon Futurex, N.M. Joshi Marg, Lower Parel, Mumbai - 400 013. • **Panaji:** Advani Business Centre, Office No. CU2, Neelkamal Arcade, Atmaram Borkar Road, Above Federal Bank, Panaji - 403 001. Tel. No.: 0832-6650402. • **Patna:** No. 304, Hariniwas Complex, Dak Banglow Road, Patna - 800 001. Tel. No.: 09264457840. • **Pune:** Shop No. 1, B Wing, Shreenath Plaza, FC Road, Dyanshaw Paduka Chowk, Pune - 411 005. Tel. No.: 020 - 41291015. • **Vadodara:** Upper Ground Floor No -06, Concard Complex, Above Deepak Garments, Near Kabir Kitchen Restaurant, Alkapuri Vadodara - 390007. Tel. No.: 0265 - 2338446.

## B. LIST OF INVESTOR SERVICE CENTRES OF KFIN TECHNOLOGIES PVT. LTD., REGISTRAR & TRANSFER AGENTS OF INVESCO MUTUAL FUND (NFO & ONGOING BASIS) THESE WILL BE IN ADDITION TO THE EXISTING OFFICIAL POINTS OF ACCEPTANCE OF INVESCO ASSET MANAGEMENT (INDIA) PRIVATE LIMITED

• **Agartala:** OLS, RMS Chowmuhan, Mantri Bari Road, 1st Floor Near, Traffic Point, Tripura West, Agartala - 799 001. Tel. No.: 0381-2317519. • **Agra:** House No. 17/2/4, 2nd Floor, Deepak Wasan Plaza, Behind Hotel Holiday INN, Sanjay Place, Agra, Uttar Pradesh - 282 002. Tel. No.: 7518801801. • **Ahmedabad:** Office No. 401, 4th Floor, ABC-I, Off. C.G. Road, Navrangpura, Ahmedabad, Gujarat - 380006. Tel. No.: 9081903021. • **Ajmer:** 302, 3rd Floor, Ajmer Auto Building, Opposite City Power House, Jaipur Road, Ajmer - 305001. Tel. No.: 0145 5120725. • **Akola:** Yamuna Tarang Complex, Shop No 30, Ground Floor, N.H. No- 06, Murtizapur Road, Opp Radhakrishna Talkies, Akola - 444004. Tel. No.: 0724 2451874. • **Aligarh:** SEBTI Complex Centre Point, in the city of Aligarh, Uttar Pradesh, Aligarh - 202 001. Tel. No.: 7518801802. • **Allahabad:** "Meena Bazar" 2nd Floor, 10 S.P. Marg Civil Lines, Subhash Chauraha, Prayagraj, Uttar Pradesh - 211 001. Tel. No.: 7518801803. • **Alwar:** Office Number 137, First Floor, Jai Complex Road No - 2, Alwar, Rajasthan - 301001. Tel. No.: 0144-2335550 / 0144-2335551. • **Ambala:** 6349, 2nd Floor, Nicholson Road, Adjacent Kos Hospital Ambala Cant. Ambala, Haryana, Ambala -133 001. Tel. No.: 7518801804. • **Amravati:** Shop No. 21, 2nd Floor, Gulshan Tower, Near Panchsheel Talkies, Jaistambh Square, Amravati - 444601. Tel. No.: 0721 2569198. • **Amritsar:** SCO 5, 2nd Floor, District Shopping Complex, Ranjit Avenue, Amritsar - 143 001. Tel. No.: 0183-5158158. • **Anand:** B-42 Vaibhav Commercial Center, Nr Tvs Down Town Show Room, Grid Char Rasta, Anand - 380001. Tel. No.: 9662020623. • **Ananthapur:** #13/4, Vishnu Priya Complex, Beside SBI Bank, Near Tower Clock, Andhra Pradesh, Ananthapur - 515 001. Tel. No.: 9515-144445. • **Asansol:** 112/N, G. T. Road, Bhanga Pachil, G.T. Road, Paschim Bardhaman, West Bengal - 713303. Tel. No.: 0341-2220077. • **Aurangabad:** Ramkunji Niwas, Railway Station Road, Near Osmanpura Circle, Aurangabad - 431005. Tel. No.: 0240 2343414. • **Azamgarh:** House No. 290, Ground Floor, Civil Lines, Near Sahara Office, in the city of Azamgarh, Uttar Pradesh, Azamgarh - 276 001. Tel. No.: 7518801805. • **Balasure:** 1-B, 1st Floor, Kalinga Hotel Lane, Baleshwar, Baleshwar Sadar, Orissa, Balasure - 756 001. Tel. No.: 06782-2605033. • **Bangalore:** No 35, Puttanna Road, Basavanagudi, Bangalore - 560 004. Tel. No.: 080- 26602852. • **Bankura:** Plot nos. 80/1/A, Natunchari Mahalla, 3rd floor, Ward no-24, Opposite P.C. Chandra, Bankura Town, Bankura - 722101. Tel. No.: 9434480586. • **Bareilly:** 54 - Civil Lines, Ayub Khan Chauraha Bareilly, Uttar Pradesh - 243001. Tel. No.: 7518801806. • **Baroda:** 203, Corner Point, Jetpur Road, Baroda - 390007. Tel. No.: 0265 2353506. • **Begusarai:** C/o Dr Hazari Prasad Sahu, Ward No 13, Behind Alka Cinema, Begusarai, Bihar, Bihar - 851 117. Tel. No.: 7518801807. • **Belgaum:** Premises No 101 CTS No 1893, Shree Guru Darshani Tower, Anandwadi, Hindwadi, Belgaum - 590 011. Tel. No.: 0831-4213717. • **Bellary:** Shree Gayathri Towers, No. 4, 1st floor, K.H.B. Colony, Gopalswamy Mudaliar Road, Gandhi Nagar, Bellary - 583103. Tel. No.: 0839 - 2254570. • **Berhampur (Or):** Opp. Divya Nandan Kalyan Mandap, 3rd Lane Dharam Nagar, Near Lohiya Motor, Berhampur (Or), Orissa. Tel. No.: 0680-2228106. • **Bhagalpur:** 2nd Floor, Chandralok Complex, Ghantaghar, Radha Rani Sinha Road, Bhagalpur - 812001. Tel. No.: 7518801808. • **Bharuch:** 123 Nexus business Hub, Near Gangotri Hotel, B/S Rajeshwari Petroleum, Makampur Road, Baruch - 392 001. Tel. No.: 9081903042. • **Bhatinda:** 2nd Floor, MCB -Z-3-01043 Goniara Road Opposite Nippon India Mf, Gt Road, Near Hanuman Chowk, Bhatinda - 151 001. Tel. No.: 0164- 5006725. • **Bhavnagar:** 303, Sterling Point, Waghawadi Road, Bhavnagar - 364001. Tel. No.: 02783 003149. • **Bhilai:** Office No. 2, 1st Floor, Plot No. 9/6, Nehru Nagar [East], Bhilai, Chhattisgarh - 490 020. Tel. No.: 0788-2289499. • **Bhilwara:** Office No. 14 B, Prem Bhawan, Pur Road, Gandhi Nagar, Near Canara Bank, Bhilwara - 311 001. Tel. No.: 01482-244720. • **Bhopal:** Gurukripa Plaza, Plot No. 48A, Opposite City Hospital, zone-2, M P Nagar, Bhopal - 462 011. Tel. No.: 0755-4092712. • **Bhubaneswar:** A/181, Back Side Of Shivam Honda Show Room, Saheed Nagar, Bhubaneswar - 751007. Tel. No.: 0674 2548981. • **Bikaner:** 70- 71, 2nd Floor, Dr. Chahar Building, Panchsati Circle, Sadul Ganj, Bikaner - 334003. Tel. No.: 0151 2200014. • **Bilaspur:** Anandam Plaza; Shop No.306, 3rd Floor, Vyapar Vihar Main Road, Bilaspur, Chhattisgarh, Bilaspur - 495 001. Tel. No.: 07752-470070. • **Bokaro:** B-1, 1st Floor, City Centre, Sector- 4, Near Sona Chandi Jewellers, Bokaro - 827004. Tel. No.: 7542979444. • **Burdwan:** Anima Bhavan 1st Floor, Holding No. 42, Sreepally G. T. Road, Burdwan - 713 103. Tel. No.: 0342-2665140. • **Calicut:** 2nd Floor, Manimuriyil Centre, Bank Road, Kasaba Village, Calicut, State: Kerala, Calicut - 673 001. Tel. No.: 4954022480. • **Chandigarh:** 1st Floor, SCO 2469-70, Sec. 22-C, Chandigarh, Chandigarh - 160 022. Tel. No.: 0172-5101342. • **Chennai:** F-11, Akshaya Plaza, 1st Floor, 108, Adithanar Salai, Egmore, Opp. Chief Metropolitan Court, Chennai - 600002. Tel. No.: 044 42028512. • **Cochin:** Ali Arcade, 1st Floor, Kizhavana Road, Panampilly Nagar, Near Atlantis Junction, Cochin - 682036. Tel. No.: 0484 - 4025059. • **Coimbatore:** 2nd Floor, Jaya Enclave, 1057 Avinashi Road, Coimbatore - 641018. Tel. No.: 0422 4388011. • **Cuttack:** Shop No-45, 2nd Floor, Netaji Subhas Bose Arcade, (Big Bazar Building) Adjacent to, Reliance Trends, Dargha Bazar, Cuttack - 753 001. Tel. No.: 0671- 2203077. • **Darbhanga:** Raj Complex, 2nd Floor, Near Poor Home Darbhanga - 846 004. Tel. No.: 7518801809. • **Davangere:** D. No 162/6, 1st Floor, P J Extension, Davangere taluk, Davangere Mandal, Karnataka, Davangere - 577 002. Tel. No.: 0819-2258714. • **Dehradun:** Shop No-809/799, Street No-2 A, Rajendra Nagar, Near Sheesha Lounge Kaulagarh Road, Dehradun - 248001. Tel. No.: 7518801810. • **Deoria:** K. K. Plaza, Above Apurva Sweets, Civil Lines Road, in the city of Deoria, Uttar Pradesh, Deoria - 274 001. Tel. No.: 7518801811. • **Dhanbad:** 208 New Market, 2nd Floor, Bank More, Dhanbad - 826001. Tel. No.: 9264445981. • **Dhule:** Ground Floor, Ideal Laundry, Lane No 4, Khol Galli, Near Muthoot Finance, Opp. Bhavasar General Store, Dhule - 424001. Tel. No.: 02562 282823. • **Durgapur:** MWAV-16, Bengal Ambuja, 2nd Floor, City Centre, Distt. Burdwan, Durgapur-173216. Tel. No.: 0343 6512111. • **Elooru:** D.O.No. 234-772/73, K K S Plaza, Munukutla Vari Street, Opp. Andhra Hospitals, R R Peta, West Godavari Dist., Elooru - 534 002. Tel. No.: 08812 227851. • **Erode:** Address No 38/1, Sathy Road, (VCTV Main Road), Sorna Krishna Complex, Ground Floor, Erode, Tamil Nadu, Erode - 638 003. Tel. No.: 0424-4021212. • **Faridabad:** A-2B, 3rd Floor, Neelam Bata Road, Peer ki Mazar, Nehru Groundnit, Faridabad - 121001. Tel. No.: 7518801812. • **Ferozpur:** The Mall Road, Chawla Building, 1st Floor, Opp. Centrail Jail, Near Hanuman Mandir, Ferozpur - 152002. Tel. No.: 01632 241814. • **Gandhidham:** Shop # 12, Shree Ambica Arcade, Plot # 300, Ward 12, Opp. CG High School, Near HDFC Bank, Gandhidham - 372021. Tel. No.: 9081903027. • **Gandhinagar:** 123, 1st Floor, Megh Malhar Complex, Opp. Vijay Petrol Pump, Sector - 11, Gandhinagar - 382011. Tel. No.: 079 23244955. • **Gaya:** Property No. 711045129, Ground Floor Hotel Skylark, Swaripuri Road, Gaya - 823 001. Tel. No.: 0631-2220065. • **Ghaziabad:** FF - 31, Konark Building, Rajnagar, Ghaziabad, Uttar Pradesh, Ghaziabad - 201 003. Tel. No.: 7518801813. • **Ghaziapur:** House No. 148/19, Mahua Bagh, in the city of Ghaziapur, Uttar Pradesh, Ghaziapur - 233 001. Tel. No.: 7518801814. • **Gonda:** House No. 782, Shiv Sadan, ITI Road, Near Raghuikul Vidya Peeth, Civil Lines, Gonda, Uttar Pradesh - 271001 Tel. No.: 7518801815. • **Gorakhpur:** Shop No 8 & 9, 4th Floor, Cross Road The Mall,

### INVESCO ASSET MANAGEMENT (INDIA) PRIVATE LIMITED - For NFO only\*

• **Agra:** Block No.30, Shop No.126, Sanjay Place, Agra - 282002. Tel. No.: 0562 6459171. • **Bhopal:** MM-18, B-Block, Mansarovar Complex, Opp BJP Office, Hoshangabad Road, Bhopal - 462016 (M.P.). Tel. No.: 0755 4253972. • **Bhubaneswar:** Room No 5, 2nd floor, Chandan Villa, Plot:1258, Road R-8, Unit -9, Bhubaneswar - 751022. Tel. No.: 0674-6532062. • **Coimbatore:** 2nd Floor, 509 E, Red Rose Plaza, D.B Road, R. S. Puram, Coimbatore 641 002. Coimbatore - 641043. Tel. No.: 9843555682. • **Dehradun:** 1st Floor, Room No. 3, S, Shubhas Road, Opp. Secretariat Dehradun - 248001. Tel. No.: 0135 6453212. • **Gorakhpur:** Shop No - 17, Upper Ground Floor, Radhika Complex, Asuram Chungi Medical College Road, Gorkhpur - 273 001. Tel. No.: 9919802109. • **Guwahati (Assam):** Room No 208, 2nd floor Keirjwal Bhawan, Paltan Bazar, G. S. Road, Guwahati, Assam - 781008. Tel. No.: 8486030491. • **Jamshedpur:** Shop No - 16, Bharat Business Centre, Ground Floor, Bistupur, Jamshedpur -831001. Tel. No.: 0657 2321534. • **Kochi:** Door No.CC 40/377, 3rd Floor, Jos Annexe, Jos Junction, M.G.Road, Kochi - 682016. Tel. No.: 9037001509. • **Nagpur:** Business chamber no 72/102, Fortune Business Centre, 1st floor, NIT Plot no.6. "Vasant-Vihar Complex, West High Court Road, Shankar Nagar, Nagpur - 440010. Tel. No.: 0712 6463962. • **Nasik:** 1st Floor, "G-21, Suyojit Sankul, Below KFin Technologies Pvt. Ltd., Near Rajiv Gandhi Bhavan, Sharanpur Road, Nashik - 422002. Tel. No.: 7507777375. • **Raipur:** Unit No # F - 13, Raheja Tower, Fafadhi Chowk, Station Road, Raipur, Chhattisgarh - 492001. Tel. No.: 0771 4056046. • **Rajkot:** 303, 3rd Floor, Business Park-I, Opp. Ratnam Hospital, Near Eagle Travels, Moti Tanki chowk, Rajkot - 360001. Tel. No.: 9727787624. • **Surat:** 215, Jolly Plaza Athva Gate, Surat-395009. Tel. No.: 0261 6450848. • **Varanasi:** Shop No 37 & 48, 1st floor, Kuber Complex, D 58/2 Rathayatra Crossing, Varanasi - 221010. Tel. No.: 9839057092. • **Vishakapatnam:** C-6, 2nd Floor, Pavan Palace, Beside Vaibhav Jewellers Dwarakanagar, Visakhapatnam - 530016. Tel. No.: 8886001422.

\*Not applicable for switch applications which have to be time-stamped

Bank Road, Gorakhpur - 273 001. Tel. No.: 7518801816. • **Guntur:** 2nd Shatter, 1st Floor. House no. 6-14-48, 14/2 Lane, Arundal Pet, Guntur, Andhra Pradesh, Guntur - 522 002. Tel. No.: (0863) 2339094. • **Gurgaon:** 2nd Floor, Vipul Agra, M. G. Road, Gurgaon - 122001. Tel. No.: 7518801817. • **Guwahati:** Ganapati Enclave, 4th floor, Opposite Bora Service, Ullubari, Guwahati, Assam - 781007. Tel. No.: 8811036746. • **Gwalior:** City Centre, Near Axis Bank, Gwalior - 474 011. Tel. No.: 7518801818. • **Haldwani:** Shop No. 5, KMVN Shopping Complex, Haldwani, Uttarakhand, Uttaranchal - 263139. Tel. No.: 7518801819. • **Hardwar:** Shop No - 13,1st Floor, Bhatia Complex Near Jamuna Palace, Haridwar - 249 410. Tel. No.: 7518801820. • **Hassan:** Hemadri Arcade, 2nd Main Road, Salgame Road, Near Brahmins Boys Hostel, Hassan - 573 201. Tel. No.: 08172 262065. • **Hissar:** Shop No. 20, Ground Floor, R D City Centre, Railway Road, in the city of Hissar, Haryana, Hissar - 125 001. Tel. No.: 7518801821. • **Hoshiarpur:** The Mall Complex Unit No. SF-6, 2nd Floor, Opp. Kapila Hospital, Sutheri Road, Hoshiarpur - 146 001. Tel. No.: 01882- 500325. • **Hubli:** R R Mahalakmi Mansion, Above Indusind Bank, 2nd Floor, Desai Cross, Pinto Road, Hubli - 580 029. Tel. No.: 0836-2252444. • **Hyderabad (Banjara Hills):** Selenium Plot No: 31 & 32, Tower B, Survey No.115/22 115/24 115/25, Financial District Gachibowli Nanakramguda, Serilingampally Mandal, Hyderabad - 500032. Tel. No.: 040-33215122. • **Hyderabad:** No:303, Vamsee Estates, Opp. Bigbazaar, Ameerpet - 500 016. Tel. No.: 040-44857874 / 75 / 76. • **Indore:** 011 Diamond Trade Center, Opp:- Swamy Vivekananda School, Above Khurana Bakery, Indore - 452 001. Tel. No.: 0731 4218902 / 4266828. • **Jabalpur:** 2nd Floor, 290/1 (615-New), Near Bhavartal Garden, Jabalpur Madhya Pradesh - 482 001. Tel. No.: 0761-4923301. • **Jaipur:** Office Number 101, 1st Floor, Okay Plus Tower, Next to Kalyan Jewellers, Government Hotel Circle, Ajmer road, Jaipur, Rajasthan, Jaipur - 320 001. Tel. No.: 01414617715/17. • **Jalandhar:** Office No 7, 3rd Floor, City Square Building, EH197 Civil Lines, Jalandhar - 144 001. Tel. No.: 0181-5094410. • **Jalgaon:** 269, Jaee Vishwa, 1st Floor, Baliram Peth, Above United Bank Of India, Near Kishor Agencies, Jalgaon - 425001. Tel. No.: 9421521406. • **Jammu:** 1D/D Extension 2, Valmiki Chowk, Gandhi Nagar, Jammu, Jammu & Kashmir - 180004. Tel. No.: 0191-2951822. • **Jamnagar:** 131 Madhav Plaza, Opp SBI Bank, Nr Lal Bungalow, Jamnagar - 361 008. Tel. No.: 0288 3065810. • **Jamshedpur:** Madhukunj, 3rd Floor, Q Road, Sakchi, Bistupur, East Singhbhum, Jharkhand, Jamshedpur - 831 001. Tel. No.: (0657) 6655003 / 6655004 / 6655005 / 6655006 / 6655007. • **Jhansi:** 1st Floor, Puja Tower, Near 48 Chambers, ELITE Crossing, in the city of Jhansi, Uttar Pradesh, Jhansi - 284 001. Tel. No.: 7518801823. • **Jodhpur:** Shop No. 6, Ground Floor, Gang Tower, Opposite Arora Motor, Service Centre, Near Bombay Motor Circle, Jodhpur - 342 003. Tel. No.: 7737014590. • **Junagadh:** Shop No. 201, 2nd Floor, V-ARCADE Complex, Near Vanzari Chowk, M.G. Road, Junagadh - 362001. Tel. No.: 0285 2652220. • **Kalaburagi:** H No 2-231, Krishna Complex, 2nd floor, Opp. Municipal Corporation Office, Jagat, Station Main Road, Kalaburagi, State - Karnataka, Kalaburagi - 585 105. Tel. No.: 08472 252503. • **Kannur:** 2nd Floor, Global Village, Bank Road, Kannur - 670 001. Tel. No.: 0497-2764190. • **Kanpur:** 15/46, B, Ground Floor, Opp. Muir Mills, Civil Lines, Kanpur - 208001. Tel. No.: 7518801824. • **Karimnagar:** 2nd Shetter, HNo. 7-2-607, Sri Matha Complex, Mamkammathota, KarimNagar, Telangana, Andhra Pradesh - 505001. Tel. No.: 0878-2244773. • **Karnal:** 18/369, Char Chaman, Kunjipura Road, Behind Miglani Hospital, Karnal - 132001. Tel. No.: 0184 2252524. • **Karur:** No 88/11, BB plaza, NRMPT street, NRMPT street, K S Mess Back side, Karur - 639 002. Tel. No.: 04324-241755. • **Kharagpur:** SBI Building, Malancha Road, Holding No 254/220, Ward No.16, PO Kharagpur, PS - Kharagpur, Dist - Paschim Medinipur, West Bengal, Kharagpur - 721 301. Tel. No.: 3222253380. • **Kolhapur:** 605/1/4 E Ward, Shahupuri 2nd Lane, Laxmi Niwas, Near Sultane Chambers, Kolhapur - 416001. Tel. No.: 0231 2653656. • **Kolkata:** Apeejay House (Beside Park Hotel), 15 Park Street, C Block, 3rd Floor, Kolkata - 700016. Tel. No.: 033 66285900. • **Kollam:** Ground Floora Narayanan, Shopping Complex, Kausthubhsree Block, Kadapakkada Kollam - 691 008. Tel. No.: 0474-2747055. • **Kota:** D-8, Shri Ram Complex, Opposite Multi Purpose School, Gumunpur, Kota - 324 007. Tel. No.: 0744-5100964. • **Kottayam:** 1st Floor Csiacsension Square, Railway Station Road, Collectorate P O, Kottayam - 686002. Tel. No.: 0481 2300868. • **Kurnool:** Shop No:47, 2nd Floor, S komda Shopping mall, Kurnool - 518 001. Tel. No.: 08518-228550. • **Lucknow:** 1st Floor, A. A. Complex, Thaper House, 5 Park Road, Hazratganj, Lucknow - 226001. Tel. No.: 7518801830. • **Ludhiana:** Sco - 122, 2nd Floor, Above HDFC MF, Feroze Gandhi Market, Ludhiana, Punjab, Ludhiana - 141 001. Tel. No.: 0161-4670278. • **Madurai:** No. G-16/17, AR Plaza, 1st floor, North Veli Street, Madurai - 625 001. Tel. No.: 0452- 2605856. • **Malda:** Ram Krishna Pally, Ground Floor, English Bazar, Malda, West Bengal, Malda - 732 101. Tel. No.: 03512-223763. • **Mandi:** House No. 99/11, 3rd Floor, Opposite GSS Boy School, School Bazar, in the city of Mandi, Himachal Pradesh, Mandi - 175 001. Tel. No.: 7518801833. • **Mangalore:** Mahendra Arcade Opp. Court Road, Karangal Padri, Mangalore - 575003. Tel. No.: 0824 2496289. • **Margao:** Shop No. 21, Osia Mall, 1st Floor, Near KTC Bus Stand, SGDP Market Complex, Margao - 403601. Tel. No.: 0832 2731823. • **Mathura:** Shop No. 9, Ground Floor, Vihari Lal Plaza, Opposite Brijwasi Centrum, Near New Bus Stand, in the city of Mathura,Uttar Pradesh, Mathura - 281 001. Tel. No.: 7518801834. • **Meerut:** H No 5, Purva Eran, Opp. Syndicate Bank, Hapur Road, Meerut, Uttar Pradesh, Meerut - 250 001. Tel. No.: 7518801835. • **Mehsana:** FF-21, Someshwar Shopping Mall, Modhera Char Rasta, Mehsana - 384002. Tel. No.: 02762-242950. • **Mirzapur:** Ground Floor, Triveni Campus, Ratan Ganj, Mirzapur - 231 001. Tel. No.: 7518801836. • **Moga:** 1st Floor, Dutt Road, Mandir Wali Gali, Civil Lines, Barat Garh, Moga - 142001. Tel. No.: 01636 230792. • **Moradabad:** Chadha Complex, G. M. D. Road, Near Tadi Khana, Chowk, in the city of Moradabad, Uttar Pradesh, Moradabad - 244 001. Tel. No.: 7518801837. • **Morena:** House No. HIG 959, Near Court, Front of Dr. Lal Lab, Old Housing Board Colony, Morena, Madhya Pradesh, Morena - 476 001. Tel. No.: 7518801838. • **Mumbai:** Gomati Smuti, Ground Floor, Jambli Gully, Near Railway Station, Borivali (West), Mumbai - 400 092. Tel. No.: 022 28916319. • **Mumbai:** 24/B, Raja Bahadur Compound, Ambalal Doshi Marg, Behind Bse Bldg, Fort - 400001. Tel. No.: 022 66235353. • **Mumbai:** Shop No.1 Ground Floor, Dipti Jyothi Co-operative Housing Society, Near MTNL Office P M Road, Vile Parle East, Mumbai - 400 056. Tel. No.: (022) 26100967. • **Muzaffarpur:** 1st Floor Saroj Complex, Diwam Road, Near Kalyani Chowk, Muzaffarpur, Bihar, Muzaffarpur - 842 001. Tel. No.: 7518801839. • **Mysore:** No. 2924, 2nd Floor, 1st Main, 5th Cross Sarasawathi Puram, Mysore - 570 009. Tel. No.: 0821-2438006. • **Nadiad:** 311-3rd Floor City Center, Near Paras Circle Nadiad, State - Gujarat, Nadiad - 387 001. Tel. No.: 2682563245. • **Nagercoil:** 45, East Car Street, 1st Floor, Nagercoil - 629001. Tel. No.: 04652 233552. • **Nagpur:** Plot No. 2, Block No. B / 1 & 2, Shree Apartment, Khare Town, Mata Mandir Road, Dharampet, Nagpur Maharashtra - 440 010. Tel. No.: 0712- 2533040. • **Nanded:** Shop No.4, Santakripa Market, G G Road, Opp. Bank Of India, Nanded - 431601. Tel. No.: 02462 237885. • **Nasik:** S-9, Second Floor, Suyojit Sankul, Sharanpur Road, Nasik - 422 002. Tel. No.: 0253-6608999. • **Navsari:** 103, 1st Floor, Landmark Mall Near Sayaji Library Navsari - 396445. Tel. No.:

9081903040 • **New Delhi:** 305 New Delhi House, 27 Barakhamba Road, New Delhi - 110001. Tel. No.: 011 43681700  
 • **Noida:** F - 21, Sector - 18, in the city of Noida, Noida, Uttar Pradesh, Noida - 201 301. Tel No.:7518801840 • **Palghat:** No: 20 & 21 , Metro Complex H.P.O.Road Palakkad, H.P.O.Road, Palakkad - 678001. Tel. No.: 9895968533 • **Panaji:** H. No: T-9, T-10, Affran plaza, 3rd Floor, Near Don Bosco High School, Panjim - 403 001. Tel No.: 0832-2426874 • **Panipat:** 3rd Floor Preet Tower, Behind Akash Institute, GT Road, Panipat, Haryana, Panipat - 132 103. Tel. No.: 7518801841 • **Pathankot:** 2nd Floor, Sahni Arcade Complex, Adj. Indra Colony Gate Railway Road, Pathankot - 145001. Tel. No.: 0186 5080188/ 9988164770 • **Patiala:** B- 17/423 Opp. Modi College, Lower Mall, Patiala - 147 001. Tel No.: 0175- 5004349 • **Patna:** 3A, 3rd Floor Anand Tower, Exhibition Road, Opp. ICICI Bank, Patna - 800001. Tel. No.: 0612 4323066 • **Pondicherry:** No 122(10b), Muthumariamman Koil Street, Pondicherry - 605 001. Tel No.: 0413-45490253 • **Pune:** Office # 207-210, 2nd Floor, Kamla Arcade, JM Road. Opposite, Balgandharva, Shivaji Nagar, Pune - 411 005. Tel No.: 020-66210449 • **Raipur:** Office No S-13, Second Floor, Reheja Tower, Fafadih Chowk, Jail Road, Raipur (C.G.) - 492001. Tel. No.: 0771-4912611.  
 • **Rajahmundry:** No. 46-23-10/A, Tirumala Arcade, 2nd Floor, Ganuga Veedhi, Danavaipeta, Rajahmundry, Andhra Pradesh - 533101. Tel. No.: 0883-2434468 • **Rajkot:** 302, Metro Plaza, Near Moti Tanki Chowky,Rajkot - 360001. Tel. No.: 9081903025 • **Ranchi:** Room No 307, 3rd Floor, Commerce Tower, Beside Mahabir Tower, Ranchi - 834001. Tel. No.: 0651 2331320 • **Rewa:** Shop No. 2, Shree Sai Anmol Complex, Ground Floor Opp Teerth Memorial Hospital, Rewa - 486 001. Tel No.: 7518801843 • **Rohtak:** Shop No 14, Ground Floor, Ashoka Plaza, Delhi Road, in the city of Rohtak, Haryana, Rohtak - 124 001. Tel No.:7518801844 • **Roorkee:** Shree Ashadeep Complex, 16, Civil Lines, Near Income Tax Office, Roorkee - 247667. Tel. No.: 7518801845 • **Rourkela:** 2nd Floor, Main Road Udit Nagar, Sundargarh, Rourkela - 769 012. Tel No.: 0661-2500005 • **Sagar:** 2nd floor, Above Shiva Kanch Mandir, 5 Civil Lines, Sagar - 470002. Tel. No.: 07582 402404 • **Salem:** No.6, NS Complex, Omalur main road, Salem, Tamil Nadu - 636016. Tel. No.: 0427-4020300. • **Sambalpur:** 1st Floor; Shop No. 219 Sahej Plaza, Gole bazar; Sambalpur - 768 001. Tel No.: 06632533437 • **Satna:** 1st Floor, Gopal Complex, Near Bus Stand, Rewa Road, Satna - 485 001. Tel No.: 7518801847 • **Shillong:** Annex Mani Bhawan, Lower Thana Road, Near R K M Lp School, Shillong - 793001. Tel. No.: 0364 2506106 • **Shimla:** 1st Floor, Hills View Complex, Near Tara Hall, Shimla, Himachal Pradesh - 171002. Tel. No.: 7518801849 • **Shimoga:** Jayarama Nilaya, 2nd Corss, Mission Compound, Shimoga - 577 201. Tel No.: 08182 295491. • **Shivpuri:** Near Hotel Vanasthali, In Front of Sawarkar Park, A. B. Road, in the city of Shivpuri, Madhya Pradesh, Shivpuri - 473 551. Tel No.:7518801850 • **Sikar:** First Floor, Super Tower, Behind Ram Mandir Near Taparya Bagichi, Sikar - 332001. Tel. No.: 01572 250398 • **Silchar:** N.N. Dutta Road, Chowchakra Complex, Premtala, Silchar - 788001. Tel. No.: 03842 261714 • **Siliguri:** 2nd Floor, Nanak Complex, Sevoke Road, West Bengal, Siliguri - 734 001. Tel No.: (0353) 2522579 • **Sitapur:** 12/12 Surya Complex, Station Road, Sitapur - 261 001. Tel No.: 7518801851 • **Solan:** Disha Complex, 1st Floor, Above Axis Bank, Rajgarh Road, in the city of

Solan, Himachal Pradesh, Solan - 173 212. Tel No.:7518801852 • **Solapur:** Block No 06, Vaman Nagar, Opp. D-Mart, Jule Solapur, Solapur - 413004. Tel. No.: 0217 2300021 • **Sonepat:** 2nd Floor, DP Tower, Model Town, Near Subhash Chowk, Sonepat, Haryana, Sonepat - 131 001. Tel No.:7518801853 • **Sri Ganganagar:** Address Shop No. 5, Opposite Bihani Petrol Pump, Near Baba Ramdev Mandir, NH - 15, Sri Ganganagar, Rajasthan, Sri Ganganagar - 335 001. Tel No.:0154-2470177 • **Srikakulam:** D No 4-4-97, First Floor, Behind Sri Vijayaganapathi Temple, Pedda Relli Veedhi, Palakonda Road, Srikakulam 532001 Tel. No.: 08942229925 • **Sultanpur:** 1st Floor, Ramashanker Market, Civil Line, in the city of Sultanpur, Uttar Pradesh, Sultanpur - 228 001. Tel No.:7518801854 • **Surat:** State Building, Near Udhna Darwaja, Ring Road, Surat, Gujarat - 395002. Tel. No.: 9081903041 • **Thane:** Room No. 302, 3rd Floor, Ganga Prasad, Near RBL Bank Ltd., Ram Maruti Cross Road, Naupada, Thane West, Mumbai - 400 602. • **Tirunelveli:** 55/18, Jeney Building, S N Road, Near Aravind Eye Hospital, Tirunelveli - 627001. Tel. No.: 0462 4001416. • **Tirupathi:** H.No:10-13-425, 1st Floor, Tilak Road, Opp: Sridevi Complex, Tirupathi - 517501. Tel. No.: 0877 2255797 • **Tiruvalla:** 2nd Floor, Erinjery Complex, Ramanchira, Opp. Axis Bank, Thiruvalla - 689107. Tel. No.: 04862-211209 • **Thrissur:** 4th Floor, Crown Tower, Shakthan Nagar, Opp. Head Post Office, Thrissur - 680 001. Tel No.: 9074053268 • **Trichy:** No 23C/1 E V R road, Near Vekkaliannan Kalyana Mandapam, Putthur, Trichy,Tamil Nadu, Trichy - 620 017. Tel No.:0431-4020227 • **Trivandrum:** Marvel Tower, 1st Floor, Ura-42 (Uppalam Road Residence Association), Statue, Trivandrum - 695 001. Tel. No.: 0471 - 2725728.  
 • **Tuticorin:** 4 - B, A34 - A37, Mangalmal Mani Nagar, Opp. Rajaji Park, Palayamkottai Road, Tuticorin - 628003. Tel. No.: 0461 2334603 • **Udaipur:** Shop No. 202, 2nd Floor business, center, 1C Madhuvan, Opp G P O Chetak Circle, Udaipur - 313 001. Tel No.: 0294-2429370 • **Ujjain:** 101 Aashta Tower, 13/1 Dhanwantri Marg, Freeganj, Ujjain - 456010. Tel. No.: 0734 4250007 • **Valsad:** 406 Dreamland Arcade, Opp Jade Blue , Tithal Road, Valsad, Gujarat, Valsad - 396 001. Tel No.:02632-258481 • **Vapi:** A-8, First Floor, Solitaire Business Centre, Opp. DCB Bank, GIDC Char Rasta, Silvassa Road, Vapi - 396191. Tel. No.: 9081903028 • **Varanasi:** D-64/132, 2nd Floor, KA Mauza, Shivpurwa, Settlement Plot No 478, Pargana -Dehat Amanat, Mohalla Sagra, Varanasi,Uttar Pradesh, Varanasi - 221 010. Tel No.:7518801855 • **Vashi:** Vashi Plaza, Shop no. 324, C Wing, 1st Floor, Sector 17, Vashi Mumbai - 400705. Tel. No.: 022-27802684 • **Vellore:** No 2/19, 1st Floor, Vellore City Centre, Anna Salai, Vellore - 632 001. Tel No.: 0416 4200381 • **Vijayawada:** HNo. 26-23, 1st Floor, Sundaramma street, Gandhi Nagar, Krishna, Vijayawada - 520 010. Tel No.: 0866 - 6604040/39/32 • **Visakhapatnam:** Door No : 48-10-40, Ground Floor, Surya Ratna Arcade, Srinagar, Opp Road to Lalitha Jeweler Showroom, Beside Taj Hotel Ladge, Visakhapatnam - 530 016. Tel No.: 0891-2714125 • **Warangal:** Shop No 22, Ground Floor Warangal City Center,15-1-237, Mulugu Road Junction, Warangal, State - Telangana, Warangal - 506 002. Tel No.: (0870) 2441513 • **Yamunanagar:** B-V, 185/A, 2nd Floor, Jagadri Road, Near DAV Girls College, (UCO Bank Building) Pyara Chowk, Yamunanagar, Haryana - 135001. Tel. No.: 7518801857

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